

AGENDA ITEM 19

PRESENTATION OF LICENSING AND ENFORCEMENT DATA.

The following is attached for review:

- Licensing data for the period July 1 – September 30, 2017
- Complaint and discipline information for the period July 1 – September 30, 2017
- Citation data for the period July 1 – September 30, 2017
- List of current probationers

CA Board of Occupational Therapy

Applications Data: July 1, 2017 – Sept 30, 2017

Trans #	Transaction Type	July		August		September		Total Rec'd 1Q	Total App'd 1Q	Avg Rec'd per Mo.	Avg App'd per Mo.
		Received	Approved	Received	Approved	Received	Approved				
1020-7101	Initial OT License Apps	138	115	146	134	150	130	434	379	145	126
1020-7102	OT-LP License Apps	5	4	4	4	7	14	16	22	5	7
1020-7103	Initial OTA License Apps	45	36	56	40	56	61	157	137	52	46
1020-7104	OTA-LP License Apps	8	5	7	4	0	0	15	9	5	3
1021-7101	OT License Issued	117	113	133	133	124	119	374	365	125	122
1021-7102	OT Limited Permit Issued	4	4	3	3	7	8	14	15	5	5
1021-7103	OTA License Issued	47	46	35	35	59	55	141	136	47	45
1021-7104	OTA Limited Permit Issued	5	3	3	3	0	1	8	7	3	2
8005	Set Inactive to Active	3	1	5	5	2	2	10	8	3	3
8010	Name Changes	42	32	35	27	32	26	109	85	36	28
8020	Address Changes	320	320	327	322	273	270	920	912	307	304
8030	Verifications	61	72	91	83	66	51	218	206	73	69
8051	Set to Retired	7	5	10	10	2	2	19	17	6	6
8052	Set Retired to Active	0	0	0	0	0	0	0	0	0	0
5001	A/P – Hand Therapy	3	0	3	1	5	10	11	11	4	4
5002	A/P – PAMs	16	0	10	9	11	16	37	25	12	8
5003	A/P - Swallowing	7	0	1	4	3	4	11	8	4	3
Monthly Trans Total		828	756	869	817	797	769	2,494	2,342	831	781

	Transaction Type	July		August		September		Total Rec'd	Total Updated	Avg Rec'd per Mo.	Avg Updated per Mo.
		Renewals Rec'd	Licenses Updated	Renewals Rec'd	Licenses Updated	Renewals Rec'd	Licenses Updated				
2020	7101 Renewals (OT)	579	568	699	679	530	514	1,808	1,761	603	587
2020	7103 Renewals (OTA)	125	123	108	105	127	125	360	353	120	118
Monthly Trans Total		704	691	807	784	657	639	2168	2114	723	705

Renewal notices print mid-month and are due in approx 75 days. e.g. Renewals printed 9/15 are due 11/30

BOT ENFORCEMENT STATISTICAL REPORT

July 1, 2017 – September 30, 2017

Complaints Received:	51	DOI Investigations Initiated:	8
Conviction/Arrest Investigations:	49	DOI Investigation Reports Received:	0
Total Complaints:	100	Formal DOI Investigations Pending:	11 (oldest 7/8/14)
Complaints-Closed:	102	Apps Denied per BPC 480/485:	2
Total Complaints-Pending:	178 (Oldest: 10/09/13)		

Cases Pending with the Attorney General (AG): 25

<u>Transmitted</u>	<u>Complaint No</u>	<u>Type</u>	<u>Current Status</u>
11/29/16	1002143836	SOI	SOI signed, returned to DAG for service 08/29/17
02/24/17	17-000253	Accusation	DAG to draft Accusation
02/28/17	17-000257	Accusation	Accusation filed 08/24/17; No NOD filed-DAG drafting Default
03/09/17	17-000226	Accusation	Accusation filed 09/19/17
03/09/17	1002044419	Reinstatement	Hearing scheduled 08/17/17; moved to 10/18/17
03/17/17	1002163988	Accusation	Accusation under review
04/26/17	OT2015-107	Accusation	DAG to draft Accusation
06/08/17	17-000336	Accusation	DAG to draft Accusation
06/08/17	17-000279	Accusation	DAG to draft Accusation
06/08/17	17-000259	Accusation	Accusation filed 08/11/17; NOD filed-hearing scheduled 02/12/18
07/26/17	16-000002	Accusation	DAG to draft Accusation
07/27/17	17-000282	Accusation	DAG to draft Accusation
07/28/17	17-000191	Accusation	DAG to draft Accusation
08/01/17	17-000200	Accusation	Accusation filed 08/01/17; NOD filed-hearing to be scheduled
08/01/17	17-000372	SOI	DAG to draft Statement of Issues
08/04/17	17-000153	Accusation	Accusation filed 10/02/17
08/08/17	AL2012-336	PTR	Petition to Revoke Probation filed 09/27/17
08/11/17	17-000345	Accusation	DAG to draft Accusation
08/15/17	17-000264	ACC/PTR	DAG to draft Accusation & Petition to Revoke Probation
08/15/17	18-000086	PTR	DAG to draft Petition to Revoke Probation
08/28/17	18-000056	Accusation	DAG to draft Accusation
08/28/17	18-000057	Accusation	DAG to draft Accusation
09/08/17	17-000381	SOI	DAG to draft Statement of Issues
09/15/17	18-000073	PTR	DAG to draft Petition to Revoke Probation
09/18/17	18-000026	Reinstatement	Hearing scheduled 10/18/17

Statement of Issues filed: 1
Petition to Revoke Probation filed: 1
ISO Issued: 0

Accusations filed: 6
Accusation & Petition to Revoke Probation filed: 0
PC23 Issued: 0

Final Decisions: 3

<u>Effective</u>	<u>Name</u>	<u>Type</u>	<u>Complaint Received/Opened</u>
09/01/17	Ellison, Julie	Revoked (Default)	04/18/16
09/09/17	Perez, Thomas	Deny Petition for Early Termination of Probation	01/27/17
09/16/17	Fanelli, Jay	License Surrender	02/08/17

Cease Practice Orders (BPC 315.2) Issued: 0

Cease Practice Orders Lifted: 0

OT Citations issued July 1 - September 30, 2017

#	VIOLATION							FINE (OT)	OT Fine Reduced	GRAND TOTAL FINE DUE (OT)	Appeal Rcvd		PAYMENT			
	No Lic	FDC	UPC	CC	ULP	PDU	AD				ICR	ADMIN	Pymnt Date	Pd in Full	Pymnt Amnt	Balance
1					1			\$600		\$600						\$600
1					1			\$600	\$600	\$0	Yes		WITHDRAWN			\$0
1					1			\$450		\$450			08/21/17	1	\$450	\$0
1					1			\$2,200		\$2,200	08/29/17					\$2,200
1					1			\$2,200		\$2,200	08/29/17					\$2,200
1			1					\$650		\$650						\$650
	0	0	1	0	5	0	0	\$6,700	\$600	\$6,100	0	0		0	\$450	\$5,650

OTA Citations issued July 1 - September 30, 2017

#	VIOLATION							FINE (OTA)	OTA Fine Reduced	GRAND TOTAL FINE DUE (OTA)	Appeal Rcvd		PAYMENT			
	No Lic	FDC	UPC	CC	ULP	PDU	AD				ICR	ADMIN	Pymnt Date	Pd in Full	Pymnt Amnt	Balance OTA
1						1		\$600		\$600						\$600
1					1			\$600		\$600						\$600
2	0	0	0	0	1	1	0	\$1,200	\$0	\$1,200	0	0		0	\$0	\$1,200

Practitioners Currently on Probation or Other Court Orders

NAME	LICENSE #	LENGTH OF PROBATION	EFFECTIVE DATE
Abrams, Cindy	OT 17009	3 years	12/23/16
Allen, Cornell Jr.	OT 9187	n/a	07/10/13
Alvarado, Francisco	OT 4563	2 years	09/02/16
Cristini, Farrah	OT 11509	3 Years	03/24/17*
Darrow, Colleen	OT 11844	4 Years	06/26/14
DeMena, Alan	OTA 466	3 Years	06/27/14*
Gaeta, Adriana	OTA 1404	3 years	06/18/15*
Hidalgo, Julio	OTA 3981	3 years	06/21/17
Kelley, Anjuli	OT 11168	3 Years	01/16/14*
Knoefler, Kolee	OT 8115	3 Years	06/03/16
Lombardo, Patricia	OT 2792	3 Years	06/23/16*
Martinez, Sharon	OTA 3067	3 Years	01/13/15*
Meyer, Lisa M	OT 14107	3 Years	02/13/14*
Necesito, Dennis B.	OT 7360	4 Years	08/30/13
Neff, Heather L.	OT 7629	3 Years	07/11/14*
Ngo, Nicole U.	OT 14773	3 Years	10/27/14
Nichols, Zendra	OTA 2221	3 Years	05/28/2017
Oliver, Patricia	OT 6986	3 Years	10/28/16*
Osberg, Nicholas	OT 13735	3 Years	09/02/16
Perez, Thomas J.	OTA 2470	5 years	01/09/13
Powell, Diana C.	OT 6367	3 years	06/03/16*
Schmidt, Rebecca	OT 8291	3 Years	11/27/09 *

* Probation "tolled" or extended beyond original expiration date.