ENFORCEMENT DATA AND REPORTS.

CITATIONS ISSUED
04/1/13 - 6/30/13

#	LICENSE CLASS			VIOLATION						CIT. #	FINE (OT)	OT Fine Modified	GRAND TOTAL FINE DUE (OT)	FINE (OTA)	OTA Fine Modified	GRAND TOTAL FINE DUE (OTA)	DATE ISSUED	DUE DATES		Appeal Rcvd		PAYMENT				
	OT	OTA	No Lic	FDC	UPC	CC	ULP	PDU	AD									Req For Conf.	Fine	ICR	ADMIN	Pymnt Date	Pd in Full	Pymnt Amnt	Bal (OT)	Bal (OTA)
1	1							1		OT 2012-256	$250	$250	$0				04/05/13	05/05/13	05/05/13	1		WITHDRAWN			$0	
1	1							1		OT 2012-344	$250	$250	$0				04/05/13	05/05/13	05/05/13	1	1	DISMISSED			$0	
1		1						1		OA 2012-345				$250	$250	$0	04/05/13	05/05/13	05/05/13	1		WITHDRAWN				$0
1	1							1		OT 2012-352	$250		$250				04/05/13	05/05/13	05/05/13			04/22/13	1	$250	$0	
1	1							1		OT 2012-363	$250	$250	$0				04/05/13	05/05/13	05/05/13	1		WITHDRAWN			$0	
1	1						1			OT 2011-489	$700		$700				04/09/13	05/09/13	05/09/13			05/10/13	1	$700	$0	
1		1		1						AR 2012-83				$250		$250	04/10/13	05/10/13	05/10/13			05/02/13	1	$250		$0
1	1								1	OT 2012-272	$50		$50				05/08/13	06/07/13	06/07/13						$50	
1	1								1	OT 2012-270	$50		$50				05/08/13	06/07/13	06/07/13						$50	
1	1								1	OT 2012-288	$50		$50				05/08/13	06/07/13	06/07/13						$50	
1	1								1	OT 2012-289	$150		$150				05/08/13	06/07/13	06/07/13						$150	
1	1			1						AL 2012-407	$250	$200	$50				05/20/13	06/19/13	06/19/13	1	1				$50	
1	1						1			OT 2012-375	$275		$275				05/21/13	06/20/13	06/20/13			06/12/13	1	$275	$0	
1	1								1	OT 2012-287	$150		$150				05/21/13	06/20/13	06/20/13						$150	
1	1							1		OT 2012-311	$250		$250				06/26/13	07/26/13	07/26/13						$250	
1	1							1		OT 2012-370	$250		$250				06/26/13	07/26/13	07/26/13						$250	
1	1							1		OT 2012-394	$250		$250				06/26/13	07/26/13	07/26/13						$250	
1	1							1	1	OT 2012-408	$300		$300				06/26/13	07/26/13	07/26/13						$300	
1		1							1	OA 2012-317				$50		$50	06/28/13	07/28/13	07/28/13							$50
1	1				1					OT 2011-254	$501		$501				06/28/13	07/28/13	07/28/13						$501	
20	17	3	0	2	1	0	2	9	7		$4,226	$950	$3,276	$550	$250	$300				5	2		4	$1,475	$2,051	$50

Violation Key:

Applicant

FDC - Failure to Disclose Criminal Convictions

UPC - Unprofessional Conduct - Misrepresent credentials

Assigned to FTB Intercept program: 11

Licensee

UPC - Unprofessional Conduct

CC - Criminal Convictions

ULP - Unlicensed Practice

PDU - Continuing Education

AD - Failure to Notify of Address Change

Non-Licensed

UL P- Unlicensed Practice

**Citation payments received in this quarter for citations issued in a previous quarter are not reflected in this table.

***Appeals requested in this quarter. Conferences and hearings may have been/will be held within a different quarter

BOT ENFORCEMENT STATISTICAL REPORT
April 1, 2013 – June 30, 2013

Total Complaints-Received: **118**

Complaints-Closed: **102**

Total Complaints-Pending: **191** (Oldest: 1/31/11)

Record of Arrests and Prosecutions [RAP] Received: **16**

DOI Investigations Initiated: **6**

DOI Investigation Reports Received: **4**

Formal DOI Investigations Pending: **9** (Oldest: 9/7/12)

Subsequent Arrest Reports Received: **16**

Applications Denied pursuant to Business and Professions Code 480/485: **3**

Cases Pending with the Attorney General (AG): **20**

Transmitted	Complaint No	Type	Current Status
10/12/11	OT 2009-246	Accusation	Stipulated Settlement Adopted, 4 years Probation Effective 8/30/2013
04/19/12	OT 2010-644	Accusation	Stipulated Settlement Adopted, 3 years Probation Effective 8/30/2013
05/24/12	OA 2010-385	Accusation	Accusation Filed 11/20/12; NOD recd; Hearing scheduled 3/18/2014
05/24/12	OT 2010-318	Accusation	Accusation Withdrawn, Citation Issued Per Stipulated Settlement
08/03/12	OT 2011-96	Accusation	Stipulated Settlement Pending
09/24/12	AR 2011-309	SOI	Statement of Issues Filed 2/8/13; Hearing scheduled 11/8/2013
09/24/12	AR 2011-376	SOI	Hearing held 8/15/13; waiting for ALJ Proposed Decision
09/24/12	OT 2010-621	Accusation	Draft Accusation recd, File under additional review
11/06/12	OA 2010-604	Accusation	Stipulated Surrender effective 7/25/2013
11/21/12	OT 2010-333	Accusation	Accusation Filed 5/6/13, NOD recd; Hearing scheduled 1/23/2014
11/27/12	OT 2011-117	Accusation	Accusation Filed 5/7/13; NOD recd; Hearing scheduled 2/25/2014
12/11/12	OT 2011-49	Citation Hearing	Hearing scheduled 10/9/2013
12/11/12	OT 2011-416	Accusation	Accusation Filed 2/7/13; Hearing continued until 10/7/2013
12/13/12	OT 2010-616	Accusation	Draft Accusation recd, to be reviewed
12/17/12	OT 2011-468	Accusation	Accusation Filed 1/17/13; NOD recd: Hearing scheduled 10/9/2013
12/20/12	PA 2012-232	Pet for Early Term	Petition for Early Term Denied, Board's Decision effective 7/1/2013
03/29/13	OT 2011-373	Accusation	Accusation Filed; NOD recd; Hearing to be scheduled
04/04/13	UL 2011-423	SOI	Statement of Issues Filed 7/23/13; Stipulated Settlement Pending
06/04/13	PT 2012-500	Pet for Reinstate	Petition for Reinstatement filed 6/4/13; Board to hear at Nov 2013 meeting
06/11/13	OT 2012-512	PC23	PC23 Order Issued 7/10/13

Statement of Issues filed: **0**
Petition to Revoke Probation filed: **0**
ISO Issued: **0**

Accusations filed: **2**
Accusation & Petition to Revoke Probation filed: **0**
PC23 Issued: **0**

Final Decisions: **0**

Cease Practice Orders Issued: **0**

Cease Practice Orders Lifted: **0**

Report prepared 9/4/2013

BOT ENFORCEMENT STATISTICAL REPORT
July 1, 2012 – June 30, 2013

Total Complaints-Received: **555**

Complaints-Closed: **499**

Total Complaints-Pending: **191** (Oldest: 1/31/11)

Record of Arrests and Prosecutions [RAP] Received: **56**

DOI Investigations Initiated: **31**

DOI Investigation Reports Received: **31**

Formal DOI Investigations Pending: **9** (Oldest: 9/7/12)

Subsequent Arrest Reports Received: **41**

Applications Denied pursuant to Business and Professions Code 480/485: **6**

Cases Pending with the Attorney General (AG): **20**

Transmitted	Complaint No	Type	Current Status
10/12/11	OT 2009-246	Accusation	Stipulated Settlement Adopted, 4 years Probation Effective 8/30/2013
04/19/12	OT 2010-644	Accusation	Stipulated Settlement Adopted, 3 years Probation Effective 8/30/2013
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11/21/12	OT 2010-333	Accusation	Accusation Filed 5/6/13, NOD recd; Hearing scheduled 1/23/2014
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06/11/13	OT 2012-512	PC23	PC23 Order Issued 7/10/13

Report prepared 9/4/2013

Statement of Issues filed:	**3**
Petition to Revoke Probation filed:	**2**
ISO Issued:	**0**

Accusations filed:	**10**
Accusation & Petition to Revoke Probation filed:	**0**
PC23 Issued:	**0**

Final Decisions: **7**

July 12, 2012	Ashley Luaders	License Denied – Default Decision
August 1, 2012	Stephanie Frost	Voluntary Surrender
November 17, 2012	Kevin Yoshino	Voluntary Surrender
January 9, 2013	Thomas Perez	Revocation of OT license
January 9, 2013	Thomas Perez	5 Year Probation for OTA license
February 25, 2013	Mary-Kaye Quinn	Voluntary Surrender
March 29, 2013	Gurpreet Sandhu	Voluntary Surrender

Cease Practice Orders Issued: **1**

December 5, 2012 Terry Mc Gowin

Cease Practice Orders Lifted: **1**

December 6, 2012 Terry Mc Gowin