LICENSING AND ENFORCEMENT DATA FOR THE QUARTER ENDING DECEMBER 31, 2017.

The following is attached for review:

- Licensing data for the period October 1 December 31, 2017.
- Complaint and discipline information for the period October 1 December 31, 2017.
- Citation data for the period October 1 December 31, 2017.
- List of current probationers

CA Board of Occupational Therapy

Applications Data: Oct 1, 2017 - Dec 31, 2017

Trans #	Transaction Type	Transaction Type Octol		Nove	ember	Dece	mber	Total Rec'd 2Q	Total App'd 2Q	Avg Rec'd per Month	Avg App'd per Month
		Received	Approved	Received	Approved	Received	Approved			· · · · ·	111244
1020-7101	Initial OT License Apps	112	164	91	144	85	8.6	288	394	96	131
1020-7102	OT-LP License Apps	0	2	2	1	8	0	10	3	3	1
1020-7103	Initial OTA License Apps	37	54	35	46	37	33	109	133	36	44
1020-7104	OTA-LP License Apps	1	0	1	0	1	0	3	0	1	0
1021-7101	OT License Issued	142	140	120	120	61	60	323	320	108	107
1021-7102	OT Limited Permit Issued	3	3	0	0	0	0	3	3	1	1
1021-7103	OTA License Issued	48	46	34	33	25	25	107	104	36	35
1021-7104	OTA Limited Permit Issued	0	0		0	0	0	0	0	0	0
5001	A/P - Hand Therapy	7	1	7	10	0	4	14	15	5	5
5002	A/P – PAMs	11	17	11	24	. 6	1	28	42	9	14
5003	A/P - Swallowing	1	7	3	5	4	1	8	13	3	4
8005	Set Inactive to Active	4	3	5	5	4	3	13	11	4	4
8010	Name Changes	37	35	32	29	20	18	89	82	30	27
8020	Address Changes	272	269	244	241	206	205	722	715	241	238
8030	Verifications	85	58	68	71	67	55	220	184	73	61
8051	Set to Retired	17	16	11	8	18	13	46	37	15	12
8052	Set Retired to Active	0	0	0	0	0	0	0	0	0	0
Monthly											
Trans		777	815	664	737	542	504	1,983	2,056	661	685
<u>Total</u>					<u> </u>		,	· sunser-	<u></u>		
Trans #	Licenses Renewed	Oct	ober		ember		mber	Total R	enewed	·	Renewed Ionth
2020-7101	OT Renewals		476		491	468			1,435		478
2020-7103	OTA Renewals		134		105		102		341		114
Monthly			***************************************								
Trans			610		596		570		1,776		592
Total											

Renewal notices print mid-month and are due in approx 75 days. e.g. Renewals printed around 10/15 are due on 12/31

OT Citations Issued 10/1/2017 - 12/31/2017

#		7701	477	ÖN.			DATE ISSUED	EMEROT	OG True Modified	67623373 11912212 17912321232 17912321232	A 77.	iegi. Va			PAYMENT:	
	FTC	$U\!P^C$	C	07.7	700/	Ď						NABANGBY			Pynni 4mir	Bal (OT)
1				1		_	10/12/17	\$600		\$600	1					
1	.		1				10/27/17	\$2,400		\$2,400		1				
1				1			10/31/17	\$250		\$250			-			
1				1		[10/31/17	\$250		\$250			12/01/17	1	\$250	\$0
1				1			11/03/17	\$350		\$350			11/13/17	1_	\$350	\$0
1				1			11/06/17	\$600		\$600						
1				1		П	11/29/17	\$600		\$600	1					
1				1			11/30/17	\$250		\$250			12/21/17	1	\$250	\$0
1				1			12/01/17	\$600	\$300	\$300	1		12/19/17	1	\$300	\$0
1				1			12/01/17	\$600	\$600	\$0	1		DISSMISSED			
1			1				12/01/17	\$700	\$100	\$600	1					
1	Ţ <u>"</u>		1				12/01/17	\$1,800		\$1,800		ļ	12/07/17	1	\$1,800	\$0
1				1			12/01/17	\$400		\$400			01/02/18	1	\$400	\$0
1				1	T		12/01/17	\$400		\$400			12/20/17	1	\$400	\$0
1			1				12/01/17	\$3,000		\$3,000			12/19/17	1	\$3,000	\$0
1			1				12/01/17	\$200		\$200						
1			1				12/01/17	\$600		\$600						
1			1				12/01/17	\$2,950		\$2,950	1					
1				1		丁	12/01/17	\$250	\$100	\$150	1		01/04/18	1	\$150	\$0
1	''-			1			12/01/17	\$100		\$100			12/30/17	1	\$150	\$0
1	1		1		1		12/01/17	\$2,400	\$1,200	\$1,200	1					
1			1				12/01/17	\$200		\$200			12/18/17	1	\$200	\$0
1				1			12/01/17	\$600		\$600			12/23/17	1	\$600	\$0
1				1			12/01/17	\$250		\$250			12/11/17	1	\$250	\$0

OT Citations Issued 10/1/2017 - 12/31/2017

			7/7077	277	ÖV			DATE USSUED	EINE (O.F)	OTTine Modifisa	GAZIND TOTAL FRYE DIE (OT)	47 <u>7</u> R	767L			P TYPE VI	
		770										ICR	MHMAH.			Prant Amil	
1					1			12/01/17	\$600	\$600	\$0	1		DISSMISSED	<u> </u>		
1					1			12/01/17	\$600		\$600						
1					1			12/04/17	\$250		\$250						
1					1			12/05/17	\$600		\$600						
1			-		1			12/05/17	\$500		\$500						
1								12/08/17	\$600		\$600			·			
1	1							12/21/17	\$850		\$850			01/05/18	1	\$850	\$0
1				1				12/28/17	\$450		\$450						
1			-		1			12/29/17	\$250		\$250			01/05/18	1	\$250	\$0
1					1			12/29/17	\$250		\$250						
ITOTAL																	
34	1		0	10	22	0	0		\$25,300	\$2,900	\$22,400	9	1		15	\$9,200	\$0

FDC - Failure to Disclose Criminal Convictions

FTC - Failure to Cooperate

UPC - Unprofessional Conduct

CC - Criminal Convictions

ULP - Unlicensed Practice

PDU - Continuing Education

AD - Failure to Notify of Address Change

OTA Citations Issued 10/1/2017 - 12/31/2017

			77.0	<u>I</u> /4/17/	ØN			Date Issaed	FILE(073)	oTrace Modifica	GRAND FOG2L FINE DUE	Apj R	€ŒÎ VÎ			AYMENT	
	IDC	F_{i}^{C}	UPC	C	ULP.	PDU	4D					-lcn	ADMAN.	Pymra Date	Pdia Full	Pynnii Amu.	Bal
1						1		11/03/17	\$600		\$600		<u></u>	11/30/17	1	\$600	
1						1		11/03/17	\$500		\$500						
1						1		12/01/17	\$600		\$600			01/02/18	1	\$600	
1						1	Γ	12/04/17	\$250		\$250						
1	l.					1		12/19/17	\$600		\$600						
TOTAL															.		
5	0		0	0	0	5	0		\$2,550	\$0	\$2,550	0	0		2	\$1,200	\$0

FDC - Failure to Disclose Criminal Convictions

FTC - Failure to Cooperate

UPC - Unprofessional Conduct

CC - Criminal Convictions

ULP - Unlicensed Practice

PDU - Continuing Education

AD - Failure to Notify of Address Change

BOT ENFORCEMENT STATISTICAL REPORT October 1, 2017 – December 31, 2017

Complaints Received: 91 DOI Investigations Initiated: 3

Conviction/Arrest Investigations: 34 DOI Investigation Reports Received: 1

Total Complaints: 125 Formal DOI Investigations Pending: 13

(oldest 7/8/14)

Complaints-Closed: 110

Apps Denied per BPC 480/485: 0

Total Complaints-Pending: 235 (Oldest: 03/02/14)

Cases Pending with the Attorney General (AG): 27

		Case	
<u>Transmitted</u>	Complaint No	<u>Type</u>	Current Status
11/29/16	1002143836	SOI	SOI filed 08/29/17, hearing scheduled 05/24/2018
02/24/17	17-000253	ACC	Accusation rec'd; DAG/staff to draft revisions
03/09/17	17-000226	ACC	Accusation filed 09/19/17; Default Revocation effective
			01/05/2018
03/09/17	100215483	Reinstate	Hearing held 10/18/2017; Petition Denied effective 02/11/2018
03/17/17	1002163988	ACC	Accusation filed 10/05/2017; NOD recd; hearing TBS
04/26/17	OT2015-107	ACC	Accusation filed 10/27/2017; Stipulate 3yrs prob eff 01/26/2018
06/08/17	17-000336	ACC	Accusation filed 10/27/2017; NOD recd; hearing TBS
06/08/17	17-000279	ACC	Accusation filed 10/23/2017; Stip-Settlement to Board for mail
			vote 01/12/2018
06/08/17	17-000259	ACC	Accusation filed 08/11/2017; Stipulated Surrender eff 01/22/2018
07/26/17	16-000002	ACC	AGO to draft Accusation
07/27/17	17-000282	ACC	AGO to draft Accusation
07/28/17	17-000191	ACC	AGO to draft Accusation
08/01/17	17-000200	ACC	Accusation filed 08/31/2017; Stipulate 3-yrs prob eff 01/26/2018
08/01/17	17-000372	SOI	AGO to draft Statement of Issues
08/08/17	AL2012-336	PTR	Petition to Revoke Probation filed 09/27/2017; No NOD; Default
			Revocation eff 01/05/2018
08/11/17	17-000345	ACC	Accusation filed 12/15/2017; NOD recd; hearing TBS
08/15/17	17-000264		AGO to draft Accusation & Petition to Revoke Probation
08/15/17	18-000086	PTR	Petition to Revoke Probation filed 12/01/2017
08/28/17	18-000056	ACC	AGO to draft Accusation
08/28/17	18-000057	ACC	AGO to draft Accusation; withdrawn 11/2017
09/08/17	17-000381	SOI	Statement of Issues filed 01/08/2018
09/15/17	18-000073	PTR	PTR filed 10/26/2017; Stipulated Surrender eff 01/02/2018
09/18/17	18-000026	Reinstate	Hearing held 10/18/2017; Petition Denied effective 02/11/2018
10/23/17	1002141242	Writ/ACC	Decision to Set Aside 10/23/2017; hearing TBS
11/01/17	UL2014-318	ACC	AGO to draft Accusation
11/06/17	1002145006	PTR	AGO to draft Petition to Revoke Probation
11/13/17	1002147096	PTR	AGO to draft Petition to Revoke Probation
12/27/17	16-000073	Writ/ACC	Decision to Set Aside 12/27/2017; hearing TBS

Statement of Issues filed: 0 Accusations filed: 6 Cases Withdrawn 1 ISO Issued: PC23 Issued: Petition to Revoke Probation filed: 2 Accusation & Petition to Revoke Probation filed: 0 Cease Practice Orders (BPC 315.2) Issued: 0 **Cease Practice Orders Lifted:** 0

Final Decisions: 2

Effective	<u>Name</u>	<u>Type</u>	Complaint Received/Opened
10/30/2017	De Leon, Leticia	Revoked	02/27/2017
12/18/2017	Schmid, Rebecca	Surrender	10/30/2016

Practitioners Currently on Probation or Other Court Orders

NAME	LICENSE #	LENGTH OF PROBATION	EFFECTIVE DATE
Abrams, Cindy	OT 17009	3 years	12/23/16
Alvarado, Francisco	OT 4563	2 years	09/02/16
Cristini, Farrah	OT 11509	3 Years	03/24/17*
Darrow, Colleen	OT 11844	4 Years	06/26/14
Gaeta, Adriana	OTA 1404	3 years	06/18/15*
Hidalgo, Julio	OTA 3981	3 years	06/21/17*
Kelley, Anjuli	OT 11168	3 Years	01/16/14*
Knoefler, Kolee	OT 8115	3 Years	06/03/16
Lombardo, Patricia	OT 2792	3 Years	06/23/16*
Martinez, Sharon	OTA 3067	3 Years	01/13/15*
Neff, Heather L.	OT 7629	3 Years	07/11/14*
Nichols, Zendra	OTA 2221	3 Years	05/28/17*
Oliver, Patricia	OT 6986	3 Years	10/28/16*
Osberg, Nicholas	OT 13735	3 Years	09/02/16
Perez, Thomas J.	OTA 2470	5 years	01/09/13*
Powell, Diana C.	OT 6367	3 years	06/03/16*
Schmidt, Rebecca	OT 8291	3 Years	11/27/09 *

^{*} Probation "tolled" or extended beyond original expiration date.