EXECUTIVE OFFICER'S REPORT.

The following are attached for review:

- Operational Report
- Budget/Revenue Information
- BrEZe Update
- Strategic Planning Update; 2011 Strategic Plan
- Other informational items

Date: May 28, 2015

To: CBOT Members

From: Heather Martin, Executive Officer

Subject: Executive Officer Report – Board Meeting June 4, 2015

Items covered:

a) Operational Report
b) Budget Update
c) BreEZe Update
d) Strategic plan update
e) Other Informational Items

Operational Report

The Board currently has two vacancies. We recently recruited for one position and will begin interviews soon. However, we are requesting the other position be upgraded. Once we know what level the position will be authorized, we will begin the recruitment process to fill that vacancy. We just filled an Enforcement vacancy; Jeanine Orona joined us effective May 4th.

Given the fact that two staff are intermittently dedicated to BrEZe, there are on-going other BrEZe-related assignments for two other staff, and with two current vacancies, there are backlogs in the areas of advanced practice approvals and enforcement. The anticipated 'catch up' period of April-May never occurred. (More on that later in this report.)

Budget Update

Attached is a Fiscal Month (FM) 9, (which includes the period of 7/1 – 3/31) budget report showing year to date expenditures are in alignment with budget. Budget: $1.3m and year to date expenditures $904k (approximately 32% of the budget remains). Annual revenue was estimated at $1.2m; the Board has earned $1.05m through March 31.

Following the budget and revenue reports is a fund condition showing the approved augmentation for BrEZe for fiscal years (FYs) 2015-16 and 2016-17. With the repayment of the General Fund loan, the Board can comfortably afford future increased BreEZe costs, ending FY 2016-17 with 22 months in reserve.

BreEZe Project:

You may recall that the new BrEZe system will provide on-line functionality (such as applying for or renewing a license, submitting an advanced practice application) and back-office functionality (such as processing applications, auditing PDU compliance, and tracking complaints and investigations).

The Board staff continues to support the BrEZe project and meet contractual deliverables, which means Board staff continues to be dedicated to a number of different BrEZe activities including design/development, data validation, script writing for user acceptance testing (UAT), and UAT during the period October-November.

Organizational change management activities (to support the transition to BrEZe) recently began and will continue through the end of November or early December. These activities include business process modeling, identifying gaps in our current processes and the functionality/system capabilities in BrEZe, developing suggested solutions to identified gaps, staff training in the use of and acceptance of the new system, all with a goal of a high-level of readiness for the transition from the current systems to BreEZe. While extremely important, supporting all of these activities continues to adversely affect day-to-day operations due to limited resources available.

Strategic Plan Update

As part of the Strategic Planning process, an 'environmental scan' was conducted. This included personal interviews of (most) Board members and (most) staff, to identify qualitative data, and Board members and staff were provided a link to a survey to identify quantitative data. Additionally, the survey was also emailed to 900+ email addresses to collect data regarding stakeholders' priorities and perceptions of the Board. All of this information will be synthesized and provided to you in the June 25-26 meeting materials.

Below is a draft plan for strategic planning activities for the remainder of the year. Given the on-going impact that BrEZe development/implementation will have on Board resources, staff recommends that the Strategic Plan and action plan begin January 1, 2016. A copy of the 2011-2014 strategic plan is attached.

Date	Item	SOLID Facilitator
June 25-26	Board meeting to develop strategic plan	Elisa is lead, Brianna is co-facilitator
July	INTERNAL: draft and finalize strategic plan for Board member review	Elisa
September	Board meeting - Board members approve strategic plan	Brianna will make any changes/edits (Elisa out on maternity leave)
October	Action planning session (1/2 day) with staff	Brianna will organize and facilitate (Elisa out on maternity leave)
November	Board meeting – Board members review action plan *(optional, as Board approval it is not mandatory action plans)*	Brianna will make any changes/edits (Elisa out on maternity leave)

Please let me know if you have any concerns with the above so that we may alert DCA SOLID staff.

Other Informational Items

Performance Measures

Performance measures for the period January 1 – March 31, 2015 were not available as of this report. DCA staff indicates data will be available mid to late June. The performance measures for the period January 1 – March 31, 2015 will be provided at the Board meeting in September. (Performance measures for the period July 1, 2014 – June 30, 2015 will also be provided at the September meeting, if available).

In response to previous inquiries about the Board's processing times relative to other boards' processing times, we've provided 2013/14 annual data for the following boards: OT, Board of Behavioral Sciences, Board of Chiropractic Examiners, Physical Therapy Board of California, Speech-Language Pathology & Audiology Board & Hearing Aid Dispensers Board, and Vocational Nursing Board.

We emphasize two key performance measurements: *Citations & Fines* (inc average # of days to issue a citation) and *Number of Days to Complete AG Cases* (inc average # of days to impose discipline). Please note: since days for all cases in the reporting period are *averaged*, an 'outlier' case can skew the data.

Update on California OT/OTA Programs – License Applications

In California there are currently eight (8) colleges/universities that offer Masters' level OT programs and four (4) OTA programs accredited by the Accreditation Commission on Occupational Therapy Education (ACOTE). Additionally, there is one Masters' level OT program and three OTA programs at various stages of the ACOTE accreditation process; these new programs include Stanbridge College's new Masters' OT program and an OTA program at a second location as well as new OTA programs being offered by American Career College and CBD College; all four new programs are located in the greater Los Angeles area We anticipate the four new programs will begin to contribute to the new graduate population starting in May 2016. The influx of programs in California has contributed greatly to the increase of applications received.

Historical data on applications received:

Fiscal Year	**OT Apps Received**	**OTA Apps Received**	**TOTAL Apps Received**
2008/09	627	128	**755**
2009/10	757	104	**861**
2010/11	746	129	**875**
2011/12	826	180	**1,006**
2012/13	849	262	**1,111**
2013/14	986	323	**1,309**
2014/15 (Rec'd thru 4/30)	810	277	1,087
Annual estimate (based on above)	972	333	
Apps Rec'd Last Year: May & June	*181*	*57*	
***2014/15* Projection**	***995***	***335***	***1,330***

Santa Ana College
Santa Ana College was one of 15 community colleges in California approved to participate in a pilot program to issue Bachelor's degrees. Santa Ana College (SAC) is excited to offer a new Bachelor's degree in Occupational Studies. (California Community College press release included in materials.)

SAC's target audience will be existing OTAs who want to enhance their clinical skills and increase their academic background and new/prospective OT students. SAC's bachelor's program will provide an affordable education option and will allow OTAs to enter as juniors. All students completing the program will strong candidates for a Master's-level OT program. (SAC's OTA program requires 75 units and their bachelors program in Occupational Studies will require 130 units.)

Michelle Parolise, MBA, OTR/L, Program Director for SAC's OTA Program, developed the innovative plan for the new bachelor's degree in Occupational Studies. Ms. Parolise asks the Board to recognize OTAs that have completed this program as having an advanced level of academic training and have the potential for providing a significant contribution to the occupational therapy profession.

Backlogs due to vacancies and redirecting resources to the BrEZe project
Due to on-going backlogs in processing applications for licensure and advanced practice approvals, investigation of complaints, and probation monitoring, we've reached out to several resources to assist us: CIC, MBC, DOI, Budget Office.

In addition to standard Board business, several workload issues to mindful:

Task	Due
• Process/submit CHT rulemaking file	June
• Budget Change Proposals	July
• 2015/16 budget documents: workload/revenue and equipment requests	July
• Notice Telehealth regulatory language	July
• 2014/15 Annual Report narrative	July
• 2014/15 Annual Report data	August
• Notice Application regulatory language	August
• Board meeting preparation	September
• Process/submit telehealth rulemaking file	September
• Develop Strategic Plan	October
• Board meeting preparation	November
• Process/submit Application rulemaking file	November
• 2016 Sunset Report Preparation	February – August

CA BD OF OCCUPATIONAL THERAPY

	DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
PERSONAL SERVICES								
SALARIES AND WAGES								
003 00	CIVIL SERVICE-PERM	316,329	20,205	230,806	0	230,806	85,523	
033 01	EXPERT EXAMINER (9	20,000	0	0	0	0	20,000	
033 04	TEMP HELP (907)	0	917	7,552	0	7,552	(7,552)	
063 00	STATUTORY-EXEMPT	84,180	7,155	64,395	0	64,395	19,785	
063 01	BD/COMMSN (901,920	4,000	0	0	0	0	4,000	
083 00	OVERTIME	0	122	1,181	0	1,181	(1,181)	
TOTAL SALARIES AND WAGES		**424,509**	**28,399**	**303,935**	**0**	**303,935**	**120,574**	**28.40%**
STAFF BENEFITS								
103 00	OASDI	31,864	1,658	17,450	0	17,450	14,414	
104 00	DENTAL INSURANCE	1,823	104	2,089	0	2,089	(266)	
105 00	HEALTH/WELFARE INS	86,842	3,279	47,189	0	47,189	39,653	
106 01	RETIREMENT	97,673	6,643	71,428	0	71,428	26,245	
125 00	WORKERS' COMPENSAT	10,225	0	0	0	0	10,225	
125 15	SCIF ALLOCATION CO	0	232	2,819	0	2,819	(2,819)	
132 00	NONINDUST DISABLTY	2,000	0	0	0	0	2,000	
133 00	UNEMPLOYMENT INSUR	3,000	0	0	0	0	3,000	
134 00	OTHER-STAFF BENEFI	100	1,820	16,202	0	16,202	(16,102)	
134 01	TRANSIT DISCOUNT	0	0	38	0	38	(38)	
135 00	LIFE INSURANCE	200	7	62	0	62	138	
136 00	VISION CARE	744	43	544	0	544	200	
137 00	MEDICARE TAXATION	5,482	401	4,191	0	4,191	1,291	
TOTAL STAFF BENEFITS		**239,953**	**14,187**	**162,012**	**0**	**162,012**	**77,941**	**32.48%**
TOTAL PERSONAL SERVICES		**664,462**	**42,586**	**465,947**	**0**	**465,947**	**198,515**	**29.88%**
OPERATING EXPENSES & EQUIPMENT								
FINGERPRINTS								
213 04	FINGERPRINT REPORT	22,000	980	11,359	0	11,359	10,641	
TOTAL FINGERPRINTS		**22,000**	**980**	**11,359**	**0**	**11,359**	**10,641**	**48.37%**
GENERAL EXPENSE								
201 00	GENERAL EXPENSE	47,298	0	0	0	0	47,298	
206 00	MISC OFFICE SUPPLI	0	0	3,096	0	3,096	(3,096)	
207 00	FREIGHT & DRAYAGE	0	36	36	0	36	(36)	
213 02	ADMIN OVERHEAD-OTH	0	30	1,769	0	1,769	(1,769)	

CA BD OF OCCUPATIONAL THERAPY

	DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
217 00	MTG/CONF/EXHIBIT/S	0	0	261	667	929	(929)	
223 00	LIBRARY PURCH/SUBS	0	0	268	0	268	(268)	
TOTAL GENERAL EXPENSE		**47,298**	**66**	**5,430**	**667**	**6,097**	**41,201**	**87.11%**
PRINTING								
241 00	PRINTING	6,245	0	0	0	0	6,245	
242 00	PAMPHLT/LEAFLT/BRO	0	0	116	0	116	(116)	
242 03	COPY COSTS ALLO	0	100	250	0	250	(250)	
244 00	OFFICE COPIER EXP	0	0	587	793	1,380	(1,380)	
245 00	PRINTED FORM/STATN	0	0	0	977	977	(977)	
TOTAL PRINTING		**6,245**	**100**	**953**	**1,770**	**2,723**	**3,522**	**56.40%**
COMMUNICATIONS								
251 00	COMMUNICATIONS	5,449	0	0	0	0	5,449	
252 00	CELL PHONES,PDA,PA	0	20	163	0	163	(163)	
253 00	CENT COMM (CALNET,	0	0	223	0	223	(223)	
257 01	TELEPHONE EXCHANGE	0	228	1,374	0	1,374	(1,374)	
TOTAL COMMUNICATIONS		**5,449**	**248**	**1,760**	**0**	**1,760**	**3,689**	**67.70%**
POSTAGE								
261 00	POSTAGE	11,655	0	0	0	0	11,655	
262 00	STAMPS, STAMP ENVE	0	6	597	0	597	(597)	
263 05	DCA POSTAGE ALLO	0	929	6,803	0	6,803	(6,803)	
263 06	EDD POSTAGE ALLO	0	683	5,141	0	5,141	(5,141)	
TOTAL POSTAGE		**11,655**	**1,618**	**12,541**	**0**	**12,541**	**(886)**	**-7.60%**
TRAVEL: IN-STATE								
291 00	TRAVEL: IN-STATE	16,146	0	0	0	0	16,146	
292 00	PER DIEM-I/S	0	482	2,176	0	2,176	(2,176)	
294 00	COMMERCIAL AIR-I/S	0	2,687	4,999	0	4,999	(4,999)	
296 00	PRIVATE CAR-I/S	0	182	1,135	0	1,135	(1,135)	
297 00	RENTAL CAR-I/S	0	0	791	0	791	(791)	
301 00	TAXI & SHUTTLE SER	0	39	100	0	100	(100)	
305 00	MGMT/TRANS FEE-I/S	0	0	48	0	48	(48)	
305 01	CALATERS SERVICE F	0	0	72	0	72	(72)	
TOTAL TRAVEL: IN-STATE		**16,146**	**3,390**	**9,321**	**0**	**9,321**	**6,825**	**42.27%**
TRAVEL: OUT-OF-STATE								
314 00	COMMERCIAL AIR-O/S	0	0	340	0	340	(340)	
TOTAL TRAVEL: OUT-OF-STATE		**0**	**0**	**340**	**0**	**340**	**(340)**	**0.00%**

CA BD OF OCCUPATIONAL THERAPY

	DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
TRAINING								
331 00	TRAINING	1,499	0	0	0	0	1,499	
TOTAL TRAINING		**1,499**	**0**	**0**	**0**	**0**	**1,499**	**100.00%**
FACILITIES OPERATIONS								
341 00	FACILITIES OPERATI	44,894	0	0	0	0	44,894	
343 00	RENT-BLDG/GRND(NON	0	6,605	59,281	0	59,281	(59,281)	
347 00	FACILITY PLNG-DGS	0	137	1,090	0	1,090	(1,090)	
TOTAL FACILITIES OPERATIONS		**44,894**	**6,742**	**60,372**	**0**	**60,372**	**(15,478)**	**-34.48%**
C/P SVS - EXTERNAL								
402 00	CONSULT/PROF SERV-	10,000	0	0	0	0	10,000	
404 05	C&P EXT ADMIN CR C	0	0	0	10,000	10,000	(10,000)	
409 00	INFO TECHNOLOGY-EX	0	0	297	0	297	(297)	
TOTAL C/P SVS - EXTERNAL		**10,000**	**0**	**297**	**10,000**	**10,297**	**(297)**	**-2.97%**
DEPARTMENTAL SERVICES								
424 03	OIS PRO RATA	133,061	0	97,986	0	97,986	35,075	
427 00	INDIRECT DISTRB CO	87,798	0	63,513	0	63,513	24,285	
427 01	INTERAGENCY SERVS	105	0	0	0	0	105	
427 30	DOI - ISU PRO RATA	2,745	0	1,989	0	1,989	756	
427 34	PUBLIC AFFAIRS PRO	2,685	0	1,941	0	1,941	744	
427 35	PCSD PRO RATA	3,796	0	2,760	0	2,760	1,036	
TOTAL DEPARTMENTAL SERVICES		**230,190**	**0**	**168,189**	**0**	**168,189**	**62,001**	**26.93%**
CONSOLIDATED DATA CENTERS								
428 00	CONSOLIDATED DATA	0	7	93	0	93	(93)	
TOTAL CONSOLIDATED DATA CENTERS		**0**	**7**	**93**	**0**	**93**	**(93)**	**0.00%**
DATA PROCESSING								
431 00	INFORMATION TECHNO	3,817	0	0	0	0	3,817	
435 00	NOC-SERV-IT (SECUR	0	35	35	0	35	(35)	
436 00	SUPPLIES-IT (PAPER	0	479	479	0	479	(479)	
445 00	SOFTWARE-IT PURCH,	0	0	0	2,717	2,717	(2,717)	
446 00	HARDWARE-IT PURCH,	0	82	82	0	82	(82)	
TOTAL DATA PROCESSING		**3,817**	**596**	**596**	**2,717**	**3,313**	**504**	**13.20%**
CENTRAL ADMINISTRATIVE SERVICES								
438 00	PRO RATA	62,261	0	46,696	0	46,696	15,565	
TOTAL CENTRAL ADMINISTRATIVE SERVICES		**62,261**	**0**	**46,696**	**0**	**46,696**	**15,565**	**25.00%**

CA BD OF OCCUPATIONAL THERAPY

	DESCRIPTION	BUDGET	CURR. MONTH	YR-TO-DATE	ENCUMBRANCE	YTD + ENCUMBRANCE	BALANCE	PCNT REMAIN
EXAMINATIONS								
404 03	C/P SVS - EXT SUB	0	56	1,013	6,486	7,499	(7,499)	
TOTAL EXAMINATIONS		**0**	**56**	**1,013**	**6,486**	**7,499**	**(7,499)**	**0.00%**
ENFORCEMENT								
396 00	ATTORNEY GENL-INTE	133,243	5,525	25,333	0	25,333	107,911	
397 00	OFC ADMIN HEARNG-I	1,000	0	7,401	0	7,401	(6,401)	
414 31	EVIDENCE/WITNESS F	0	0	2,169	1,250	3,418	(3,418)	
418 97	COURT REPORTER SER	0	0	309	0	309	(309)	
427 31	DOI - INVESTIGATIO	83,377	0	60,312	0	60,312	23,065	
TOTAL ENFORCEMENT		**217,620**	**5,525**	**95,523**	**1,250**	**96,773**	**120,847**	**55.53%**
MINOR EQUIPMENT								
226 00	MINOR EQUIPMENT	5,283	0	0	0	0	5,283	
226 15	MIN EQPMT-GEN-REPL	0	545	545	0	545	(545)	
226 45	MIN EQPMT-DP-REPL	0	369	369	0	369	(369)	
TOTAL MINOR EQUIPMENT		**5,283**	**914**	**914**	**0**	**914**	**4,369**	**82.70%**
TOTAL OPERATING EXPENSES & EQUIPMEN		**684,357**	**20,242**	**415,396**	**22,890**	**438,287**	**246,070**	**35.96%**
CA BD OF OCCUPATIONAL THERAPY		**1,348,819**	**62,828**	**881,343**	**22,890**	**904,233**	**444,586**	**32.96%**
		1,348,819	**62,828**	**881,343**	**22,890**	**904,233**	**444,586**	**32.96%**

DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS
RECEIPTS BY ORGANIZATION AND SOURCE
AS OF 03/31/15

ENY: 14 FFY: 14
SECTION: 11 CA BD OF OCCUPATIONAL THERAPY
SUB-SECTION: 00
UNIT: 00
SUB-UNIT: 00
SUB-SUB-UNIT: 00
INDEX: 1475 CA BD OF OCCUPATIONAL THERAPY

PROGRAM PG EL CMP TSK	PCA	DESCRIPTION				
				ACTUAL RECEIPTS		
REF	SOURCE	ASRC DESCRIPTION	PLANNED RECEIPTS	CURRENT MONTH	YEAR-TO-DATE	BALANCE
67 00 000 000	73017	REIMB - CA BD OF OCCUPATIONAL THERAPY				
001	991937	01 FINGERPRINT REPORTS	22,000.00	2,205.00	12,740.00	9,260.00
001	991937	02 EXTERNAL/PRIVATE/GRANT	0.00	235.00	2,350.00	2,350.00-
*TOTAL SOURCE 991937			22,000.00	2,440.00	15,090.00	6,910.00
*TOTAL PROG 67			22,000.00	2,440.00	15,090.00	6,910.00
*TOTAL REFERENCE 001			22,000.00	2,440.00	15,090.00	6,910.00
67 00 000 000	83017	REVENUE CA BD OF OCCUPATIONAL THERAPY				
980	125600	CU OTA DUP LIC FEE-$15.00	0.00	120.00	1,515.00	1,515.00-
980	125600	CV OTA DUP CERT FEES-$15.00	0.00	45.00	360.00	360.00-
980	125600	00 OTHER REGULATORY FEES	31,000.00	0.00	0.00	31,000.00
980	125600	18 CITATION & FINE FEE COLLECTED-VAR	0.00	3,700.00	29,048.00	29,048.00-
*TOTAL SOURCE 125600			31,000.00	3,865.00	30,923.00	77.00
980	125700	OC OT INITIAL LIC FEE-$VAR	0.00	11,081.00	81,413.00	81,413.00-
980	125700	OD OTA INITIAL CERT FEE-$VAR	0.00	2,665.00	24,381.00	24,381.00-
980	125700	OE OT LIMITED PERMIT-$75.00	0.00	300.00	2,550.00	2,550.00-
980	125700	OJ OTA LIMITED PERMIT $75.00	0.00	300.00	1,200.00	1,200.00-
980	125700	UE OT RETIRED STATUS FEE-$25	0.00	25.00	250.00	250.00-
980	125700	UG OTA RETIRED STATUS FEE-$25	0.00	0.00	25.00	25.00-
980	125700	UM OT APPLICATION FEE-$50	0.00	5,900.00	35,619.00	35,619.00-
980	125700	UN OTA APPLICATION FEE-$50	0.00	2,150.00	10,200.00	10,200.00-
980	125700	00 OTHER REGULATORY LICENSES AND PER	157,000.00	0.00	0.00	157,000.00
980	125700	90 OVER/SHORT FEES	0.00	37.00	479.00	479.00-
980	125700	91 SUSPENDED REVENUE	0.00	0.00	25.00	25.00-
980	125700	92 PRIOR YEAR REVENUE ADJUSTMENT	0.00	0.00	12.00-	12.00
*TOTAL SOURCE 125700			157,000.00	22,458.00	156,130.00	870.00
980	125800	BP OT INACTIVE RENEWAL LIC FEE-$25.0	0.00	1,075.00	7,575.00	7,575.00-

DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS
RECEIPTS BY ORGANIZATION AND SOURCE
AS OF 03/31/15

ENY: 14 FFY: 14
SECTION: 11 CA BD OF OCCUPATIONAL THERAPY
SUB-SECTION: 00
UNIT: 00
SUB-UNIT: 00
SUB-SUB-UNIT: 00
INDEX: 1475 CA BD OF OCCUPATIONAL THERAPY

PROGRAM
PG EL CMP TSK PCA DESCRIPTION

REF	SOURCE	ASRC	DESCRIPTION	PLANNED RECEIPTS	ACTUAL RECEIPTS CURRENT MONTH	YEAR-TO-DATE	BALANCE
980	125800	BQ	OTA INACTIVE RENEWAL CERT FEE-$25	0.00	200.00	1,450.00	1,450.00-
980	125800	C1	AUTOMATED REVENUE REFUND CLAIM	0.00	342.00-	1,225.00	1,225.00-
980	125800	00	RENEWAL FEES	950,000.00	0.00	0.00	950,000.00
980	125800	2W	BIENNIAL RENEWAL-OT $150	0.00	76,200.00	679,650.50	679,650.50-
980	125800	2X	BIENNIAL RENEWAL-OTA $150	0.00	14,000.00	128,625.00	128,625.00-
*TOTAL SOURCE 125800				950,000.00	91,133.00	818,525.50	131,474.50
980	125900	TM	DELINQ BIENNIAL-OT $75	0.00	1,050.00	8,925.00	8,925.00-
980	125900	TN	DELINQ BIENNIAL-OTA $75	0.00	150.00	1,575.00	1,575.00-
980	125900	00	DELINQUENT FEES	15,000.00	0.00	0.00	15,000.00
*TOTAL SOURCE 125900				15,000.00	1,200.00	10,500.00	4,500.00
980	142500	00	MISCELLANEOUS SERVICES TO THE PUB	8,000.00	0.00	0.00	8,000.00
980	142500	90	MISC. SER TO PUBLIC - GENERAL	0.00	2,325.00	11,515.00	11,515.00-
*TOTAL SOURCE 142500				8,000.00	2,325.00	11,515.00	3,515.00-
980	150300	00	INCOME FROM SURPLUS MONEY INVESTM	10,000.00	0.00	3,708.89	6,291.11
*TOTAL SOURCE 150300				10,000.00	0.00	3,708.89	6,291.11
980	161000	00	ESCHEAT OF UNCLAIMED CHECKS,WARRA	1,000.00	0.00	0.00	1,000.00
980	161000	02	REVENUE CANCELLED WARRANTS	0.00	450.00	900.00	900.00-
*TOTAL SOURCE 161000				1,000.00	450.00	900.00	100.00
980	161400	91	DISHONORED CHECK FEE-VAR	0.00	0.00	275.00	275.00-
*TOTAL SOURCE 161400				0.00	0.00	275.00	275.00-

DEPT OF CONSUMER AFFAIRS - REGULATORY BOARDS
RECEIPTS BY ORGANIZATION AND SOURCE
AS OF 03/31/15

ENY: 14 FFY: 14
SECTION: 11 CA BD OF OCCUPATIONAL THERAPY
SUB-SECTION: 00
UNIT: 00
SUB-UNIT: 00
SUB-SUB-UNIT: 00
INDEX: 1475 CA BD OF OCCUPATIONAL THERAPY

PROGRAM
PG EL CMP TSK PCA DESCRIPTION

REF	SOURCE	ASRC	DESCRIPTION	PLANNED RECEIPTS	ACTUAL RECEIPTS CURRENT MONTH	ACTUAL RECEIPTS YEAR-TO-DATE	BALANCE
980	164300	00	PENALTY ASSESSMENTS	8,000.00	0.00	0.00	8,000.00
980	164300	99	PENALTY ASSESSMENTS	0.00	375.00	11,571.00	11,571.00-
*TOTAL SOURCE 164300				8,000.00	375.00	11,571.00	3,571.00-
*TOTAL PROG 67				1,180,000.00	121,806.00	1,044,048.39	135,951.61
*TOTAL REFERENCE 980				1,180,000.00	121,806.00	1,044,048.39	135,951.61
*TOTAL INDEX 1475				1,202,000.00	124,246.00	1,059,138.39	142,861.61
*TOTAL SEC 11				1,202,000.00	124,246.00	1,059,138.39	142,861.61

3017 - Board of Occupational Therapy
Analysis of Fund Condition

2015-16 Governor's Budget w / BreEZe SPR 3.1
+ Project Extension ($1.95 million one-time)

	ACTUAL 2013-14	CY 2014-15	Gov Budget BY 2015-16	BY+1 2016-17	BY+2 2017-18
BEGINNING BALANCE	$ 1,154	$ 2,924	$ 2,769	$ 2,612	$ 2,405
Prior Year Adjustment	$ 3	$ -	$ -	$ -	$ -
Adjusted Beginning Balance	$ 1,157	$ 2,924	$ 2,769	$ 2,612	$ 2,405
REVENUES AND TRANSFERS					
Revenues:					
125600 Other regulatory fees	$ 33	$ 31	$ 34	$ 34	$ 34
125700 Other regulatory licenses and permits	$ 140	$ 157	$ 158	$ 158	$ 158
125800 Renewal fees	$ 906	$ 950	$ 998	$ 998	$ 998
125900 Delinquent fees	$ 14	$ 15	$ 16	$ 16	$ 16
141200 Sales of documents	$ -	$ -	$ -	$ -	$ -
142500 Miscellaneous services to the public	$ 9	$ 8	$ 8	$ 8	$ 8
150300 Income from surplus money investments	$ 3	$ 10	$ 14	$ 7	$ 7
150500 Interest income from interfund loans	$ 82	$ -	$ -	$ -	$ -
160400 Sale of fixed assets	$ -	$ -	$ -	$ -	$ -
161000 Escheat of unclaimed checks and warrants	$ 1	$ 1	$ 1	$ 1	$ 1
161400 Miscellaneous revenues	$ -	$ -	$ -	$ -	$ -
164300 Penalty Assessments	$ 14	$ 12	$ 9	$ 9	$ 9
Totals, Revenues	$ 1,202	$ 1,184	$ 1,238	$ 1,231	$ 1,231
Transfers from Other Funds					
F00002 GF loan per BA of 2009 (repay)	$ 2,000	$ -	$ -	$ -	$ -
Transfers to Other Funds					
Totals, Revenues and Transfers	$ 3,202	$ 1,184	$ 1,238	$ 1,231	$ 1,231
Totals, Resources	$ 4,359	$ 4,108	$ 4,007	$ 3,843	$ 3,636
EXPENDITURES					
Disbursements:					
0840 SCO (State Operations)	$ -	$ -	$ -	$ -	$ -
8880 Financial Information System for California (State Operat	$ 6	$ 1	$ 2	$ -	$ -
1110 Program Expenditures (State Operations)	$ 1,429	$ 1,327	$ 1,237	$ 1,262	$ 1,287
2015-16 BreEZe SFL	$ -	$ 11	$ 143	$ 176	$ -
BreEZe Project Extension	$ -	$ -	$ 13	$ -	$ -
	$ 1,435	$ 1,339	$ 1,395	$ 1,438	$ 1,287
FUND BALANCE					
Reserve for economic uncertainties	$ 2,924	$ 2,769	$ 2,612	$ 2,405	$ 2,349
Months in Reserve	26.2	23.8	21.8	22.4	21.5

NOTES:

A. ASSUMES WORKLOAD AND REVENUE PROJECTIONS ARE REALIZED IN BY+1 AND ONGOING
B. ASSUME APPROPRIATION GROWTH OF 2% IN BY+1 AND ONGOING
C. INTEREST ON FUND ESTIMATE AT .3%

CALIFORNIA BOARD OF OCCUPATIONAL THERAPY

Strategic Plan 2011–2014

Board Members

Bobbi Jean Tanberg, BS, COTA/L, ATP, President

Nancy Michel, Public Member, Vice President

Eric Alegria, Public Member

Mary M. Evert, MBA, OTR/L, FAOTA, Scd(Hon.)

Linda Florey, OTR/L, Secretary

Luella Grangaard, OTR/L

Kathay Lovell, Public Member

Senior Board Staff

Heather Martin, Executive Officer

Jeff Hanson, Enforcement Manager

Introduction

The California Board of Occupational Therapy (Board), established on January 1, 2001 (Senate Bill 1046, Chapter 697, Statutes of 2000), protects the health, safety, and welfare of california consumers by regulating the practice of occupational therapists and occupational therapy assistants. Board members meet four to six times annually to set policy, develop legislation and regulations that identify education, experience and examination requirements for licensure and establish and enforce professional standards of practice.

PLANNING
STRATEGY
TACTICS
VISION

VISION

The California Board of Occupational Therapy is a model organization for occupational therapy state regulatory boards, ensuring consumer protection and quality occupational therapy.

MISSION

The mission of the California Board of Occupational Therapy is to regulate occupational therapy by serving and protecting california's consumers and licensees.

CORE VALUES

The California Board of Occupational Therapy will strive for the highest possible quality throughout all of its programs making it a progressive and responsive organization by:

- Providing excellent customer service to consumers, licensees, employers and other stakeholders;
- Promoting, applying, and enforcing ethical standards of occupational therapy;
- Implementing fair and consistent application of the laws and regulations governing occupational therapy;
- Recognizing and supporting the diverse practice settings and roles in occupational therapy;
- Encouraging active participation by stakeholders through access to the board;
- Ensuring a high level of professionalism, efficiency, and effectiveness by the board members and staff.

STRATEGIC GOALS

The following strategic goals were identified:

Goal 1:
enforce the laws and regulations governing occupational therapy by effectively investigating complaints, non-compliance, and irregularities, and concluding with an appropriate response.

Goal 2:
ensure those seeking licensure meet professional standards of conduct, education, fieldwork, and examination.

Goal 3:
monitor evolving trends and standards in occupational therapy, modify statutes and regulations as needed, and promptly inform licensees of these changes, secure necessary funding and ensure responsive staff processes.

Goal 4:
inform the public and other entities about occupational therapy requirements, evidence-based practices, standards and trends through accessible "green" communication methods.

STRATEGIC GOALS AND OBJECTIVES

Goal 1:

Enforce the laws and regulations governing occupational therapy by effectively investigating complaints, non-compliance, irregularities, and conclude with an appropriate response.

1.1 Fully audit no less than 5 percent of renewing licensees, to determine if continuing competency requirements are met.

1.2 Establish and maintain a pool of 20 practice reviewers to review enforcement cases.

1.3 Target 90 percent of complaints investigated by board staff to be completed in 90 days or less.

1.4 Ensure the enforcement program is sufficiently funded and staffed to ensure consumer protection with prompt actions.

1.5 Promptly post disciplinary process on board's website.

Goal 2:

Ensure those seeking licensure meet professional standards of conduct, education, fieldwork, and examination.

2.1 Research the implications of revised (2008) accreditation council for occupational therapy education (acote) standards and determine relevance to and impact on advanced practice requirements by 2013.

2.2 Investigate the value and cost of developing a jurisprudence examination on professional standards of conduct.

STRATEGIC GOALS AND OBJECTIVES (CONTD.)

Goal 3:

Monitor evolving trends and standards in occupational therapy, modify statutes and regulations as needed and promptly inform licensees of these changes, secure necessary funding, and ensure responsive staff processes.

3.1 Practice committee will identify and track changes in areas of occupational therapy practice, education and research, and advise the board.

3.2 The legislative and regulatory review committee will identify and track legislation and review regulations which affect occupational therapy practice and advise the board.

3.3 Annually review continuing competency requirements relevant to identified best practices, standards and trends.

3.4 Send quarterly notifications to advise and update practitioners of laws and regulations.

3.4.a Educate practitioners of laws and regulations impacting occupational therapy scope of practice.

3.5 Through on-line licensure (breeze), 80% of the license renewals and initial licensure applications will be completed via the internet.

3.6 Provide at least two board meetings annually and committee meetings when possible via electronic communication to increase accessibility to board business.

Goal 4:

Inform the public and other entities about occupational therapy requirements, evidence-based practices, standards and trends through accessible "green" communication methods.

4.1 Increase education and outreach efforts to consumers regarding laws and regulations affecting occupational therapy.

4.1.a. Develop and make available consumer-related informational brochures (i.e. 'what is ot?' And 'the complaint process.')

4.1.b. Participate in at least four community programs and public events annually to educate the public about occupational therapy, subject to travel restrictions and available funding.

4.2 Active collaboration with other health care professionals (i.e. physical therapists, speech-language pathologists and audiologists, nurses, physicians, social workers and other mental-health practitioners, etc.) to ensure that those professions and the public are informed about occupational therapy, and that the occupational therapy regulations and enforcement procedures are applicable, adequate and relevant.

4.3 Active collaboration with the department of health care services, the department of public health, the department of mental health, the department of aging, the department of social services, the department of education, the department of veteran's affairs, the department of corrections and rehabilitation, the legislative bodies and other governmental entities, and ensure they are adequately informed about occupational therapy and that their respective laws and regulations are updated appropriately.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits			
TYPE	APPS RECEIVED	ISSUED	RENEWED
OCCUPATIONAL THERAPIST	**986**	**85**	**5,462**
OCCUPATIONAL THERAPY ASSISTANT	**325**	**290**	**973**

Licensing Population by Type			
TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
OCCUPATIONAL THERAPIST	**59**	**TBD**	**968**
OCCUPATIONAL THERAPY ASSISTANT	**28**	**TBD**	**370**

Renewal and Continuing Education		
TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
OCCUPATIONAL THERAPIST	**EVERY 2 YEARS**	**24**
OCCUPATIONAL THERAPY ASSISTANT	**EVERY 2 YEARS**	**24**

Exams
ADMINISTERED BY NATIONAL BOARD OF CERTIFICATION IN OCCUPATIONAL THERAPY

Summary of Enforcement Activity

Consumer Complaints—Intake	
633	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
633	REFERRED FOR INVESTIGATION
0	PENDING

Conviction/Arrest Notification Complaints	
116	RECEIVED
116	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections	
N/A	INSPECTIONS CONDUCTED
N/A	INSPECTION CITATIONS ISSUED

Summary of Enforcement Activity

Investigations	
749	OPENED
633	CLOSED
320	PENDING

Number of Days to Complete Intake and Investigations	
373	UP TO 90 DAYS
171	91 TO 180 DAYS
74	181 DAYS TO 1 YEAR
11	1 TO 2 YEARS
4	2 TO 3 YEARS
0	OVER 3 YEARS
100	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines	
145	ISSUED
145	ISSUED WITH A FINE
11	WITHDRAWN
5	DISMISSED
123	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
$30,326	ASSESSED
$0	REDUCED
$29,207	COLLECTED

Criminal/Civil Actions	
1	REFERRALS FOR CRIMINAL/CIVIL ACTION
1	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
12	CASES OPENED/INITIATED
15	CASES CLOSED
11	CASES PENDING

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Enforcement Activity

Number of Days to Complete AG Cases	
2	1 YEAR
8	1 TO 2 YEARS
2	2 TO 3 YEARS
3	3 TO 4 YEARS
0	OVER 4 YEARS
704	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
5	STATEMENTS OF ISSUES FILED
5	ACCUSATIONS FILED
2	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
1	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
1	LICENSE APPLICATIONS DENIED
2	REVOCATION
1	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
8	PROBATION ONLY
1	PUBLIC REPRIMAND
2	OTHER DECISIONS

Petition for Modification or Termination of Probation	
1	GRANTED
1	DENIED
2	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
1	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/ Final Orders	
0	REVOCATION
1	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Reinstatement of Revoked License/ Registration/Certification	
1	GRANTED
0	DENIED
1	TOTAL

Cost Recovery to DCA	
$28,730	ORDERED
$11,140	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
$148,798	RESTITUTION ORDERED
$59,519	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Licensing Activity

Exam Results			
EXAM TITLE	PASS	FAIL	TOTAL
LICENSED CLINICAL SOCIAL WORKER (LCSW) STANDARD	995	799	1,794
LICENSED CLINICAL SOCIAL WORKER CLINICAL VIGNETTE (CV)	924	478	1,402
LICENSED EDUCATIONAL PSYCHOLOGIST	57	79	136
MARRIAGE AND FAMILY THERAPIST (MFT) STANDARD	1,820	1,074	2,894
MARRIAGE AND FAMILY THERAPIST CV	1,623	429	2,052
LICENSED PROFESSIONAL CLINICAL COUNSELOR LAW AND ETHICS	34	21	55
LICENSED PROFESSIONAL CLINICAL COUNSELOR GRAND PARENTING (GP) LAW AND ETHICS	303	82	385
LICENSED PROFESSIONAL CLINICAL COUNSELOR GAP LCSW	6	0	6
LICENSED PROFESSIONAL CLINICAL COUNSELOR GAP MFT	189	35	224
TOTALS	**5,951**	**2,997**	**8,948**

Summary of Enforcement Activity

Consumer Complaints—Intake	
1,243	RECEIVED
65	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
1,206	REFERRED FOR INVESTIGATION
19	PENDING

Conviction/Arrest/Notification Complaints	
714	RECEIVED
706	CLOSED/REFERRED FOR INVESTIGATION
5	PENDING

Inspections
NOT APPLICABLE TO THIS PROGRAM

Investigations	
1,929	OPENED
1,240	CLOSED
745	PENDING

Number of Days to Complete Intake and Investigations	
681	UP TO 90 DAYS
240	91 TO 180 DAYS
196	181 DAYS TO 1 YEAR
106	1 TO 2 YEARS
14	2 TO 3 YEARS
3	OVER 3 YEARS
116	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATION

Citations and Fines	
39	ISSUED
34	ISSUED WITH A FINE
4	WITHDRAWN
0	DISMISSED
279	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
$46,100	ASSESSED
$16,500	REDUCED
$20,850	COLLECTED

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Enforcement Activity

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
115	CASES OPENED/INITIATED
18	CASES CLOSED
137	CASES PENDING

Number of Days to Complete AG Cases	
12	1 YEAR
44	1 TO 2 YEARS
35	2 TO 3 YEARS
13	3 TO 4 YEARS
0	OVER 4 YEARS
780	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
21	STATEMENTS OF ISSUES FILED
64	ACCUSATIONS FILED
1	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
5	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
57	LICENSE APPLICATIONS DENIED
17	REVOCATION
17	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
45	PROBATION ONLY
0	PUBLIC REPRIMAND
7	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
4	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
7	REVOCATION
8	SURRENDER OF LICENSE
1	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
0	PROBATION ONLY
0	PUBLIC REPRIMAND
1	OTHER DECISIONS

Petition for Modification or Termination of Probation	
6	GRANTED
2	DENIED
8	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
1	DENIED
1	TOTAL

Cost Recovery to DCA	
$191,835	ORDERED
$177,206	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
0	RESTITUTION ORDERED
0	AMOUNT REFUNDED
0	REWORK AT NO CHARGE
0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Enforcement Activity

Number of Days to Complete Intake and Investigations	
316	UP TO 90 DAYS
145	91 TO 180 DAYS
67	181 DAYS TO 1 YEAR
27	1 TO 2 YEARS
3	2 TO 3 YEARS
5	OVER 3 YEARS
126	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATION

Citations and Fines	
29	ISSUED
28	ISSUED WITH A FINE
7	WITHDRAWN
0	DISMISSED
234	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines	
$27,600	ASSESSED
$2,750	REDUCED
$22,237	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
30	CASES OPENED/INITIATED
17	CASES CLOSED
63	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
4	1 TO 2 YEARS
6	2 TO 3 YEARS
7	3 TO 4 YEARS
0	OVER 4 YEARS
1,163	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
6	STATEMENTS OF ISSUES FILED
38	ACCUSATIONS FILED
3	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
2	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
3	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
6	LICENSE APPLICATIONS DENIED
13	REVOCATION
8	SURRENDER OF LICENSE
4	PROBATION WITH SUSPENSION
1	SUSPENSION ONLY
15	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
1	GRANTED
4	DENIED
5	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
1	GRANTED
3	DENIED
4	TOTAL

Cost Recovery to DCA	
$121,228	ORDERED
$123,704	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits

TYPE	APPS RECEIVED	ISSUED	RENEWED
PT	1,204	1,192	11,125
PTA	423	354	2,737
FOREIGN APPLICATIONS	385	N/A	N/A
EK/EN	3	0	30

PT – Physical therapist
PTA – Physical therapist assistant
EK/EN – Kinesiological electromyographer/Electroneuromyographer

Licensing Population by Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
PT	N/A	27,128	N/A
PTA	N/A	6,820	N/A
EK/EN	N/A	95	N/A

Renewal and Continuing Education

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
PT	EVERY 2 YEARS	30
PTA	EVERY 2 YEARS	30
EK/EN	EVERY 2 YEARS	N/A

Exam Results

EXAM TITLE	PASS	FAIL	TOTAL
NATIONAL PT EXAM	659	354	1,013
NATIONAL PTA EXAM	298	176	474
CALIFORNIA LAW EXAM	1,662	1,102	2,764
TOTALS	2,619	1,632	4,251

Summary of Enforcement Activity

Consumer Complaints—Intake

1,019	RECEIVED
97	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
930	REFERRED FOR INVESTIGATION
4	PENDING

Conviction/Arrest Notification Complaints

289	RECEIVED
296	CLOSED/REFERRED FOR INVESTIGATION
2	PENDING

Inspections

N/A

Investigations

1,216	OPENED
1,285	CLOSED
483	PENDING

Number of Days to Complete Intake and Investigations

864	UP TO 90 DAYS
216	91 TO 180 DAYS
82	181 DAYS TO 1 YEAR
109	1 TO 2 YEARS
10	2 TO 3 YEARS
4	OVER 3 YEARS
118	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines

249	ISSUED
247	ISSUED WITH A FINE
10	WITHDRAWN
0	DISMISSED
141	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

$53,450	ASSESSED
0	REDUCED
$49,950	COLLECTED

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Enforcement Activity

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
52	CASES OPENED/INITIATED
38	CASES CLOSED
78	CASES PENDING

Number of Days to Complete AG Cases	
3	1 YEAR
16	1 TO 2 YEARS
12	2 TO 3 YEARS
10	3 TO 4 YEARS
0	OVER 4 YEARS
883	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
6	STATEMENTS OF ISSUES FILED
44	ACCUSATIONS FILED
2	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
6	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
4	LICENSE APPLICATIONS DENIED
5	REVOCATION
6	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
14	PROBATION ONLY
10	PUBLIC REPRIMAND
6	OTHER DECISIONS

Petition for Modification or Termination of Probation	
2	GRANTED
3	DENIED
5	TOTAL

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
2	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
0	REVOCATION
0	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Reinstatement of Revoked License/Registration/Certification	
0	GRANTED
1	DENIED
1	TOTAL

Cost Recovery to DCA	
$151,013	ORDERED
$78,424	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
$950	RESTITUTION ORDERED
$0	AMOUNT REFUNDED
$0	REWORK AT NO CHARGE
$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
$0	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Licensing Activity

Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
BRANCH	EVERY YEAR	N/A
HEARING AID DISPENSER	EVERY YEAR	9
TEMPORARY/TRAINEE	N/A	N/A
TEMPORARY	N/A	N/A
SPEECH LANGUAGE PATHOLOGIST	EVERY 2 YEARS	24
AUDIOLOGIST	EVERY 2 YEARS	24
SPEECH-LANGUAGE PATHOLOGY ASSISTANT	EVERY 2 YEARS	12
AIDES	N/A	N/A
TEMPORARY REQUIRED PROFESSIONAL EXPERIENCE LICENSES	VARIES	N/A
SPEECH TEMPORARY LICENSE	ONCE FOR 6 MONTHS	N/A
AUDIOLOGY TEMPORARY LICENSE	ONCE FOR 6 MONTHS	N/A
PROFESSIONAL DEVELOPMENT PROVIDER	EVERY 2 YEARS	N/A
SPEECH-LANGUAGE PATHOLOGY ASSISTANT PROGRAM	N/A	N/A
DAU - DISPENSING AUDIOLOGIST	EVERY YEAR	12

Exams - Hearing Aid Dispenser - Written

PASS	FAIL	TOTAL
106	194	300

Exams - Hearing Aid Dispenser - Practical

PASS	FAIL	TOTAL
45	27	72

Summary of Enforcement Activity

Consumer Complaints—Intake

127	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
126	REFERRED FOR INVESTIGATION
1	PENDING

Conviction/Arrest Notification Complaints

35	RECEIVED
35	CLOSED/REFERRED FOR INVESTIGATION
0	PENDING

Inspections

N/A

Investigations

161	OPENED
173	CLOSED
129	PENDING

Number of Days to Complete Intake and Investigations

49	UP TO 90 DAYS
25	91 TO 180 DAYS
36	181 DAYS TO 1 YEAR
33	1 TO 2 YEARS
27	2 TO 3 YEARS
3	OVER 3 YEARS
311	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines

11	ISSUED
8	ISSUED WITH A FINE
1	WITHDRAWN
2	DISMISSED
406	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Enforcement Activity

Total Amount of Fines	
$10,750	ASSESSED
$0	REDUCED
$7,950	COLLECTED

Criminal/Civil Actions	
0	REFERRALS FOR CRIMINAL/CIVIL ACTION
0	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
15	CASES OPENED/INITIATED
3	CASES CLOSED
22	CASES PENDING

Number of Days to Complete AG Cases	
0	1 YEAR
0	1 TO 2 YEARS
1	2 TO 3 YEARS
1	3 TO 4 YEARS
1	OVER 4 YEARS
1,249	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
1	STATEMENTS OF ISSUES FILED
9	ACCUSATIONS FILED
0	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
0	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
0	LICENSE APPLICATIONS DENIED
1	REVOCATION
2	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
8	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
0	DENIED
0	TOTAL

Petition for Reinstatement of Revoked License/ Registration/Certification	
0	GRANTED
2	DENIED
2	TOTAL

Cost Recovery to DCA	
$19,607.50	ORDERED
$12,284.36	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
$6,490	RESTITUTION ORDERED
$525	AMOUNT REFUNDED
$0	REWORK AT NO CHARGE
$0	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
$7,015	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Licensing Activity

Initial Licenses/Certificates/Permits

TYPE	APPS RECEIVED	ISSUED	RENEWED
VOCATIONAL NURSE (VN)	**15,005**	**8,746**	**43,390**
PSYCHIATRIC TECHNICIANS (PT)	**814**	**561**	**4,658**

Licensing Population by Type

TYPE	CERTIFICATES/ PERMITS	LICENSES/ REGISTRATIONS	APPROVALS
VN	**N/A**	**126,674**	**N/A**
PT	**N/A**	**13,414**	**N/A**
VN NONRENEWABLE INTERIM PERMITS	**133**	**N/A**	**N/A**
VN INTRAVENOUS THERAPY (IV)	**863**	**N/A**	**N/A**
VN BLOOD WITHDRAWAL (BW)	**571**	**N/A**	**N/A**
VN IV AND BW	**4,140**	**N/A**	**N/A**
PT BW	**10**	**N/A**	**N/A**

Renewal and Continuing Education (CE)

TYPE	FREQUENCY OF RENEWAL	NUMBER CE HOURS REQUIRED EACH CYCLE
VN LICENSE	**EVERY 2 YEARS**	**30**
PT LICENSE	**EVERY 2 YEARS**	**30**
VN INTERIM PERMITS	**N/A**	**N/A**
PT INTERIM PERMITS	**N/A**	**N/A**
POST-LICENSURE CERTIFICATIONS	**N/A**	**N/A**

Exam Results

EXAM TITLE	PASS	FAIL	TOTAL
VOCATIONAL NURSE (NCLEX)*	**7,307**	**5,766**	**13,073**
PSYCHIATRIC TECHNICIAN (PSI)*	**575**	**282**	**857**
TOTAL	**7,882**	**6,048**	**13,930**

* The *Annual Report's* examination data does not match the Board's *Sunset Report* in regard to examination pass rates. The *Annual Report* data is the total number of examination candidates, regardless of their qualification method, whereas the *Sunset Report* pass rate is the total number of first-time examination candidates who graduated from accredited vocational nursing or psychiatric technician programs.

Summary of Enforcement Activity

Consumer Complaints—Intake

642	RECEIVED
0	CLOSED WITHOUT REFERRAL FOR INVESTIGATION
638	REFERRED FOR INVESTIGATION
8	PENDING

Conviction/Arrest Notification Complaints

5,147	RECEIVED
5,156	CLOSED/REFERRED FOR INVESTIGATION
68	PENDING

Inspections

N/A

Investigations

5,789	OPENED
6,253	CLOSED
3,316	PENDING

Number of Days to Complete Intake and Investigations

2,959	UP TO 90 DAYS
1,165	91 TO 180 DAYS
785	181 DAYS TO 1 YEAR
681	1 TO 2 YEARS
442	2 TO 3 YEARS
221	OVER 3 YEARS
249	AVERAGE NUMBER OF DAYS TO COMPLETE INTAKE AND INVESTIGATIONS

Citations and Fines

144	ISSUED
141	ISSUED WITH A FINE
9	WITHDRAWN
0	DISMISSED
463	AVERAGE NUMBER OF DAYS TO ISSUE A CITATION AND FINE

Total Amount of Fines

$102,138	ASSESSED
$4,650	REDUCED
$96,452.38	COLLECTED

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

Summary of Enforcement Activity

Criminal/Civil Actions	
7	REFERRALS FOR CRIMINAL/CIVIL ACTION
7	CRIMINAL ACTIONS FILED
0	CIVIL ACTIONS FILED

Office of the Attorney General/Disciplinary Actions	
395	CASES OPENED/INITIATED
287	CASES CLOSED
574	CASES PENDING

Number of Days to Complete AG Cases	
47	1 YEAR
139	1 TO 2 YEARS
72	2 TO 3 YEARS
10	3 TO 4 YEARS
19	OVER 4 YEARS
1,113	AVERAGE NUMBER OF DAYS TO IMPOSE DISCIPLINE

Formal Actions Filed/Withdrawn/Dismissed	
50	STATEMENTS OF ISSUES FILED
237	ACCUSATIONS FILED
5	RESTRAINING/RESTRICTION/SUSPENSION ORDERS GRANTED
7	STATEMENTS OF ISSUES WITHDRAWN/DISMISSED
0	ACCUSATIONS WITHDRAWN/DISMISSED

Administrative Outcomes/Final Orders	
16	LICENSE APPLICATIONS DENIED
115	REVOCATION
57	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
57	PROBATION ONLY
0	PUBLIC REPRIMAND
42	OTHER DECISIONS

Petitions to Revoke Probation Filed/Petitions and Accusations to Revoke Probation Filed	
13	TOTAL NUMBER FILED

Subsequent Disciplinary—Administrative Outcomes/Final Orders	
13	REVOCATION
21	SURRENDER OF LICENSE
0	PROBATION WITH SUSPENSION
0	SUSPENSION ONLY
2	PROBATION ONLY
0	PUBLIC REPRIMAND
0	OTHER DECISIONS

Petition for Modification or Termination of Probation	
0	GRANTED
3	DENIED
3	TOTAL

Petition for Reinstatement of Revoked License/Registration/Certification	
7	GRANTED
9	DENIED
16	TOTAL

Cost Recovery to DCA	
$416,155.54	ORDERED
$118,437.67	COLLECTED

Consumer Restitution to Consumers/Refunds/Savings	
N/A	RESTITUTION ORDERED
N/A	AMOUNT REFUNDED
N/A	REWORK AT NO CHARGE
N/A	ADJUSTMENTS IN MONEY OWED/PRODUCT RETURNED/EXCHANGED
N/A	TOTAL SAVINGS ACHIEVED FOR CONSUMERS

PLEASE REFER TO PAGE 9 FOR AN EXPLANATION OF THE DEFINITIONS AND CRITERIA FOR DATA REPORTED IN THE ENFORCEMENT SECTION.

CALIFORNIA COMMUNITY COLLEGES
CHANCELLOR'S OFFICE

PRESS RELEASE **January 20, 2015**

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First California Community College Bachelor's Degree Programs Receive Initial Approval from Board of Governors

Pilot program is historic leap forward for college system; final approval in March

SACRAMENTO, Calif. – The California Community Colleges Board of Governors, in a landmark move for public higher education, today gave initial approval to 15 colleges to develop bachelor's degree programs in fields such as respiratory therapy, dental hygiene, automotive technology and aerospace manufacturing technology as part of a pilot program approved by the Legislature and Gov. Jerry Brown.

"These colleges are embarking on a new mission for the California Community Colleges that will expand opportunities in public higher education," said California Community Colleges Chancellor Brice W. Harris. "Students will have a range of programs from which to choose to earn high quality, affordable and in-demand degrees. California employers win too, as they will have improved access to highly qualified candidates in these fields."

The programs selected will undergo additional review by the California Community Colleges Chancellor's Office as well as further consultation with the California State University and University of California before final approval is considered by the Board of Governors at its March meeting.

The bachelor's degree programs receiving initial approval are:

- Airframe Manufacturing Technology, Antelope Valley College
- Industrial Automation, Bakersfield College
- Emergency Services and Allied Health Systems, Crafton Hills College
- Mortuary Science, Cypress College
- Equine Industry, Feather River College
- Dental Hygiene, Foothill College and West Los Angeles College
- Bio-manufacturing, MiraCosta College
- Respiratory Care, Modesto Junior College and Skyline College
- Automotive Technology, Rio Hondo College

- More -

- Health Information Management, San Diego Mesa College
- Occupational Studies, Santa Ana College
- Interaction Design, Santa Monica College
- Health Information Management, Shasta College

The board action is in response to legislation sponsored by Sen. Marty Block (D-San Diego) and signed by the governor last year that allows up to 15 districts to establish a pilot baccalaureate degree program at one of their colleges in a field of study not offered by the California State University or University of California. Lower-division coursework would cost $46 and upper-division coursework would cost $84 under the new program, with an estimated total cost of about $10,000 to obtain a bachelor's degree.

"SB 850 will expand opportunities for California students by increasing affordable and accessible paths to a four-year degree while also helping veterans and other nontraditional students," Block said. "This historic and landmark change will also help keep our state a global economy -- competitive and open for business."

The college districts that the board approved today were chosen from 34 applications. A team comprised of Chancellor's Office staff, reviewers from the University of California and California Department of Education, a member of the business and workforce community, and community college administrators, faculty and staff from districts that did not apply to host a program reviewed the applications.

Considerations for selecting a district included geographic distribution of the pilot programs, diversity of pilot programs, ability of the district to establish a rigorous program in their proposed field and that the proposed program will meet an unaddressed local or statewide workforce need.

Under the law the four-year degree programs must be up and running by at least the 2017-18 academic year, however, districts may start their programs by the fall 2015 semester. Districts must also seek approval from the Accrediting Commission for Community and Junior Colleges to start a program.

The legislation sunsets after the 2022-23 school year, after which the Legislature and governor may renew it pending two Legislative Analyst's Office reviews of the pilot program- one in 2018 and another in 2022.

The law was enacted to assist the state in meeting the need for individuals in high demand technical disciplines which are increasingly requiring baccalaureate degrees and to increase college participation rates and improve workforce training opportunities for local residents who are unable to relocate because of family or work commitments.

To see the list of the approved colleges and summaries of their programs, click here.

The California Community Colleges is the largest system of higher education in the nation composed of 72 districts and 112 colleges serving 2.1 million students per year. Community colleges supply workforce training, basic skills education and prepare students for transfer to four-year institutions. The Chancellor's Office provides leadership, advocacy and support under the direction of the Board of Governors of the California Community Colleges. For more information about the community colleges, please visit http://californiacommunitycolleges.cccco.edu/, https://www.facebook.com/CACommColleges, or https://twitter.com/CalCommColleges.

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