

EXECUTIVE OFFICER'S REPORT.

- a. Operational and budget reports.
- b. Licensing and enforcement data.
- c. Future Agenda Items

AGENDA ITEM 19a

CA Board of Occupational Therapy

FM 2 Revenue Report

FY 2021-2022

Revenue

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Delinquent Fees		\$0	\$4,890	\$7,575	-\$7,575
4121200012	3017 Delinq Ren OT	\$0	\$3,960	\$6,450	-\$6,450
4121200013	3017 Delinq Ren OTA	\$0	\$930	\$1,125	-\$1,125

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Regulatory Fees		\$0	\$4,095	\$8,520	-\$8,520
4129200029	3017 Cite & Fine	\$0	\$3,770	\$7,720	-\$7,720
4129200032	3017 Duplicate Lic OT	\$0	\$275	\$650	-\$650
4129200033	3017 Duplicate Lic OTA	\$0	\$50	\$150	-\$150

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Regulatory License and Permits		\$0	\$37,132	\$70,932	-\$70,932
4129400060	3017 Initial Lic OT	\$0	\$22,147	\$45,439	-\$45,439
4129400061	3017 Initial Lic OTA	\$0	\$4,954	\$8,561	-\$8,561
4129400062	3017 Limited Permit OT	\$0	\$300	\$600	-\$600
4129400063	3017 Limited Permit OTA	\$0	\$200	\$300	-\$300
4129400064	3017 Retired Status OT	\$0	\$275	\$875	-\$875
4129400065	3017 Retired Status OTA	\$0	\$50	\$75	-\$75
4129400066	3017 App Fee OT	\$0	\$6,100	\$11,200	-\$11,200
4129400067	3017 App Fee OTA	\$0	\$2,300	\$3,600	-\$3,600
4129400522	Refunded Reimbursements	\$0	-\$196	-\$196	\$196
4129400524	Suspended Revenue	\$0	\$1,200	\$1,425	-\$1,425
4129400525	Prior Year Revenue Adjustment	\$0	-\$198	-\$947	\$947

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Revenue		\$0	\$2,905	\$5,320	-\$5,320
4143500006	Misc Serv To Public General	\$0	\$2,905	\$5,320	-\$5,320

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Renewal Fees		\$0	\$211,580	\$406,750	-\$406,750
4127400038	3017 Renewal OT	\$0	\$177,330	\$338,050	-\$338,050
4127400039	3017 Renewal OTA	\$0	\$27,270	\$55,830	-\$55,830
4127400040	3017 OT Restore Lic To Active	\$0	\$640	\$1,130	-\$1,130
4127400041	3017 OTA Restore Lic To Active	\$0	\$210	\$210	-\$210
4127400042	3017 Inactive Ren OT	\$0	\$5,080	\$9,670	-\$9,670
4127400043	3017 Inactive Ren OTA	\$0	\$1,050	\$1,860	-\$1,860
Revenue		\$0	\$260,602	\$499,097	-\$499,097

Reimbursements

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Scheduled Reimbursements		\$0	\$2,450	\$5,047	-\$5,047
4840000001	Fingerprint Reports	\$0	\$2,450	\$5,047	-\$5,047

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Unscheduled Reimbursements		\$0	\$1,080	\$2,058	-\$2,058
4850000009	US Cost Recovery	\$0	\$1,080	\$2,058	-\$2,058
Reimbursements		\$0	\$3,530	\$7,105	-\$7,105

CA Board of Occupational Therapy

FM 2 Expenditure Report FY 2021-2022

PERSONAL SERVICES					
Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance
5100 PERMANENT POSITIONS	\$1,079,000	\$65,199	\$139,057	\$0	\$139,057
Earnings - Perm Civil Svc Empl	\$997,000	\$56,506	\$121,523	\$0	\$121,523
Earnings-Exempt/Statutory Empl	\$82,000	\$8,693	\$17,534	\$0	\$17,534
5100 TEMPORARY POSITIONS	\$4,000	\$1,225	\$1,225	\$0	\$1,225
Temp Help (907)	\$4,000	\$1,225	\$1,225	\$0	\$1,225
5105-5108 PER DIEM, OVERTIME, & LUMP SUM	\$20,000	\$100	\$8,176	\$0	\$8,176
Bd/Commission Mbrs (901, 920)	\$20,000	\$100	\$100	\$0	\$100
OT Earn Oth than to Temp Help	\$0	\$0	\$8,076	\$0	\$8,076
5150 STAFF BENEFITS	\$684,000	\$42,988	\$87,252	\$0	\$87,252
Dental Insurance	\$2,000	\$496	\$1,185	\$0	\$1,185
Disability Leave - Nonindustri	\$2,000	\$0	\$0	\$0	\$0
Employee Assistance PGM Fee	\$0	\$19	\$19	\$0	\$19
Health Insurance	\$241,000	\$8,122	\$19,387	\$0	\$19,387
Life Insurance	\$0	\$15	\$30	\$0	\$30
Medicare Taxation	\$5,000	\$889	\$1,987	\$0	\$1,987
OASDI	\$77,000	\$3,725	\$8,421	\$0	\$8,421
Retirement - General	\$305,000	\$19,541	\$41,167	\$0	\$41,167
Unemployment Insurance	\$3,000	\$0	\$0	\$0	\$0
Vision Care	\$1,000	\$83	\$190	\$0	\$190
Workers' Compensation	\$28,000	\$0	\$0	\$0	\$0
SCIF Allocation Cost	\$0	\$5,550	\$5,550	\$0	\$5,550
Other Post-Employment Benefits	\$20,000	\$2,106	\$4,424	\$0	\$4,424
Staff Benefits - Other	\$0	\$2,442	\$4,891	\$0	\$4,891
PERSONAL SERVICES	\$1,787,000	\$109,512	\$235,709	\$0	\$235,709

**FM 2 Expenditure Report FY
2021-2022**

OPERATING EXPENSES & EQUIPMENT					
Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance
5301 GENERAL EXPENSE	\$68,000	\$0	\$0	\$1,023	\$1,023
Fingerprint Reports	\$22,000	\$0	\$0	\$0	\$0
Freight and Drayage	\$0	\$0	\$0	\$1,000	\$1,000
Goods - Other	\$46,000	\$0	\$0	\$0	\$0
Office Supplies - Misc	\$0	\$0	\$0	\$23	\$23
5302 PRINTING	\$19,000	\$0	\$0	\$13,629	\$13,629
Pamphlets, Leaflets, Brochures	\$0	\$0	\$0	\$13,629	\$13,629
Printing - Other	\$19,000	\$0	\$0	\$0	\$0
5304 COMMUNICATIONS	\$21,000	\$28	\$28	\$0	\$28
Central Communication - CALNET	\$0	\$10	\$10	\$0	\$10
Telephone Services	\$0	\$18	\$18	\$0	\$18
Communications - Other	\$21,000	\$0	\$0	\$0	\$0
5306 POSTAGE	\$18,000	\$0	\$0	\$0	\$0
Postage - Other	\$18,000	\$0	\$0	\$0	\$0
53202-204 IN STATE TRAVEL	\$25,000	\$0	\$0	\$0	\$0
Travel - In State - Other	\$25,000	\$0	\$0	\$0	\$0
5322 TRAINING	\$9,000	\$0	\$0	\$0	\$0
Training - Tuition & Registrat	\$9,000	\$0	\$0	\$0	\$0
5324 FACILITIES	\$147,000	\$32,471	\$32,471	\$0	\$32,471
Rents and Leases	\$147,000	\$0	\$0	\$0	\$0
Rent -Bldgs&Grounds(Non State)	\$0	\$32,471	\$32,471	\$0	\$32,471
53402-53403 C/P SERVICES (INTERNAL)	\$243,000	\$0	\$0	\$0	\$0
Legal - Attorney General	\$197,000	\$0	\$0	\$0	\$0
Office of Adminis Hearings	\$46,000	\$0	\$0	\$0	\$0

**FM 2 Expenditure Report FY
2021-2022**

Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance
53404-53405 C/P SERVICES (EXTERNAL)	\$46,000	\$3,576	\$3,576	\$41,424	\$45,000
Administrative	\$0	\$313	\$313	\$4,687	\$5,000
Credit Card Service Fee	\$0	\$3,264	\$3,264	\$36,736	\$40,000
Court Reporter Servs	\$46,000	\$0	\$0	\$0	\$0
5342 DEPARTMENT PRORATA	\$923,000	\$230,750	\$230,750	\$0	\$230,750
Division of Investigation DOI	\$285,000	\$71,750	\$71,750	\$0	\$71,750
Consumer Client Servs Div CCSD	\$638,000	\$159,000	\$159,000	\$0	\$159,000
5342 DEPARTMENTAL SERVICES	\$0	\$0	\$585	\$0	\$585
Departmental Services - Other	\$0	\$0	\$585	\$0	\$585
5344 CONSOLIDATED DATA CENTERS	\$14,000	\$1,698	\$1,698	\$0	\$1,698
Consolidated Data Centers	\$14,000	\$1,698	\$1,698	\$0	\$1,698
5346 INFORMATION TECHNOLOGY	\$4,000	\$0	\$0	\$0	\$0
Information Technology - Other	\$4,000	\$0	\$0	\$0	\$0
5362-5368 EQUIPMENT	\$15,000	\$0	\$0	\$3,241	\$3,241
Communications Equipment	\$0	\$0	\$0	\$3,241	\$3,241
Office Equipment	\$15,000	\$0	\$0	\$0	\$0
54 SPECIAL ITEMS OF EXPENSE	\$0	\$2,306	\$2,306	\$0	\$2,306
Other Special Items of Expense	\$0	\$2,306	\$2,306	\$0	\$2,306
OPERATING EXPENSES & EQUIPMENT	\$1,552,000	\$268,522	\$268,522	\$59,317	\$327,838
OVERALL TOTALS	\$3,339,000	\$378,033	\$504,231	\$59,317	\$563,548

CA Board of Occupational Therapy

FM 13 Revenue Report FY 2020-2021

Revenue

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Delinquent Fees		\$0	\$3,515	\$41,000	-\$41,000
4121200012	3017 Delinq Ren OT	\$0	\$2,820	\$34,100	-\$34,100
4121200013	3017 Delinq Ren OTA	\$0	\$695	\$6,900	-\$6,900

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Regulatory Fees		\$0	\$1,485	\$24,081	-\$24,081
4129200029	3017 Cite & Fine	\$0	\$1,035	\$19,431	-\$19,431
4129200032	3017 Duplicate Lic OT	\$0	\$400	\$3,775	-\$3,775
4129200033	3017 Duplicate Lic OTA	\$0	\$50	\$875	-\$875

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Regulatory License and Permits		\$0	\$35,363	\$379,061	-\$379,061
4129400060	3017 Initial Lic OT	\$0	\$19,330	\$224,684	-\$224,684
4129400061	3017 Initial Lic OTA	\$0	\$5,289	\$54,445	-\$54,445
4129400062	3017 Limited Permit OT	\$0	\$500	\$6,400	-\$6,400
4129400063	3017 Limited Permit OTA	\$0	\$200	\$900	-\$900
4129400064	3017 Retired Status OT	\$0	\$400	\$3,075	-\$3,075
4129400065	3017 Retired Status OTA	\$0	\$125	\$900	-\$900

4129400066	3017 App Fee OT	\$0	\$7,100	\$70,516	-\$70,516
4129400067	3017 App Fee OTA	\$0	\$2,500	\$19,800	-\$19,800
4129400522	Refunded Reimbursements	\$0	\$0	-\$637	\$637
4129400523	Over/Short Fees	\$0	\$9	\$9	-\$9
4129400524	Suspended Revenue	\$0	-\$90	\$939	-\$939
4129400525	Prior Year Revenue Adjustment	\$0	\$0	-\$1,970	\$1,970

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Revenue		\$0	\$4,352	\$42,888	-\$42,888
4143500006	Misc Serv To Public General	\$0	\$2,835	\$29,575	-\$29,575
4163000000	ment Income - Surplus Money Invest	\$0	\$1,495	\$10,391	-\$10,391
4171400000	claimed Checks, Warrants, Bonds, a	\$0	\$0	\$308	-\$308
4171400001	Canceled Warrants Revenue	\$0	\$0	\$1,458	-\$1,458
4172500017	Dishonored Check Fee	\$0	\$0	\$25	-\$25
4173500000	Settlements and Judgments - Other	\$0	\$21	\$1,131	-\$1,131

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Renewal Fees		\$0	\$27,941	\$2,002,679	-\$2,002,679
4127400038	3017 Renewal OT	\$0	\$19,771	\$1,635,095	-\$1,635,095
4127400039	3017 Renewal OTA	\$0	\$6,870	\$314,520	-\$314,520
4127400040	3017 OT Restore Lic To Active	\$0	\$760	\$1,640	-\$1,640
4127400041	3017 OTA Restore Lic To Active	\$0	\$0	\$360	-\$360
4127400042	3017 Inactive Ren OT	\$0	\$540	\$42,060	-\$42,060
4127400043	3017 Inactive Ren OTA	\$0	\$0	\$9,000	-\$9,000
4127400281	Over/Short Fees Renewals	\$0	\$0	\$4	-\$4
Total Revenue		\$0	\$72,656	\$2,489,709	-\$2,489,709

Reimbursements

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Scheduled Reimbursements		\$0	\$3,038	\$29,645	-\$29,645
4840000001	Fingerprint Reports	\$0	\$3,038	\$29,645	-\$29,645
					\$0
Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Unscheduled Reimbursements		\$0	\$412	\$11,252	-\$11,252
4850000009	US Cost Recovery	\$0	\$412	\$11,252	-\$11,252
Total Reimbursements		\$0	\$3,450	\$40,897	-\$40,897

CA Board of Occupational Therapy

FM 13 Expenditure Report FY 2020-2021

PERSONAL SERVICES					
Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance
5100 PERMANENT POSITIONS	\$961,000	\$76,429	\$831,191	\$0	\$831,191
Earnings - Perm Civil Svc Empl	\$879,000	\$68,234	\$735,965	\$0	\$735,965
Earnings-Exempt/Statutory Empl	\$82,000	\$8,196	\$95,226	\$0	\$95,226
5100 TEMPORARY POSITIONS	\$4,000	\$3,301	\$8,721	\$0	\$8,721
Temp Help (907)	\$4,000	\$3,301	\$8,721	\$0	\$8,721
5105-5108 PER DIEM, OVERTIME, & LUMP SUM	\$20,000	\$1,426	\$5,221	\$0	\$5,221
Bd/Commission Mbrs (901, 920)	\$20,000	\$1,300	\$4,800	\$0	\$4,800
OT Earn Oth than to Temp Help	\$0	\$126	\$421	\$0	\$421
5150 STAFF BENEFITS	\$631,000	\$42,647	\$514,742	\$0	\$514,742
Flex Elect Contributions	\$0	\$0	\$0	\$0	\$0
Dental Insurance	\$2,000	\$723	\$8,680	\$0	\$8,680
Disability Leave - Nonindustri	\$2,000	\$0	\$0	\$0	\$0
Employee Assistance PGM Fee	\$0	\$41	\$234	\$0	\$234
Transit Invoices	\$0	\$75	\$75	\$0	\$75
Health Insurance	\$232,000	\$11,873	\$136,687	\$0	\$136,687
Life Insurance	\$0	\$15	\$179	\$0	\$179
Medicare Taxation	\$5,000	\$1,115	\$11,658	\$0	\$11,658
OASDI	\$72,000	\$4,565	\$49,724	\$0	\$49,724
Retirement - General	\$254,000	\$20,215	\$232,339	\$0	\$232,339
Unemployment Insurance	\$3,000	\$0	\$1,359	\$0	\$1,359
Vision Care	\$1,000	\$108	\$1,216	\$0	\$1,216
Workers' Compensation	\$28,000	\$0	\$54	\$0	\$54
SCIF Allocation Cost	\$0	-\$850	\$17,377	\$0	\$17,377
Other Post-Employment Benefits	\$32,000	\$2,318	\$25,695	\$0	\$25,695
Staff Benefits - Other	\$0	\$2,449	\$29,466	\$0	\$29,466

FM 13 Expenditure Report
FY 2020-2021

Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance
PERSONAL SERVICES	\$1,616,000	\$123,802	\$1,359,876	\$0	\$1,359,876
OPERATING EXPENSES & EQUIPMENT					
5301 GENERAL EXPENSE	\$65,000	\$9,289	\$32,122	\$551	\$32,673
Admin OH-Other State Agencies	\$0	\$0	\$21	\$0	\$21
Fingerprint Reports	\$22,000	\$4,802	\$22,050	\$0	\$22,050
Freight and Drayage	\$0	\$104	\$949	\$551	\$1,500
Goods - Other	\$43,000	\$0	\$0	\$0	\$0
Office Supplies - Misc	\$0	\$4,136	\$8,408	\$0	\$8,408
Subscriptions	\$0	\$247	\$694	\$0	\$694
5302 PRINTING	\$18,000	\$1,207	\$10,535	\$7,382	\$17,917
Office Copiers - Maintenance	\$0	\$388	\$1,426	\$1,862	\$3,288
Pamphlets, Leaflets, Brochures	\$0	\$818	\$8,973	\$5,520	\$14,493
Allocated Copy Costs-Copy Cntr	\$0	\$0	\$136	\$0	\$136
Printing - Other	\$18,000	\$0	\$0	\$0	\$0
5304 COMMUNICATIONS	\$20,000	\$28	\$4,166	\$0	\$4,166
Central Communication - CALNET	\$0	\$20	\$107	\$0	\$107
Telephone Services	\$0	\$8	\$4,059	\$0	\$4,059
Communications - Other	\$20,000	\$0	\$0	\$0	\$0
5306 POSTAGE	\$17,000	\$1,500	\$6,248	\$0	\$6,248
Postage - General	\$0	\$180	\$220	\$0	\$220
Postage - Stamps, Stamped Enve	\$0	\$0	\$66	\$0	\$66
Postage - Registered and Certi	\$0	\$0	\$9	\$0	\$9
Postage Meters - Rental, Repai	\$0	\$1,320	\$1,320	\$0	\$1,320
DCA Postage Allo	\$0	\$0	\$4,633	\$0	\$4,633
Postage - Other	\$17,000	\$0	\$0	\$0	\$0

**FM 13 Expenditure Report
FY 2020-2021**

Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance
5308 INSURANCE	\$0	\$205	\$235	\$0	\$235
Insurance - Other	\$0	\$205	\$235	\$0	\$235
53202-204 IN STATE TRAVEL	\$23,000	\$0	\$0	\$0	\$0
Travel - In State - Other	\$23,000	\$0	\$0	\$0	\$0
5322 TRAINING	\$8,000	\$0	\$0	\$0	\$0
Training - Tuition & Registrat	\$8,000	\$0	\$0	\$0	\$0
5324 FACILITIES	\$143,000	\$11,965	\$142,044	\$0	\$142,044
Facilities Maintenance Svcs	\$0	\$0	\$1,209	\$0	\$1,209
Facilities Ops - Other (Svcs)	\$0	\$0	\$5,209	\$0	\$5,209
Facilities Planning -Gen Svcs	\$0	\$1,116	\$7,573	\$0	\$7,573
Janitorial Services	\$0	\$0	\$270	\$0	\$270
Rents and Leases	\$143,000	\$0	\$0	\$0	\$0
Rent -Bldgs&Grounds(Non State)	\$0	\$10,850	\$127,783	\$0	\$127,783
53402-53403 C/P SERVICES (INTERNAL)	\$198,000	\$42,432	\$192,144	\$0	\$192,144
Health and Medical	\$0	\$0	\$91	\$0	\$91
Legal - Attorney General	\$197,000	\$37,541	\$157,446	\$0	\$157,446
Office of Adminis Hearings	\$1,000	\$4,891	\$34,607	\$0	\$34,607
53404-53405 C/P SERVICES (EXTERNAL)	\$32,000	\$7,658	\$47,303	\$7,636	\$54,938
Administrative	\$0	\$150	\$150	-\$150	\$0
Subject Matter Experts	\$0	\$1,331	\$4,610	\$0	\$4,610
Credit Card Service Fee	\$0	\$6,177	\$36,214	\$7,786	\$44,000
Evidence	\$0	\$0	\$895	\$0	\$895
Consult & Prof Svcs Extern Oth	\$0	\$0	\$627	\$0	\$627
Court Reporter Servs	\$32,000	\$0	\$4,807	\$0	\$4,807
5342 DEPARTMENT PRORATA	\$846,000	-\$36,815	\$786,351	\$0	\$786,351
Division of Investigation DOI	\$262,000	-\$41,471	\$229,862	\$0	\$229,862
Consumer Client Servs Div CCSD	\$584,000	\$4,656	\$556,489	\$0	\$556,489

**FM 13 Expenditure Report
FY 2020-2021**

Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance
5342 DEPARTMENTAL SERVICES	\$0	\$0	\$585	\$0	\$585
Departmental Services - Other	\$0	\$0	\$585	\$0	\$585
5344 CONSOLIDATED DATA CENTERS	\$12,000	\$14,083	\$28,034	\$0	\$28,034
Consolidated Data Centers	\$12,000	\$14,083	\$28,034	\$0	\$28,034
5346 INFORMATION TECHNOLOGY	\$4,000	\$0	\$1,128	\$93	\$1,221
IT Services - Hardware Maint	\$0	\$0	\$787	\$2	\$789
IT Services - Software Maint	\$0	\$0	\$328	\$0	\$328
IT Supplies (Paper, Toner, etc	\$0	\$0	\$0	\$83	\$83
E-Waste Recycl & Disposal Fees	\$0	\$0	\$13	\$8	\$21
Information Technology - Other	\$4,000	\$0	\$0	\$0	\$0
5362-5368 EQUIPMENT	\$28,000	\$0	\$10,803	\$402	\$11,205
Communications Equipment	\$0	\$0	\$85	\$0	\$85
Computers & Computer Equipment	\$0	\$0	\$9,212	\$17	\$9,230
Miscellaneous Equipment	\$0	\$0	\$916	\$0	\$916
Office Equipment	\$28,000	\$0	\$0	\$384	\$384
Software	\$0	\$0	\$590	\$0	\$590
54 SPECIAL ITEMS OF EXPENSE	\$0	\$2,306	\$2,306	\$0	\$2,306
Other Special Items of Expense	\$0	\$2,306	\$2,306	\$0	\$2,306
OPERATING EXPENSES & EQUIPMENT	\$1,414,000	\$53,859	\$1,264,006	\$16,064	\$1,280,069
OVERALL TOTALS	\$3,030,000	\$177,662	\$2,623,882	\$16,064	\$2,639,946

AGENDA ITEM 19b

CBOT CASES/COMPLAINTS DATA

July 1 – September 30, 2021

CATEGORY	QUANTITY
<i>Total Complaints Opened/Received:</i>	107
Conviction/Arrest Investigations:	34
Complaints Opened/Received:	73
Applications Denied per BPC 480:	0
Complaints Closed	125
<i>Total Complaints/Cases Pending:</i>	318
DOI Investigations Initiated:	1
DOI Investigation Reports Received:	0
<i>DOI Investigations Pending:</i>	7
Accusations Filed:	4
Statement of Issues Filed:	0
PC 23 Issued:	0
ISO Issued:	0
Petition to Revoke Probation (PTR) Filed:	0
Accusation and PTR Filed:	0
Case(s) Withdrawn:	0
Case(s) Dismissed	0
<i>Total Cases Pending at Office of the Attorney General</i>	14
Cease Practice Order(s) Issued:	0
Cease Practice Order(s) Lifted:	0
Final Decisions Effective:	6

Notes regarding Withdrawn cases:

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OTA Citations
July 1, 2021 to September 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1					1		\$85	\$0	\$85	08/13/21							\$0	\$85	
1					1		\$85	\$0	\$85	09/14/21	1					10/06/21	\$85	\$0	
1					1		\$85	\$0	\$85	09/14/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	09/30/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	09/30/21	1						\$0	\$85	
5	0	0	0	0	5	0	\$425	\$0	\$425		4	0	0	0	0		0	\$85	\$340

Violation Key:

FTC - Failure to Cooperate
UPC - Unprofessional Conduct
ULP - Unlicensed Practice

PDU - Continuing Education
ADC - Failure to Notify of Address Change
OTHER (Negligence, etc.)

OT Citations
July 1, 2021 to September 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1					1		\$85	\$0	\$85	07/21/21						08/10/21	1	\$85	\$0
1			1				\$600	\$0	\$600	07/21/21									\$600
1	1						\$600	\$0	\$600	07/30/21	1	1							\$600
1					1		\$85	\$0	\$85	08/09/21									\$85
1					1		\$85	\$0	\$85	08/09/21									\$85
1					1		\$85	\$0	\$85	08/09/21	1								\$85
1					1		\$85	\$0	\$85	08/13/21									\$85
1					1		\$85	\$0	\$85	08/13/21	1								\$85
1					1		\$85	\$0	\$85	08/13/21									\$85
1					1		\$150	\$0	\$150	08/20/21	1								\$150
1					1		\$85	\$0	\$85	08/20/21	1				08/31/21	1	\$85	\$0	
1					1		\$85	\$85	\$0	08/20/21	1	1		1					\$0
1					1		\$85	\$0	\$85	08/20/21	1								\$85
1					1		\$85	\$85	\$0	08/20/21	1						1		\$0
1				1			\$600	\$0	\$600	08/25/21									\$600
1					1		\$85	\$0	\$85	09/03/21	1								\$85
1					1		\$85	\$0	\$85	09/03/21	1								\$85
1					1		\$85	\$85	\$0	09/03/21	1	1		1					\$0
1					1		\$85	\$0	\$85	09/03/21	1								\$85
1					1		\$85	\$0	\$85	09/03/21	1								\$85
1					1		\$85	\$0	\$85	09/03/21					09/20/21	1	\$85	\$0	
1					1		\$85	\$0	\$85	09/03/21					09/07/21	1	\$85	\$0	
1					1		\$85	\$0	\$85	09/14/21	1								\$85
1					1		\$85	\$0	\$85	09/14/21	1				09/26/21	1	\$85	\$0	
1					1		\$85	\$0	\$85	09/14/21	1								\$85

OT Citations
July 1, 2021 to September 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1					1		\$85	\$0	\$85	09/14/21						09/22/21	1	\$85	\$0
1					1		\$85	\$0	\$85	09/30/21									\$85
1					1		\$85	\$0	\$85	09/30/21	1								\$85
28	1	0	1	1	25	0	\$3,990	\$255	\$3,735		17	3	0	2	1		6	\$510	\$3,225

Violation Key:

FTC - Failure to Cooperate
UPC - Unprofessional
Conduct ULP - Unlicensed
Practice

PDU - Continuing Education
ADC - Failure to Notify of Address
Change OTHER (Negligence, etc.)

Cases Pending with the Office of the Attorney General (AGO): 14

July 1, 2021 – September 30, 2021

DATE TRANSMITTED	COMPLAINT NUMBER	CASE TYPE	CURRENT STATUS
07/26/2017	2016-002	ACC	Federally sentenced; Accusation pending
07/14/2020	2020-575	ACC	Accusation 9/11/20; NOD rec'd 9/18/2020; potential settlement
07/15/2020	2020-599	ACC	Accusation 10/19/2020; Probation 3 years eff 10/22/2021
12/05/2020	2020-629	ACC	Accusation 4/26/2021; PD Adopted 10/21/21, to be served
12/16/2020	2020-251	ACC	Accusation 2/11/2021; Probation 3 years eff 11/3/2021
03/09/2021	2021-150	ACC	Accusation 8/2/2021; NOD rec'd 8/17/2021; Hearing 12/7/2021
04/12/2021	2021-125	ACC	Accusation 6/1/2021; NOD rec'd 6/21/20/21; potential settlement
04/15/2021	2021-560	CIT	Citation Hearing 6/10/2021; PD adopted; Dismiss eff 10/14/2021
04/15/2021	2021-263	ACC	Accusation 9/25/2021
05/06/2021	2021-415	ACC	Accusation 9/27/2021
05/10/2021	2020-335	ACC	Accusation edits requested 9/15/2021
06/23/2021	2021-219	ACC	Accusation edits requested 10/14/2021
07/06/2021	2021-418	ACC	Accusation 9/21/21; No NOD filed; DAG preparing Default
08/03/2021	2020-467	CIT	Citation Hearing 10/18/2021; Withdrawn by Resp 10/18/2021

Final Decisions

July 1, 2021 – September 30, 2021

Effective	Name	Type	Violation
07/23/2021	Gonzalez, Susana	Probation 3 years (Decision After Rejection of Proposed Decision)	Failed to Comply with Citation Order-Remediate PDUs due to failed renewal audit
08/12/2021	Lavoot, Penni	Surrender (Stipulated Settlement)	Failed to Comply with Citation Order -Remediate PDUs due to failed renewal audit
08/29/2021	Ryskalczyk, Roxanne	Probation 3 years (Stipulated Settlement)	Unprofessional Conduct/Gross Negligence/Incompetence/Standard of Care Issues-Documentation
08/29/2021	Vinnicombe, Brenda	Revocation (Default Decision)	Failed to Comply with Citation Order -Remediate PDUs due to failed renewal audit
08/29/2021	Jordan, Laura	Probation 3 years (Stipulated Settlement)	Failed to Comply with Citation Order -Remediate PDUs due to failed renewal audit
09/27/2021	Rogers, Judy	Revocation (Default Decision)	Failed to Comply with Citation Order -Remediate PDUs due to failed renewal audit

Practitioners Currently on Probation

NAME	LICENSE #	LENGTH OF PROBATION	EFFECTIVE DATE
Alvarado, Francisco	OT 4563	3 years	09/19/2019
Campbell, Steven	OTA 183	3 years	07/26/2019 *
De Jesus, Geraldine	OT 4769	3 years	10/22/2021
Deras, Carlos	OTA 3975	3 years	12/17/2018 *
Dowd, Joshua	OT 18574	3 years	03/27/2018 *
Edwards, Anna	OTA 2453	3 years	04/26/2019 *
Egler, Daniel	OT 6601	3 years	03/28/2019 *
Ganoza, Daniel	OT 6921	3 years	03/28/2019
Gerking, Lisa	OT 8787	2 years	05/18/2020
Gonzalez, Susana	OTA 1298	3 years	07/23/2021
Harding III, Jack	OT 11707	3 years	06/03/2021
Heng, Sonny	OT 18476	3 years	11/03/2021
Jordan, Laura	OT 5826	3 years	08/29/2021
Kelley, Anjuli	OT 11186	3 years	01/16/2014 *
Morrison, Crystal	OTA 1561	3 years	04/16/2021
Pompanescu, Duane	OT 3017	3 years	06/03/2021
Powell, Diana C.	OT 6367	3 years	06/03/2016 *
Ryskalczyk, Roxanne	OT 5654	3 years	08/29/2021
Schmidt, Rebecca	OT 8291	3 years	11/27/2009 *
Shin, Judy	OT 5682	4 years	12/02/2019
Suggs, Monica	OTA 1101	2.5 years	03/30/2019
Tolbert, Kristine	OT 4410	4 years	03/29/2019 *
Wilson, Candice	OTA 1436	3 years	07/16/2020 *

* Probation "tolled" or extended beyond original expiration date.

AGENDA ITEM 19c

Listing of future agenda items approved at previous meetings

- Evaluate per diem policy set forth in the Board Administrative Manual and the attendance form used to document time spent on Board activities.
- Invite representative from the Accreditation Council for Occupational Therapy Education to discuss the 2018 Standards.
- Consider appointing Disaster Preparedness/Disaster Response ad Hoc committee.
- Make appointments to other committees, as appropriate.
- Review updated Board Administrative Manual to reflect revised committee member appointment criteria for licensees.
- Identify committee member appointment criteria for non-licensee/public member to serve on Board committees.
- Update Board Member Disciplinary Resource Manual (once Disciplinary Guidelines and other enforcement-related regulations are updated).
- DCA Attorney to review and provide an explanation of SB 731 (Durazo) Criminal Records: relief.
- Discussion on the public comment alleging OTAC is pushing to credential all school-based OTs and PTs.
- OTAC request for the Board to consider adding Cultural Diversity courses as a PDU requirement for renewal.
- Public comment suggesting the Board consider adding an Ethics course as a PDU requirement for renewal.
- Practice Committee's consideration of the following:
 - Consider whether suture removal is within OT scope of practice.
 - Consider whether Advanced Practice approval in Hand Therapy is required to treat tunnel syndrome, repetitive motion injuries, or finger/hand pain, wrist pain, or elbow pain that is not due to trauma or surgery.
 - Review of ACOTE Guidelines and consider reducing advanced practice education and training requirements for students graduating after a certain date (date TBD).
 - Review of education and training requirements for licensees demonstrating competence in advanced practice areas and consideration of reducing education/training hours needed.
 - Recommendation on records retention requirement for an occupational therapy business that closes or is sold or if the practitioner is no longer in private practice.
 - Discussion on the public comment asking for Board position on whether Advanced Practice approval in Hand Therapy is required for non-complicated, non-surgical carpal tunnel syndrome.