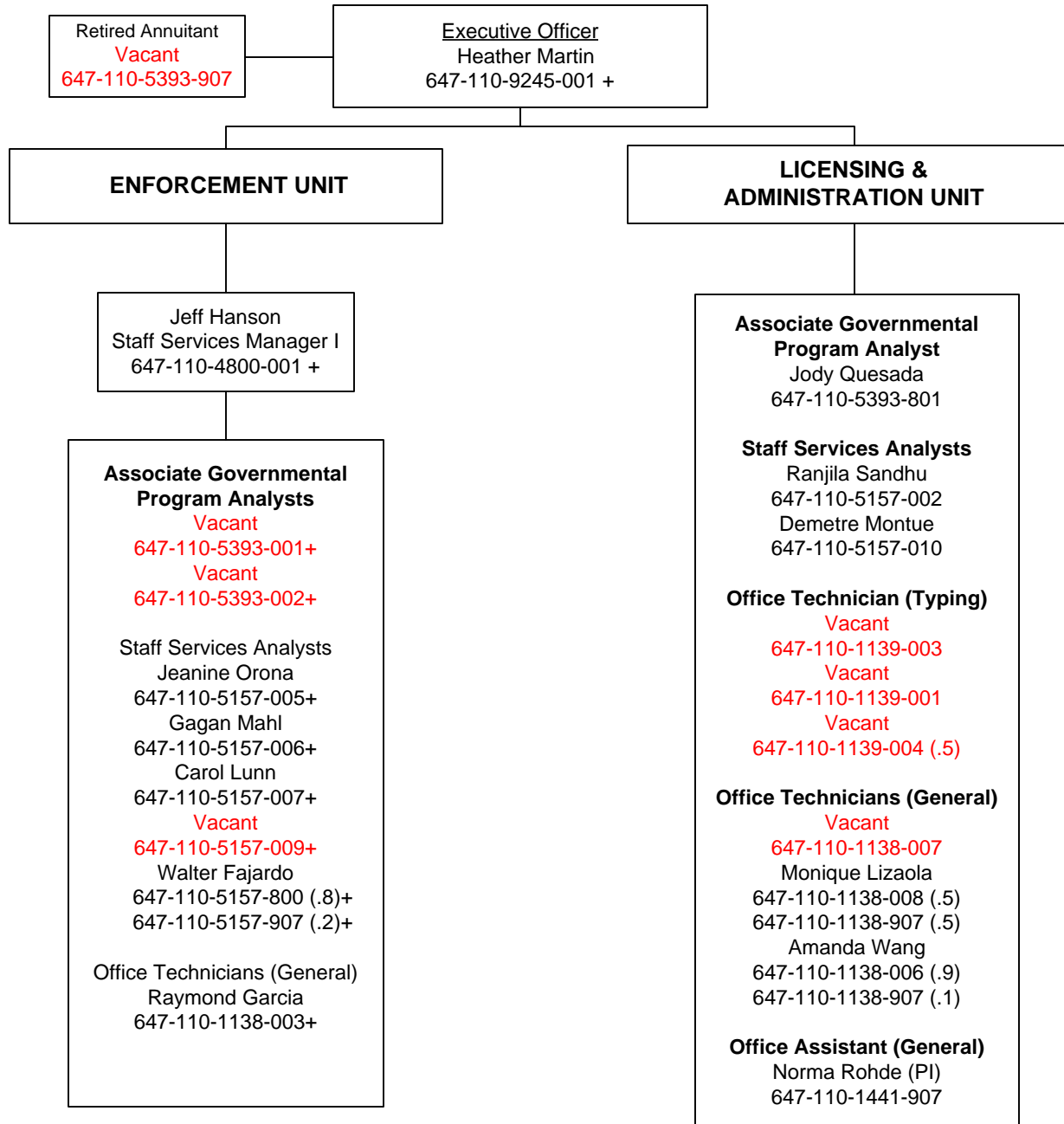


AGENDA ITEM 10(a)



HEATHER MARTIN, Executive Officer

CA Board of Occupational Therapy

FM 12 Revenue Report FY 2020-2021

Revenue

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Delinquent Fees		\$0	\$3,270	\$40,755	-\$40,755
4121200012	3017 Delinq Ren OT	\$0	\$2,575	\$33,855	-\$33,855
4121200013	3017 Delinq Ren OTA	\$0	\$695	\$6,900	-\$6,900

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Regulatory Fees		\$0	\$1,325	\$23,921	-\$23,921
4129200029	3017 Cite & Fine	\$0	\$950	\$19,346	-\$19,346
4129200032	3017 Duplicate Lic OT	\$0	\$325	\$3,700	-\$3,700
4129200033	3017 Duplicate Lic OTA	\$0	\$50	\$875	-\$875

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Regulatory License and Permits		\$0	\$28,175	\$371,873	-\$371,873
4129400060	3017 Initial Lic OT	\$0	\$15,379	\$220,733	-\$220,733
4129400061	3017 Initial Lic OTA	\$0	\$4,702	\$53,858	-\$53,858
4129400062	3017 Limited Permit OT	\$0	\$400	\$6,300	-\$6,300
4129400063	3017 Limited Permit OTA	\$0	\$100	\$800	-\$800
4129400064	3017 Retired Status OT	\$0	\$225	\$2,900	-\$2,900
4129400065	3017 Retired Status OTA	\$0	\$100	\$875	-\$875

4129400066	3017 App Fee OT	\$0	\$5,300	\$68,716	-\$68,716
4129400067	3017 App Fee OTA	\$0	\$2,050	\$19,350	-\$19,350
4129400522	Refunded Reimbursements	\$0	\$0	-\$637	\$637
4129400523	Over/Short Fees	\$0	\$9	\$9	-\$9
4129400524	Suspended Revenue	\$0	-\$90	\$939	-\$939
4129400525	Prior Year Revenue Adjustment	\$0	\$0	-\$1,970	\$1,970

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Other Revenue		\$0	\$2,436	\$40,973	-\$40,973
4143500006	Misc Serv To Public General	\$0	\$2,415	\$29,155	-\$29,155
4163000000	Investment Income - Surplus Money Investments	\$0	\$0	\$8,896	-\$8,896
4171400000	Escheat Unclaimed Checks, Warrants, Bonds, and Coupons	\$0	\$0	\$308	-\$308
4171400001	Canceled Warrants Revenue	\$0	\$0	\$1,458	-\$1,458
4172500017	Dishonored Check Fee	\$0	\$0	\$25	-\$25
4173500000	Settlements and Judgments - Other	\$0	\$21	\$1,131	-\$1,131

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Renewal Fees		\$0	\$26,971	\$2,001,709	-\$2,001,709
4127400038	3017 Renewal OT	\$0	\$19,011	\$1,634,335	-\$1,634,335
4127400039	3017 Renewal OTA	\$0	\$6,660	\$314,310	-\$314,310
4127400040	3017 OT Restore Lic To Active	\$0	\$760	\$1,640	-\$1,640
4127400041	3017 OTA Restore Lic To Active	\$0	\$0	\$360	-\$360
4127400042	3017 Inactive Ren OT	\$0	\$540	\$42,060	-\$42,060
4127400043	3017 Inactive Ren OTA	\$0	\$0	\$9,000	-\$9,000
4127400281	Over/Short Fees Renewals	\$0	\$0	\$4	-\$4
Total Revenue		\$0	\$62,177	\$2,479,231	-\$2,479,231

Reimbursements

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Scheduled Reimbursements		\$0	\$2,548	\$29,155	-\$29,155
4840000001	Fingerprint Reports	\$0	\$2,548	\$29,155	-\$29,155

Fiscal Code	Line Item	Budget	Current Month	YTD	Balance
Unscheduled Reimbursements		\$0	\$412	\$11,252	-\$11,252
4850000009	US Cost Recovery	\$0	\$412	\$11,252	-\$11,252
Total Reimbursements		\$0	\$2,960	\$40,407	-\$40,407

CA Board of Occupational Therapy

FM 12 Expenditure Report FY 2020-2021

PERSONAL SERVICES

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5100	PERMANENT POSITIONS	\$961,000	\$72,529	\$827,291	\$0	\$827,291	\$133,709
5100000000	Earnings - Perm Civil Svc Empl	\$879,000	\$64,594	\$732,325	\$0	\$732,325	\$146,675
5105000000	Earnings-Exempt/Statutory Empl	\$82,000	\$7,936	\$94,966	\$0	\$94,966	-\$12,966

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5100	TEMPORARY POSITIONS	\$4,000	\$2,073	\$7,494	\$0	\$7,494	-\$3,494
5100150004	Temp Help (907)	\$4,000	\$2,073	\$7,494	\$0	\$7,494	-\$3,494

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5105-5108	PER DIEM, OVERTIME, & LUMP SUM	\$20,000	\$1,426	\$5,221	\$0	\$5,221	\$14,779
5105100001	Bd/Commission Mbrs (901, 920)	\$20,000	\$1,300	\$4,800	\$0	\$4,800	\$15,200
5108000000	OT Earn Oth than to Temp Help	\$0	\$126	\$421	\$0	\$421	-\$421

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5150	STAFF BENEFITS	\$631,000	\$43,084	\$515,180	\$0	\$515,180	\$115,820
5108200000	Flex Elect Contributions	\$0	\$0	\$0	\$0	\$0	\$0
5150150000	Dental Insurance	\$2,000	\$723	\$8,680	\$0	\$8,680	-\$6,680
5150210000	Disability Leave - Nonindustri	\$2,000	\$0	\$0	\$0	\$0	\$2,000
5150250000	Employee Assistance PGM Fee	\$0	\$20	\$213	\$0	\$213	-\$213
5150350000	Health Insurance	\$232,000	\$11,873	\$136,687	\$0	\$136,687	\$95,313
5150400000	Life Insurance	\$0	\$15	\$179	\$0	\$179	-\$179
5150450000	Medicare Taxation	\$5,000	\$1,041	\$11,583	\$0	\$11,583	-\$6,583
5150500000	OASDI	\$72,000	\$4,323	\$49,482	\$0	\$49,482	\$22,518
5150600000	Retirement - General	\$254,000	\$20,215	\$232,339	\$0	\$232,339	\$21,661
5150700000	Unemployment Insurance	\$3,000	\$0	\$1,359	\$0	\$1,359	\$1,641
5150750000	Vision Care	\$1,000	\$108	\$1,216	\$0	\$1,216	-\$216
5150800000	Workers' Compensation	\$28,000	\$0	\$54	\$0	\$54	\$27,946
5150800004	SCIF Allocation Cost	\$0	\$0	\$18,227	\$0	\$18,227	-\$18,227
5150820000	Other Post-Employment Benefits	\$32,000	\$2,318	\$25,695	\$0	\$25,695	\$6,305
5150900000	Staff Benefits - Other	\$0	\$2,449	\$29,466	\$0	\$29,466	-\$29,466
PERSONAL SERVICES		\$1,616,000	\$119,113	\$1,355,187	\$0	\$1,355,187	\$260,813

OPERATING EXPENSES & EQUIPMENT

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5301	GENERAL EXPENSE	\$65,000	\$2,153	\$24,986	\$808	\$25,794	\$39,206
5301100002	Admin OH-Other State Agencies	\$0	\$0	\$21	\$0	\$21	-\$21
5301100003	Fingerprint Reports	\$22,000	\$1,764	\$19,012	\$0	\$19,012	\$2,988
5301350000	Freight and Drayage	\$0	\$104	\$949	\$551	\$1,500	-\$1,500
5301400000	Goods - Other	\$43,000	\$0	\$0	\$0	\$0	\$43,000
5301700000	Office Supplies - Misc	\$0	\$285	\$4,557	\$257	\$4,814	-\$4,814
5301900000	Subscriptions	\$0	\$0	\$447	\$0	\$447	-\$447

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5302	PRINTING	\$18,000	\$388	\$9,717	\$8,200	\$17,917	\$83
5302300000	Office Copiers - Maintenance	\$0	\$388	\$1,426	\$1,862	\$3,288	-\$3,288
5302700000	Pamphlets, Leaflets, Brochures	\$0	\$0	\$8,155	\$6,338	\$14,493	-\$14,493
5302700001	Allocated Copy Costs-Copy Cntr	\$0	\$0	\$136	\$0	\$136	-\$136
5302900000	Printing - Other	\$18,000	\$0	\$0	\$0	\$0	\$18,000

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5304	COMMUNICATIONS	\$20,000	\$10	\$4,148	\$0	\$4,148	\$15,852
5304220000	Central Communication - CALNET	\$0	\$10	\$97	\$0	\$97	-\$97
5304700000	Telephone Services	\$0	\$0	\$4,051	\$0	\$4,051	-\$4,051
5304800000	Communications - Other	\$20,000	\$0	\$0	\$0	\$0	\$20,000

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5306	POSTAGE	\$17,000	\$1,483	\$6,232	\$0	\$6,232	\$10,768
5306100000	Postage - General	\$0	\$163	\$204	\$0	\$204	-\$204
5306200000	Postage - Stamps, Stamped Enve	\$0	\$0	\$66	\$0	\$66	-\$66
5306300000	Postage - Registered and Certi	\$0	\$0	\$9	\$0	\$9	-\$9
5306600000	Postage Meters - Rental, Repai	\$0	\$1,320	\$1,320	\$0	\$1,320	-\$1,320
5306600003	DCA Postage Allo	\$0	\$0	\$4,633	\$0	\$4,633	-\$4,633
5306700000	Postage - Other	\$17,000	\$0	\$0	\$0	\$0	\$17,000

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5308	INSURANCE	\$0	\$205	\$235	\$0	\$235	-\$235
5308900000	Insurance - Other	\$0	\$205	\$235	\$0	\$235	-\$235

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
53202-204	IN STATE TRAVEL	\$23,000	\$0	\$0	\$0	\$0	\$23,000
5320490000	Travel - In State - Other	\$23,000	\$0	\$0	\$0	\$0	\$23,000

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5322	TRAINING	\$8,000	\$0	\$0	\$0	\$0	\$8,000
5322400000	Training - Tuition & Registrat	\$8,000	\$0	\$0	\$0	\$0	\$8,000

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5324	FACILITIES	\$143,000	\$11,404	\$141,483	\$0	\$141,483	\$1,517
5324100000	Facilities Maintenance Svcs	\$0	\$0	\$1,209	\$0	\$1,209	-\$1,209
5324200000	Facilities Ops - Other (Svcs)	\$0	\$0	\$5,209	\$0	\$5,209	-\$5,209
5324250000	Facilities Planning -Gen Svcs	\$0	\$554	\$7,011	\$0	\$7,011	-\$7,011
5324300000	Janitorial Services	\$0	\$0	\$270	\$0	\$270	-\$270
5324350000	Rents and Leases	\$143,000	\$0	\$0	\$0	\$0	\$143,000
5324450000	Rent -Bldgs&Grounds(Non State)	\$0	\$10,850	\$127,783	\$0	\$127,783	-\$127,783

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
53402-53403	C/P SERVICES (INTERNAL)	\$198,000	\$20,493	\$170,205	\$0	\$170,205	\$27,796
5340290000	Health and Medical	\$0	\$0	\$91	\$0	\$91	-\$91
5340310000	Legal - Attorney General	\$197,000	\$20,368	\$140,273	\$0	\$140,273	\$56,728
5340320000	Office of Adminis Hearings	\$1,000	\$125	\$29,841	\$0	\$29,841	-\$28,841

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
53404-53405	C/P SERVICES (EXTERNAL)	\$32,000	\$4,299	\$43,943	\$10,996	\$54,938	-\$22,938
5340420003	Subject Matter Experts	\$0	\$1,331	\$4,610	\$0	\$4,610	-\$4,610
5340420005	Credit Card Service Fee	\$0	\$2,967	\$33,004	\$10,996	\$44,000	-\$44,000
5340540003	Evidence	\$0	\$0	\$895	\$0	\$895	-\$895
5340580000	Consult & Prof Svcs Extern Oth	\$0	\$0	\$627	\$0	\$627	-\$627
5340580001	Court Reporter Servs	\$32,000	\$0	\$4,807	\$0	\$4,807	\$27,193

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5342	DEPARTMENT PRORATA	\$846,000	-\$2,173	\$820,993	\$0	\$820,993	\$25,007
5342500050	Division of Investigation DOI	\$262,000	\$0	\$271,333	\$0	\$271,333	-\$9,333
5342500055	Consumer Client Servs Div CCSD	\$584,000	-\$2,173	\$549,660	\$0	\$549,660	\$34,340

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5342	DEPARTMENTAL SERVICES	\$0	\$0	\$585	\$0	\$585	-\$585
5342600000	Departmental Services - Other	\$0	\$0	\$585	\$0	\$585	-\$585

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5344 CONSOLIDATED DATA CENTERS		\$12,000	\$12,400	\$26,351	\$0	\$26,351	-\$14,351
5344000000	Consolidated Data Centers	\$12,000	\$12,400	\$26,351	\$0	\$26,351	-\$14,351

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5346 INFORMATION TECHNOLOGY		\$4,000	\$0	\$1,128	\$93	\$1,221	\$2,779
5346320000	IT Services - Hardware Maint	\$0	\$0	\$787	\$2	\$789	-\$789
5346340000	IT Services - Software Maint	\$0	\$0	\$328	\$0	\$328	-\$328
5346700000	IT Supplies (Paper, Toner, etc	\$0	\$0	\$0	\$83	\$83	-\$83
5346800000	E-Waste Recycl & Disposal Fees	\$0	\$0	\$13	\$8	\$21	-\$21
5346900000	Information Technology - Other	\$4,000	\$0	\$0	\$0	\$0	\$4,000

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
5362-5368 EQUIPMENT		\$28,000	\$0	\$10,803	\$402	\$11,205	\$16,795
5368015000	Communications Equipment	\$0	\$0	\$85	\$0	\$85	-\$85
5368025000	Computers & Computer Equipment	\$0	\$0	\$9,212	\$17	\$9,230	-\$9,230
5368095000	Miscellaneous Equipment	\$0	\$0	\$916	\$0	\$916	-\$916
5368115000	Office Equipment	\$28,000	\$0	\$0	\$384	\$384	\$27,616
5368930000	Software	\$0	\$0	\$590	\$0	\$590	-\$590

Fiscal Code	Line Item	Budget	Current Month	YTD	Encumbrance	YTD + Encumbrance	Balance
54 SPECIAL ITEMS OF EXPENSE		\$0	\$2,306	\$2,306	\$0	\$2,306	-\$2,306
5490000000	Other Special Items of Expense	\$0	\$2,306	\$2,306	\$0	\$2,306	-\$2,306
OPERATING EXPENSES & EQUIPMENT		\$1,414,000	\$52,967	\$1,263,114	\$20,499	\$1,283,613	\$130,387

OVERALL TOTALS		\$3,030,000	\$172,080	\$2,618,301	\$20,499	\$2,638,799	\$391,201
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3017 - Board of Occupational Therapy
(Dollars in Thousands) 2021-22 Governor's Budget with CY
FM 12 Projections

	Actual	CY	BY	BY+1	BY+2
	2019-20	2020-21	2021-22	2022-23	2023-24
BEGINNING BALANCE	\$2,203	\$1,850	\$1,549	\$684	-\$226
Prior Year Adjustment	-\$106	\$0	\$0	\$0	\$0
Adjusted Beginning Balance	\$2,097	\$1,850	\$1,549	\$684	-\$226
REVENUES, TRANSFERS AND OTHER ADJUSTMENTS					
Revenues					
4121200 - Delinquent fees	\$37	\$41	\$48	\$48	\$48
4127400 - Renewal fees	\$1,774	\$2,002	\$2,250	\$2,306	\$2,364
4129200 - Other regulatory fees	\$53	\$24	\$41	\$41	\$41
4129400 - Other regulatory licenses and permits	\$349	\$372	\$393	\$393	\$393
4143500 - Miscellaneous services to the public	\$33	\$29	\$1	\$1	\$1
4163000 - Income from surplus money investments	\$47	\$9	\$8	\$6	\$2
4171400 - Escheat of unclaimed checks and warrants	\$1	\$3	\$2	\$2	\$2
4172500 - Miscellaneous revenues	\$0	\$0	\$5	\$5	\$5
4173500 - Settlements and Judgments - Other	\$0	\$1	\$0	\$0	\$0
Totals, Revenues	\$2,294	\$2,481	\$2,748	\$2,802	\$2,856
General Fund Transfers and Other Adjustments	\$0	\$0	\$0	\$0	\$0
TOTALS, REVENUES, TRANSFERS AND OTHER	\$2,294	\$2,481	\$2,748	\$2,802	\$2,856
ADJUSTMENTS TOTAL RESOURCES	\$4,391	\$4,331	\$4,297	\$3,486	\$2,630

	Actual 2019-20	CY 2020-21	BY 2021-22	BY+1 2022-23	BY+2 2023-24
EXPENDITURES AND EXPENDITURE					
ADJUSTMENTS Expenditures:					
1111 Program Expenditures (State Operations)	\$2,314	\$2,621	\$3,317	\$3,417	\$3,519
GSI Increase	\$0	\$0	\$99	\$99	\$99
9892 Supplemental Pension Payments (State Operations)	\$42	\$42	\$42	\$42	\$42
9900 Statewide Pro Rata	\$185	\$119	\$155	\$155	\$155
TOTALS, EXPENDITURES AND EXPENDITURE ADJUSTMENTS	\$2,541	\$2,782	\$3,613	\$3,713	\$3,815
FUND BALANCE					
Reserve for economic uncertainties	\$1,850	\$1,549	\$684	-\$226	-\$1,185
Months in Reserve	8.0	5.1	2.2	-0.7	-3.7

NOTES:

Assumes workload and revenue projections are realized in BY +1 and ongoing. Expenditure growth projected at 3% beginning BY +1.
CY revenue and expenditures are FM 12 projected amounts.

AGENDA ITEM 10(b)

**CA Board of Occupational Therapy
Applications Data: April 1, 2021 – June 30, 2021**

Transaction Type	April Apps Received	April Apps Approved	May Apps Received	May Apps Approved	June Apps Received	June Apps Approved	Total Received 4Q	Total Approved 4Q	Average Received per Month	Average Approved per Month
Initial OT License Apps	126	112	82	84	133	109	341	305	114	102
OT-Limited Permit Apps	14	6	13	15	7	10	34	31	11	10
Initial OTA License Apps	41	45	33	37	35	31	109	113	36	38
OTA-Limited Permit Apps	1	2	1	0	4	4	6	6	2	2
OT License Issued	103	103	87	84	103	102	293	289	98	96
OT Limited Permit Issued	6	6	11	11	4	4	21	21	7	7
OTA License Issued	41	41	40	38	32	31	113	110	38	37
OTA Limited Permit Issued	2	1	0	0	2	2	4	3	1	1
A/P – Hand Therapy	1	7	4	0	5	7	10	14	3	5
A/P – PAMs	4	14	8	0	11	10	23	24	8	8
A/P - Swallowing	1	1	2	5	0	0	3	6	1	2
Set Inactive to Active	4	4	2	1	5	3	11	8	4	3
Name Changes	21	23	24	22	17	67	62	112	21	37
Address Changes	229	229	251	251	279	279	759	759	253	253
Verifications	69	78	70	69	80	79	219	226	73	75
Set to Retired	17	17	9	8	21	22	47	47	16	16
Set Retired to Active	0	0	1	0	3	2	4	2	1	1
Totals	680	689	638	625	741	762	2,059	2,076	686	692
Transaction Type		Apr Renewals		May Renewals		June Renewals		Total Renewed 4Q		Average Renewed per Month
OT Renewals		612		505		626		1743		581
OTA Renewals		146		130		157		433		144
Totals		758		635		783		2176		725

FY 2020-21 Licensing Stats

Transaction Type	Total Received FY 2020-21	Total Approved FY 2020-21	Average Received per Month	Average Approved per Month
Initial OT License Apps	1,354	1255	113	105
OT-Limited Permit Apps	111	99	9	8
Initial OTA License Apps	380	381	32	32
OTA-Limited Permit Apps	16	14	1	1
OT License Issued	1,217	1,203	101	100
OT Limited Permit Issued	62	61	5	5
OTA License Issued	371	366	31	31
OTA Limited Permit Issued	9	8	1	1
A/P – Hand Therapy	57	61	5	5
A/P – PAMs	123	115	10	10
A/P - Swallowing	38	36	3	3
Set Inactive to Active	41	36	3	3
Name Changes	253	303	21	25
Address Changes	3,243	3,246	270	271
Verifications	839	835	70	70
Set to Retired	162	158	14	13
Set Retired to Active	11	9	1	1
Totals	8,287	8,186	691	682

Transaction Type		Total Renewed		Average Renewed per Month
OT Renewals		7,034		586
OTA Renewals		1,711		143
Totals		8,745		729

OTA Citations
April 1, 2021 - June 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1				1	1		\$685	\$0	\$685	05/03/21	1						\$0	\$685	
1					1		\$85	\$0	\$85	05/17/21							\$0	\$85	
1					1		\$85	\$0	\$85	05/17/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	05/17/21							\$0	\$85	
1					1		\$85	\$0	\$85	05/17/21				05/27/21	1	\$85	\$0	\$0	
1					1		\$85	\$0	\$85	05/17/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/07/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/07/21							\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/18/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/18/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/18/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/18/21	1						\$0	\$85	

OTA Citations
April 1, 2021 - June 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1				1			\$310	\$0	\$310	06/24/21	1						\$0	\$310	
1				1			\$400	\$0	\$400	06/25/21	1						\$0	\$400	
1				1			\$300	\$0	\$300	06/29/21							\$0	\$300	
1				1			\$85	\$0	\$85	06/29/21	1						\$0	\$85	
1	1						\$600	\$0	\$600	06/30/21	1						\$0	\$600	
1				1			\$685	\$0	\$685	06/30/21	1						\$0	\$685	
25	1	0	0	6	19	0	\$4,595	\$0	\$4,595		20	0	0	0	0		1	\$85	\$4,510

Violation Key:

FTC - Failure to Cooperate
UPC - Unprofessional Conduct
ULP - Unlicensed Practice

PDU - Continuing Education
ADC - Failure to Notify of Address Change
OTHER (Negligence, etc.)

OT Citations
April 1, 2021 - June 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1					1		\$85	\$0	\$85	05/10/21						06/10/21	1	\$85	\$0
1					1		\$85	\$0	\$85	05/10/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/10/21	1					05/27/21	1	\$85	\$0
1					1		\$85	\$0	\$85	05/10/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/10/21	1					06/25/21	1	\$85	\$0
1					1		\$85	\$0	\$85	05/12/21						06/11/21	1	\$85	\$0
1					1		\$85	\$0	\$85	05/12/21	1	1						\$0	\$85
1					1		\$85	\$0	\$85	05/12/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/12/21	1					05/25/21	1	\$85	\$0
1					1		\$85	\$0	\$85	05/17/21	1					05/30/21	1	\$85	\$0
1					1		\$85	\$0	\$85	05/17/21	1							\$0	\$85
1					1		\$85	\$85	\$0	05/17/21					1			\$0	\$0
1					1		\$85	\$0	\$85	05/17/21	1					05/30/21	1	\$85	\$0
1					1		\$85	\$0	\$85	05/17/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/21/21								\$0	\$85
1					1		\$85	\$0	\$85	05/21/21								\$0	\$85
1					1		\$85	\$0	\$85	05/21/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/21/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/24/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/24/21	1							\$0	\$85
1					1		\$85	\$0	\$85	05/24/21								\$0	\$85
1					1		\$85	\$0	\$85	05/24/21	1							\$0	\$85
1					1		\$85	\$0	\$85	06/03/21	1							\$0	\$85
1					1		\$85	\$0	\$85	06/03/21	1							\$0	\$85
1					1		\$85	\$0	\$85	06/07/21	1					06/30/21	1	\$85	\$0

OT Citations
April 1, 2021 - June 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1					1		\$85	\$0	\$85	06/07/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/07/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21					07/16/21	1	\$85	\$0	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/09/21							\$0	\$85	
1					1		\$85	\$85	\$0	06/09/21	1			1			\$0	\$0	
1					1		\$85	\$0	\$85	06/18/21					06/24/21	1	\$85	\$0	
1					1		\$85	\$0	\$85	06/18/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/18/21	1						\$0	\$85	
1					1		\$85	\$0	\$85	06/18/21	1	1					\$0	\$85	
1					1		\$85	\$0	\$85	06/18/21	1						\$0	\$85	
1				1			\$75	\$0	\$75	06/23/21	1				07/13/21	1	\$75	\$0	
1				1			\$450	\$0	\$450	06/23/21	1						\$0	\$450	
1				1			\$50	\$0	\$50	06/23/21	1				07/12/21		\$50	\$0	
1				1			\$200	\$0	\$200	06/24/21	1				07/23/21	1	\$200	\$0	
1			1				\$1,800	\$0	\$1,800	06/25/21							\$0	\$1,800	
1			1				\$200	\$0	\$200	06/25/21					07/19/21	1	\$200	\$0	
1				1			\$800	\$0	\$800	06/25/21	1						\$0	\$800	
1				1			\$340	\$0	\$340	06/25/21	1						\$0	\$340	
1				1			\$150	\$0	\$150	06/25/21							\$0	\$150	
1				1			\$25	\$0	\$25	06/25/21	1						\$0	\$25	
1				1			\$600	\$0	\$600	06/25/21	1						\$0	\$600	
1				1			\$600	\$0	\$600	06/25/21	1						\$0	\$600	
1				1			\$275	\$0	\$275	06/28/21	1						\$0	\$275	

OT Citations
April 1, 2021 - June 30, 2021

#	VIOLATION						FINE	FINE REDUCED	TOTAL FINE DUE	DATE ISSUED	APPEAL REC'D					PAYMENT			
	FTC	UPC	ULP	PDU	ADC	OTHER					ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Payment Date	Paid in Full	Payment Amount	Balance (OTA)
1				1			\$500	\$0	\$500	06/28/21							\$0	\$500	
1				1			\$75	\$0	\$75	06/28/21					07/01/21	1	\$75	\$0	
1				1			\$100	\$0	\$100	06/28/21					07/11/21	1	\$100	\$0	
1				1			\$100	\$0	\$100	06/28/21	1						\$0	\$100	
1				1			\$150	\$0	\$150	06/29/21	1						\$0	\$150	
1				1			\$600	\$0	\$600	06/29/21	1	1					\$0	\$600	
1			1				\$200	\$0	\$200	06/30/21		1					\$0	\$200	
1			1				\$300	\$0	\$300	06/30/21					07/06/21	1	\$300	\$0	
1	1			1			\$1,200	\$0	\$1,200	06/30/21	1						\$0	\$1,200	
1	1				1		\$685	\$0	\$685	06/30/21	1						\$0	\$685	
1	1						\$600	\$0	\$600	06/30/21	1						\$0	\$600	
1				1			\$600	\$0	\$600	06/30/21	1						\$0	\$600	
1				1			\$685	\$0	\$685	06/30/21	1	1					\$0	\$685	
1				1			\$685	\$0	\$685	06/30/21	1						\$0	\$685	
1				1			\$600	\$0	\$600	06/30/21	1						\$0	\$600	
1	1						\$600	\$0	\$600	06/30/21							\$0	\$600	
66	4	0	4	22	38	0	\$16,390	\$170	\$16,220		48	5	0	1	1		\$16	\$1,850	\$14,370

Violation Key:

FTC - Failure to Cooperate
UPC - Unprofessional Conduct
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PDU - Continuing Education
ADC - Failure to Notify of Address Change
OTHER (Negligence, etc.)

Citations Issued FY 2020-21

Lic Type	C/F # Iss'd	VIOLATION					FINE	FINE REDUCED	TOTAL FINE DUE	APPEAL					PAYMENT		
		FTC	UPC	ULP	PDU	ADC				ABATE	ICR	ADMIN	DISMISSED	WITHDRAWN	Paid in Full	Payment Amount	
OT	176	4	0	7	44	125	\$33,205	\$2,075	\$31,130	122	21	2	15	4	71	\$9,760	\$21,370
OTA	62	1	0	1	14	48	\$10,201	\$340	\$9,861	44	0	0	4	0	25	\$3,446	\$6,415

TOTAL FINES ASSESSED \$40,991

OUTSTANDING FINES DUE

\$27,785

Note: The number of citations issued do not equal the number of violations.

Four citations issued to OTs included two separate violations

Two citations issued to OTAs included two separate violations

Violation Key:

FTC - Failure to Cooperate

UPC - Unprofessional Conduct

ULP - Unlicensed Practice

PDU - Continuing Education

ADC - Failure to Notify of Address Change

CBOT CASES/COMPLAINTS DATA

April 1, 2021 – June 30, 2021

CATEGORY	QUANTITY
<i>Total Complaints Opened/Received:</i>	234
Conviction/Arrest Investigations:	34
Complaints Opened/Received:	200
Applications Denied per BPC 480:	0
Complaints Closed	256
<i>Total Complaints/Cases Pending:</i>	319
DOI Investigations Initiated:	1
DOI Investigation Reports Received:	6
<i>DOI Investigations Pending:</i>	6
Accusations Filed:	5
Statement of Issues Filed:	0
PC 23 Issued:	0
ISO Issued:	0
Petition to Revoke Probation (PTR) Filed:	0
Accusation and PTR Filed:	0
Case(s) Withdrawn:	0
Case(s) Dismissed	0
<i>Total Cases Pending at Office of the Attorney General</i>	18
Cease Practice Order(s) Issued:	1
Cease Practice Order(s) Lifted:	1
Final Decisions Effective:	5

CBOT CASES/COMPLAINTS DATA

Fiscal Year July 1, 2020 – June 30, 2021

CATEGORY	QUANTITY
Total Complaints Opened/Received:	1009
Conviction/Arrest Investigations:	114
Complaints Opened/Received:	895
Applications Denied per BPC 480:	1
Complaints Closed	1009
Total Complaints/Cases Pending:	319
DOI Investigations Initiated:	16
DOI Investigation Reports Received:	16
DOI Investigations Pending:	6
Accusations Filed:	17
Statement of Issues Filed:	0
PC 23 Issued:	1
ISO Issued:	0
Petition to Revoke Probation (PTR) Filed:	2
Accusation and PTR Filed:	0
Case(s) Withdrawn:	4
Case(s) Dismissed	0
Total Cases Pending at Office of the Attorney General	18
Cease Practice Order(s) Issued:	1
Cease Practice Order(s) Lifted:	1
Final Decisions Effective:	5

Notes regarding Withdrawn cases:

2019000469	SOI was withdrawn due to AB 2138 (07/31/20)
2020000502	Request to AGO to evaluate evidence to compel a licensee to submit to a psychological evaluation. AGO advised there was not enough evidence (08/10/20).
2020000598	After Accusation filed, it was discovered the licensee was deceased (10/21/20)
2019000469	Licensee compelled to submit to a psychological evaluation. The licensee complied, the evaluator reported no impairment and the licensee is safe to practice (02/08/21)