

AGENDA ITEM 17

EXECUTIVE OFFICER'S REPORT.

- a. Operational and budget reports.
- b. Presentation of licensing and enforcement data.
- c. Update on pending rulemaking files.
- d. Future agenda items.



Memorandum

Date: February 19, 2021

To: CBOT Members

From: Heather Martin, Executive Officer

Subject: Executive Officer Report

a) Operational and budget reports.

Two new hires began working for the Board in November: Legal Desk analyst in Enforcement and a Licensing Technician.

We're currently re-evaluating workload volume/distribution, consolidating positions to eliminate fractional time base positions and considering a reorganization; any future recruitments, if any, will begin after that.

Update on Strategic Plan action planning process.

Budget information attachments:

- Fiscal month (FM) 6 revenue (\$1,376,396)
- FM 6 expenditures (\$1,512,153)

b) Licensing and enforcement data and reports.

Following are licensing and enforcement data for the period October 1 – December 31, 2020.

- Application/Licensing data.
- Pending complaint/enforcement case info.
- Citation data.
- Listing of current probationers.

c) Pending Rulemaking files.

d) Future agenda items

- Practice Committee to consider the following:
 - Suture removal;
 - Review of ACOTE Guidelines and consider reducing advanced practice education and training requirements for students graduating after a certain date (date TBD).
 - Review of education and training requirements for licensees demonstrating competence in advanced practice areas and consideration of reducing education/training hours needed.
 - Recommendation on records retention requirement for an occupational therapy business that closes or is sold or if the practitioner is no longer in private practice.
- OTD ad hoc committee members to consider the following:
 - internship/clinical experience completed as part of a Doctor of Occupational Therapy (OTD) and the OT Practice Act requirements; discussion regarding
 - Multiple examination attempts by new graduates.
- Make appointments to other committees, as appropriate.

California Board of Occupational Therapy - Revenue Report

Fiscal Year: 2020 - 2021

Fiscal Month: 6

Revenue

| Fiscal Code | Line Item | Budget | Current Month | YTD | Balance |
|------------------------|----------------|-----------------|----------------|-----------------|-----------------|
| Delinquent Fees | | \$42,000 | \$3,710 | \$18,500 | \$23,500 |
| 4121200012 | Delinq Ren OT | \$0 | \$3,080 | \$15,620 | -\$15,620 |
| 4121200013 | Delinq Ren OTA | \$0 | \$630 | \$2,880 | -\$2,880 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Balance |
|------------------------------|-------------------|-----------------|----------------|----------------|-----------------|
| Other Regulatory Fees | | \$41,000 | \$2,445 | \$9,905 | \$31,095 |
| 4129200029 | Cite & Fine | \$0 | \$2,070 | \$7,880 | -\$7,880 |
| 4129200032 | Duplicate Lic OT | \$0 | \$300 | \$1,525 | -\$1,525 |
| 4129200033 | Duplicate Lic OTA | \$0 | \$75 | \$500 | -\$500 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Balance |
|---|--------------------|------------------|-----------------|------------------|------------------|
| Other Regulatory License and Permits | | \$382,000 | \$31,340 | \$186,076 | \$195,924 |
| 4129400060 | Initial Lic OT | \$0 | \$20,533 | \$112,599 | -\$112,599 |
| 4129400061 | Initial Lic OTA | \$0 | \$4,357 | \$26,922 | -\$26,922 |
| 4129400062 | LP - OT | \$0 | \$600 | \$3,600 | -\$3,600 |
| 4129400063 | LP - OTA | \$0 | \$100 | \$400 | -\$400 |
| 4129400064 | Retired Status OT | \$0 | \$225 | \$1,200 | -\$1,200 |
| 4129400065 | Retired Status OTA | \$0 | \$75 | \$425 | -\$425 |
| 4129400066 | App Fee OT | \$0 | \$4,350 | \$34,866 | -\$34,866 |
| 4129400067 | App Fee OTA | \$0 | \$1,000 | \$7,500 | -\$7,500 |
| 4129400522 | Refunds | \$0 | \$0 | -\$294 | \$294 |
| 4129400524 | Suspended Revenue | \$0 | \$100 | \$599 | -\$599 |
| 4129400525 | PY Rev Adjust | \$0 | \$0 | -\$1,741 | \$1,741 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Balance |
|----------------------|---------------------|-----------------|----------------|-----------------|-----------------|
| Other Revenue | | \$42,000 | \$2,421 | \$20,398 | \$21,602 |
| 4143500006 | Misc Serv to Public | \$0 | \$2,170 | \$14,945 | -\$14,945 |
| 4163000000 | Interest | \$0 | \$0 | \$4,135 | -\$4,135 |
| 4171400001 | Canceled Warrants | \$0 | \$251 | \$1,317 | -\$1,317 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Balance |
|---------------------|-----------------------|--------------------|------------------|--------------------|--------------------|
| Renewal Fees | | \$2,140,000 | \$164,610 | \$1,141,517 | \$998,483 |
| 4127400038 | Renewal OT | \$0 | \$136,230 | \$945,123 | -\$945,123 |
| 4127400039 | Renewal Ota | \$0 | \$24,120 | \$167,310 | -\$167,310 |
| 4127400040 | OT Restore to Active | \$0 | \$220 | \$880 | -\$880 |
| 4127400041 | OTA Restore to Active | \$0 | \$0 | \$180 | -\$180 |
| 4127400042 | OT Inactive Renew | \$0 | \$3,080 | \$23,100 | -\$23,100 |
| 4127400043 | OTA Inactive Renew | \$0 | \$960 | \$4,920 | -\$4,920 |
| 4127400281 | Over/Short Fees | \$0 | \$0 | \$4 | -\$4 |
| Revenue | | \$2,647,000 | \$204,526 | \$1,376,396 | \$1,270,604 |

Reimbursements

| Fiscal Code | Line Item | Budget | Current Month | YTD | Balance |
|---------------------------------|---------------------|-----------------|----------------|-----------------|----------------|
| Scheduled Reimbursements | | \$22,000 | \$1,666 | \$13,818 | \$8,182 |
| 4840000001 | Fingerprint Reports | \$0 | \$1,666 | \$13,818 | -\$13,818 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Balance |
|-----------------------------------|---------------|-----------------|----------------|-----------------|-----------------|
| Unscheduled Reimbursements | | \$0 | \$587 | \$5,691 | -\$5,691 |
| 4850000009 | Cost Recovery | \$0 | \$587 | \$5,691 | -\$5,691 |
| Reimbursements | | \$22,000 | \$2,253 | \$19,509 | \$2,491 |

Expenditure Report: California Board of Occupational Therapy

Fiscal Year: 2020 - 2021

Fiscal Month: 6

PERSONAL SERVICES

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|----------------------------|-----------------------|------------------|-----------------|------------------|-------------|-------------------|------------------|
| PERMANENT POSITIONS | | \$961,000 | \$72,144 | \$401,020 | \$0 | \$401,020 | \$559,980 |
| 5100000000 | Perm Civil Svc Empl | \$879,000 | \$64,209 | \$353,667 | \$0 | \$353,667 | \$525,333 |
| 5105000000 | Exempt/Statutory Empl | \$82,000 | \$7,936 | \$47,353 | \$0 | \$47,353 | \$34,647 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|----------------------------|-----------------|----------------|---------------|----------------|-------------|-------------------|---------------|
| TEMPORARY POSITIONS | | \$4,000 | \$112 | \$4,202 | \$0 | \$4,202 | -\$202 |
| 5100150004 | Temp Help (907) | \$4,000 | \$112 | \$4,202 | \$0 | \$4,202 | -\$202 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|---|-------------------------------|-----------------|---------------|--------------|-------------|-------------------|-----------------|
| PER DIEM, OVERTIME, & LUMP SUM | | \$20,000 | \$0 | \$113 | \$0 | \$113 | \$19,887 |
| 5105100001 | Bd/Commission Mbrs (901, 920) | \$20,000 | \$0 | \$0 | \$0 | \$0 | \$20,000 |
| 5108000000 | OT Earn Oth than to Temp Help | \$0 | \$0 | \$113 | \$0 | \$113 | -\$113 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|-----------------------|--------------------------------|------------------|-----------------|------------------|-------------|-------------------|------------------|
| STAFF BENEFITS | | \$631,000 | \$48,655 | \$254,904 | \$0 | \$254,904 | \$376,096 |
| 5108200000 | Flex Elect Contributions | \$0 | \$0 | \$0 | \$0 | \$0 | \$0 |
| 5150150000 | Dental Insurance | \$2,000 | \$723 | \$4,340 | \$0 | \$4,340 | -\$2,340 |
| 5150210000 | Disability Leave - Nonindustri | \$2,000 | \$0 | \$0 | \$0 | \$0 | \$2,000 |
| 5150250000 | Employee Assistance PGM Fee | \$0 | \$20 | \$92 | \$0 | \$92 | -\$92 |
| 5150350000 | Health Insurance | \$232,000 | \$11,873 | \$65,450 | \$0 | \$65,450 | \$166,550 |
| 5150400000 | Life Insurance | \$0 | \$15 | \$89 | \$0 | \$89 | -\$89 |
| 5150450000 | Medicare Taxation | \$5,000 | \$986 | \$5,580 | \$0 | \$5,580 | -\$580 |
| 5150500000 | OASDI | \$72,000 | \$4,502 | \$23,893 | \$0 | \$23,893 | \$48,107 |

| | | | | | | | |
|--------------------------|--------------------------------|--------------------|------------------|------------------|------------|------------------|------------------|
| 5150600000 | Retirement - General | \$254,000 | \$20,027 | \$112,571 | \$0 | \$112,571 | \$141,429 |
| 5150700000 | Unemployment Insurance | \$3,000 | \$680 | \$680 | \$0 | \$680 | \$2,321 |
| 5150750000 | Vision Care | \$1,000 | \$108 | \$571 | \$0 | \$571 | \$429 |
| 5150800000 | Workers' Compensation | \$28,000 | \$0 | \$0 | \$0 | \$0 | \$28,000 |
| 5150800004 | SCIF Allocation Cost | \$0 | \$4,971 | \$14,913 | \$0 | \$14,913 | -\$14,913 |
| 5150820000 | Other Post-Employment Benefits | \$32,000 | \$2,302 | \$11,952 | \$0 | \$11,952 | \$20,048 |
| 5150900000 | Staff Benefits - Other | \$0 | \$2,449 | \$14,773 | \$0 | \$14,773 | -\$14,773 |
| PERSONAL SERVICES | | \$1,616,000 | \$120,911 | \$660,240 | \$0 | \$660,240 | \$955,760 |

OPERATING EXPENSES & EQUIPMENT

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|------------------------|--------------------------------|-----------------|----------------|-----------------|----------------|-------------------|-----------------|
| GENERAL EXPENSE | | \$65,000 | \$1,314 | \$11,016 | \$2,825 | \$13,841 | \$51,159 |
| 5301100000 | Clerical & Nonprofessional Svc | \$0 | -\$2,450 | \$0 | \$0 | \$0 | \$0 |
| 5301100002 | Admin OH-Other State Agencies | \$0 | \$0 | \$21 | \$0 | \$21 | -\$21 |
| 5301100003 | Fingerprint Reports | \$22,000 | \$3,577 | \$9,751 | \$0 | \$9,751 | \$12,249 |
| 5301350000 | Freight and Drayage | \$0 | \$0 | \$279 | \$1,221 | \$1,500 | -\$1,500 |
| 5301400000 | Goods - Other | \$43,000 | \$0 | \$0 | \$0 | \$0 | \$43,000 |
| 5301700000 | Office Supplies - Misc | \$0 | \$187 | \$966 | \$1,604 | \$2,569 | -\$2,569 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|-----------------|--------------------------------|-----------------|---------------|----------------|-----------------|-------------------|-------------|
| PRINTING | | \$18,000 | \$900 | \$4,340 | \$13,577 | \$17,917 | \$83 |
| 5302300000 | Office Copiers - Maintenance | \$0 | \$65 | \$430 | \$2,858 | \$3,288 | -\$3,288 |
| 5302700000 | Pamphlets, Leaflets, Brochures | \$0 | \$835 | \$3,773 | \$10,720 | \$14,493 | -\$14,493 |
| 5302700001 | Allocated Copy Costs-Copy Cntr | \$0 | \$0 | \$136 | \$0 | \$136 | -\$136 |
| 5302900000 | Printing - Other | \$18,000 | \$0 | \$0 | \$0 | \$0 | \$18,000 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|----------------------------|--------------------------------|-----------------|---------------|--------------|-------------|-------------------|-----------------|
| 5304 COMMUNICATIONS | | \$20,000 | \$381 | \$920 | \$0 | \$920 | \$19,080 |
| 5304220000 | Central Communication - CALNET | \$0 | \$20 | \$37 | \$0 | \$37 | -\$37 |
| 5304700000 | Telephone Services | \$0 | \$361 | \$883 | \$0 | \$883 | -\$883 |
| 5304800000 | Communications - Other | \$20,000 | \$0 | \$0 | \$0 | \$0 | \$20,000 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|---------------------|--------------------------------|-----------------|---------------|-------------|-------------|-------------------|-----------------|
| 5306 POSTAGE | | \$17,000 | \$17 | \$49 | \$0 | \$49 | \$16,951 |
| 5306100000 | Postage - General | \$0 | \$17 | \$40 | \$0 | \$40 | -\$40 |
| 5306300000 | Postage - Registered and Certi | \$0 | \$0 | \$9 | \$0 | \$9 | -\$9 |
| 5306700000 | Postage - Other | \$17,000 | \$0 | \$0 | \$0 | \$0 | \$17,000 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|----------------------------------|---------------------------|-----------------|---------------|------------|-------------|-------------------|-----------------|
| 53202-204 IN STATE TRAVEL | | \$23,000 | \$0 | \$0 | \$0 | \$0 | \$23,000 |
| 5320490000 | Travel - In State - Other | \$23,000 | \$0 | \$0 | \$0 | \$0 | \$23,000 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|----------------------|--------------------------------|----------------|---------------|------------|-------------|-------------------|----------------|
| 5322 TRAINING | | \$8,000 | \$0 | \$0 | \$0 | \$0 | \$8,000 |
| 5322400000 | Training - Tuition & Registrat | \$8,000 | \$0 | \$0 | \$0 | \$0 | \$8,000 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|-------------------|-------------------------------|------------------|------------------|-----------------|-------------|-------------------|-----------------|
| FACILITIES | | \$143,000 | -\$45,017 | \$57,611 | \$0 | \$57,611 | \$85,389 |
| 5324100000 | Facilities Maintenance Svcs | \$0 | \$0 | \$1,209 | \$0 | \$1,209 | -\$1,209 |
| 5324250000 | Facilities Planning -Gen Svcs | \$0 | \$1,277 | \$3,360 | \$0 | \$3,360 | -\$3,360 |
| 5324350000 | Rents and Leases | \$143,000 | \$0 | \$0 | \$0 | \$0 | \$143,000 |
| 5324450000 | Rent -Bldgs&Grounds | \$0 | -\$46,294 | \$53,042 | \$0 | \$53,042 | -\$53,042 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|--------------------------------|----------------------------|------------------|-----------------|-----------------|-------------|-------------------|------------------|
| C/P SERVICES (INTERNAL) | | \$198,000 | \$15,549 | \$73,927 | \$0 | \$73,927 | \$124,073 |
| 5340310000 | Legal - Attorney General | \$197,000 | \$9,144 | \$78,716 | \$0 | \$78,716 | \$133,351 |
| 5340320000 | Office of Adminis Hearings | \$1,000 | \$6,406 | \$23,237 | \$0 | \$23,237 | -\$9,279 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|--------------------------------|------------------------|-----------------|----------------|-----------------|-----------------|-------------------|------------------|
| C/P SERVICES (EXTERNAL) | | \$32,000 | \$5,612 | \$17,533 | \$29,895 | \$47,428 | -\$15,428 |
| 5340420003 | Subject Matter Experts | \$0 | \$263 | \$2,023 | \$563 | \$2,585 | -\$2,585 |
| 5340420005 | Ccard Service Fee | \$0 | \$2,726 | \$11,667 | \$29,333 | \$41,000 | -\$41,000 |
| 5340540003 | Evidence | \$0 | \$0 | \$895 | \$0 | \$895 | -\$895 |
| 5340580001 | Court Reporter Servs | \$32,000 | \$2,623 | \$2,948 | \$0 | \$2,948 | \$29,052 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|---------------------------|-----------------------------------|------------------|------------------|------------------|-------------|-------------------|------------------|
| DEPARTMENT PRORATA | | \$846,000 | \$224,500 | \$673,500 | \$0 | \$673,500 | \$172,500 |
| 5342500050 | DOI | \$262,000 | \$74,000 | \$222,000 | \$0 | \$222,000 | \$40,000 |
| 5342500055 | Consumer Client Servs Div CCSD | \$584,000 | \$150,500 | \$451,500 | \$0 | \$451,500 | \$132,500 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|------------------------------|----------------------------------|------------|---------------|-------------|-------------|-------------------|--------------|
| DEPARTMENTAL SERVICES | | \$0 | \$0 | \$74 | \$0 | \$74 | -\$74 |
| 5342600000 | Departmental Services - Other | \$0 | \$0 | \$74 | \$0 | \$74 | -\$74 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|---------------------------------------|---------------------------|-----------------|----------------|----------------|-------------|-------------------|----------------|
| 5344 CONSOLIDATED DATA CENTERS | | \$12,000 | \$1,277 | \$6,354 | \$0 | \$6,354 | \$5,646 |
| 5344000000 | Consolidated Data Centers | \$12,000 | \$1,277 | \$6,354 | \$0 | \$6,354 | \$5,646 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|------------------------------------|-----------------------------------|----------------|---------------|--------------|--------------|-------------------|----------------|
| 5346 INFORMATION TECHNOLOGY | | \$4,000 | \$0 | \$646 | \$221 | \$868 | \$3,132 |
| 5346320000 | IT Services - Hardware Maint | \$0 | \$0 | \$622 | \$167 | \$789 | -\$789 |
| 5346340000 | IT Services - Software Maint | \$0 | \$0 | \$19 | \$38 | \$58 | -\$58 |
| 5346800000 | E-Waste Recycl & Disposal Fees | \$0 | \$0 | \$5 | \$16 | \$21 | -\$21 |
| 5346900000 | Information Technology - Other | \$4,000 | \$0 | \$0 | \$0 | \$0 | \$4,000 |

| Fiscal Code | Line Item | Budget | Current Month | YTD | Encumbrance | YTD + Encumbrance | Balance |
|----------------------------|-----------|-----------------|---------------|----------------|----------------|-------------------|-----------------|
| 5362-5368 EQUIPMENT | | \$28,000 | \$0 | \$5,943 | \$5,127 | \$11,070 | \$16,930 |

| | | | | | | | |
|---|--------------------------------|--------------------|------------------|--------------------|-----------------|--------------------|--------------------|
| 5368015000 | Communications Equipment | \$0 | \$0 | \$85 | \$0 | \$85 | -\$85 |
| 5368025000 | Computers & Computer Equipment | \$0 | \$0 | \$5,418 | \$3,812 | \$9,230 | -\$9,230 |
| 5368095000 | Miscellaneous Equipment | \$0 | \$0 | \$140 | \$776 | \$916 | -\$916 |
| 5368115000 | Office Equipment | \$28,000 | \$0 | \$0 | \$384 | \$384 | \$27,616 |
| 5368930000 | Software | \$0 | \$0 | \$301 | \$154 | \$455 | -\$455 |
| OPERATING EXPENSES & EQUIPMENT | | \$1,414,000 | \$204,532 | \$851,913 | \$51,645 | \$903,558 | \$510,442 |
| OVERALL TOTALS | | \$3,030,000 | \$325,443 | \$1,512,153 | \$51,645 | \$1,563,798 | \$1,466,202 |

**CA Board of Occupational Therapy
Applications Data: Oct 1, 2020 – Dec 31, 2020**

| License Type | Transaction Type | Oct | | Nov | | Dec | | Total Received 2Q | Total Approved 2Q | Average Received per | Average Approved per Month |
|---------------|---------------------------|------------|------------|------------|------------|------------|------------|-------------------|---------------------------|----------------------|----------------------------|
| | | Received | Approved | Received | Approved | Received | Approved | Received | Approved | Received | Approved |
| 1020-7101 | Initial OT License Apps | 129 | 86 | 122 | 133 | 88 | 129 | 339 | 348 | 113 | 116 |
| 1020-7102 | OT-Limited Permit Apps | 5 | 9 | 6 | 5 | 8 | 9 | 19 | 23 | 6 | 8 |
| 1020-7103 | Initial OTA License Apps | 43 | 31 | 13 | 32 | 19 | 34 | 75 | 97 | 25 | 32 |
| 1020-7104 | OTA-Limited Permit Apps | 0 | 1 | 0 | 0 | 2 | 1 | 2 | 2 | 1 | 1 |
| 1021-7101 | OT License Issued | 85 | 85 | 131 | 126 | 120 | 120 | 336 | 331 | 112 | 110 |
| 1021-7102 | OT Limited Permit Issued | 7 | 7 | 2 | 2 | 6 | 6 | 15 | 15 | 5 | 5 |
| 1021-7103 | OTA License Issued | 30 | 30 | 30 | 30 | 30 | 28 | 90 | 88 | 30 | 29 |
| 1021-7104 | OTA Limited Permit Issued | 1 | 1 | 0 | 0 | 1 | 1 | 2 | 2 | 1 | 1 |
| 5001 | A/P – Hand Therapy | 9 | 6 | 3 | 8 | 6 | 3 | 18 | 17 | 6 | 6 |
| 5002 | A/P – PAMs | 15 | 7 | 7 | 15 | 13 | 5 | 35 | 27 | 12 | 9 |
| 5003 | A/P - Swallowing | 3 | 2 | 4 | 1 | 4 | 4 | 11 | 7 | 4 | 2 |
| 8005 | Set Inactive to Active | 2 | 2 | 1 | 0 | 2 | 1 | 5 | 3 | 2 | 1 |
| 8010 | Name Changes | 26 | 27 | 13 | 14 | 29 | 24 | 68 | 65 | 23 | 22 |
| 8020 | Address Changes | 250 | 250 | 238 | 238 | 266 | 266 | 754 | 754 | 251 | 251 |
| 8030 | Verifications | 61 | 61 | 43 | 50 | 67 | 40 | 171 | 151 | 57 | 50 |
| 8051 | Set to Retired | 7 | 8 | 11 | 9 | 13 | 11 | 31 | 28 | 10 | 9 |
| 8052 | Set Retired to Active | 1 | 1 | 0 | 0 | 1 | 1 | 2 | 2 | 1 | 1 |
| Totals | | 674 | 614 | 624 | 663 | 675 | 683 | 1,973 | 1,960 | 658 | 653 |
| | | | | | | | | | | | |
| License Type | Transaction Type | Oct | | Nov | | Dec | | Total Renewed 2Q | Average Renewed per Month | | |
| 2020-7101 | OT Renewals | | 557 | | 518 | | 594 | 1669 | | 556 | |
| 2020-7103 | OTA Renewals | | 144 | | 139 | | 133 | 416 | | 139 | |
| Totals | | | 701 | | 657 | | 727 | 2085 | | 695 | |

OT CITATIONS
10-01/2020 - 12/31/2020

| # | VIOLATION | | | | | | | | FINE OT | FINE REDUCED | TOTAL FINE DUE | DATE ISSUED | APPEAL RECEIVED | | | | PAYMENT | | | | |
|---|-----------|-----|-----|----|-----|-----|-----|-------|------------|-----------------|----------------------|----------------|-----------------|-----|-------|-----------|-----------|---------------|--------------------|---------------|-----------------|
| | FDC | FTC | UPC | CC | ULP | PDU | ADC | OTHER | | | | | ABATEMENT? | ICR | ADMIN | Dismissed | Withdrawn | Pymnt Date | Paid in Full | Pymnt Amnt | Balance (OT) |
| 1 | | | | | 1 | | | | \$150 | \$150 | \$0 | 10/12/20 | | | | | 1 | | \$0 | \$0 | |
| 1 | | | | | | 1 | | | \$150 | \$0 | \$150 | 10/13/20 | | | | | | 10/17/20 | 1 | \$150 | \$0 |
| 1 | | | | | | 1 | 1 | | \$685 | \$0 | \$685 | 10/30/20 | 1 | | | | | | \$0 | \$685 | |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 11/30/20 | 1 | | | | | | \$0 | \$85 | |
| 1 | | | | | | 1 | | | \$600 | \$0 | \$600 | 12/03/20 | 1 | | | | | | \$0 | \$600 | |
| 1 | | | | | | 1 | | | \$600 | \$0 | \$600 | 12/03/20 | 1 | | | | | | \$0 | \$600 | |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/03/20 | 1 | | | | | | \$0 | \$85 | |
| 1 | | | | | | 1 | | | \$600 | \$0 | \$600 | 12/03/20 | 1 | | | | 12/22/20 | 1 | \$600 | \$0 | |
| 1 | | | | | | 1 | | | \$100 | \$0 | \$100 | 12/08/21 | 1 | 1 | 1 | | | | \$0 | \$100 | |
| 1 | | | | | | 1 | | | \$50 | \$0 | \$50 | 12/10/20 | 1 | | | | | 12/21/20 | 1 | \$50 | \$0 |
| 1 | | | | | | 1 | | | \$200 | \$0 | \$200 | 12/10/20 | | | | | | 12/14/20 | 1 | \$200 | \$0 |
| 1 | | | | | | 1 | | | \$600 | \$0 | \$600 | 12/10/20 | 1 | | | | | 01/09/21 | 1 | \$600 | \$0 |
| 1 | | | | | | 1 | | | \$300 | \$0 | \$300 | 12/11/20 | | 1 | | | | | \$0 | \$300 | |
| 1 | | | | | | 1 | | | \$700 | \$0 | \$700 | 12/11/20 | 1 | | | | | | \$0 | \$700 | |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/11/20 | | | | | | | \$0 | \$85 | |
| 1 | | | | | | 1 | | | \$125 | \$0 | \$125 | 12/11/20 | | | | | | | \$0 | \$125 | |
| 1 | | | | | | 1 | | | \$100 | \$0 | \$100 | 12/11/20 | 1 | 1 | | | | | \$0 | \$100 | |
| 1 | | | | | | 1 | | | \$150 | \$0 | \$150 | 12/15/20 | | | | | | 01/04/21 | 1 | \$150 | \$0 |
| 1 | | | | | | 1 | | | \$200 | \$0 | \$200 | 12/16/20 | | | | | | 12/21/20 | 1 | \$200 | \$0 |
| 1 | | | | | 1 | | | | \$600 | \$0 | \$600 | 12/16/20 | 1 | 1 | | | | | \$0 | \$600 | |
| 1 | | | | | | 1 | | | \$450 | \$0 | \$450 | 12/16/20 | | | | | | 12/22/20 | 1 | \$450 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/18/20 | 1 | | | | | 12/23/20 | 1 | \$85 | \$0 |

| | FDC | FTC | UPC | CC | ULP | PDU | ADC | OTHER | | | | | ABATEMENT? | ICR | ADMIN | Dismissed | Withdrawn | Pymnt Date | Paid in Full | Pymnt Amnt | Balance (OT) |
|-----------|----------|----------|----------|----------|----------|-----------|----------|----------|----------------|--------------|----------------|----------|------------|----------|----------|-----------|-----------|------------|--------------|----------------|----------------|
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/18/20 | | | | | | 01/01/21 | 1 | \$85 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$85 | \$0 | 12/18/20 | 1 | | | | 1 | | | \$0 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/24/20 | 1 | 1 | | | | | | \$0 | \$85 |
| 25 | 0 | 0 | 0 | 0 | 2 | 16 | 8 | 0 | \$6,955 | \$235 | \$6,720 | | 15 | 5 | 1 | 0 | 2 | | 10 | \$2,570 | \$4,150 |

Violation Key:

FDC - Failure to Disclose Criminal Conv.
 FTC - Failure to Cooperate
 UPC - Unprofessional Conduct
 CC - Criminal Convictions

ULP - Unlicensed Practice
 PDU - Continuing Education
 ADC - Failure to Notify of Address Change
 OTHER (Advanced Practice, Neglegence, ect.)

OTA Citations
10/01/2020 - 12/31/2020

| # | VIOLATION | | | | | | | | FINE | FINE REDUCED | TOTAL FINE DUE | DATE ISSUED | APPEAL | | | | | PAYMENT | | | |
|-----------|-----------|----------|----------|----------|----------|----------|----------|----------|----------------|--------------|----------------|-------------|----------|----------|----------|-----------|-----------|--------------|--------------|----------------|----------------|
| | FDC | FTC | UPC | CC | ULP | PDU | ADC | OTHER | | | | | ABATE | ICR | ADMIN | Dismissed | Withdrawn | Payment Date | Paid in Full | Payment Amnt | Balance (OTA) |
| 1 | | | | | | 1 | | | \$100 | \$0 | \$100 | 10/13/20 | | | | | | 10/26/20 | 1 | \$100 | \$0 |
| 1 | | | | | | 1 | 1 | | \$685 | \$0 | \$685 | 10/23/20 | 1 | | | | | | | \$0 | \$685 |
| 1 | | | | | | 1 | | | \$250 | \$0 | \$250 | 10/23/20 | 1 | | | | | 11/26/20 | 1 | \$0 | \$250 |
| 1 | | | | | | 1 | | | \$375 | \$0 | \$375 | 12/03/20 | | | | | | | | \$0 | \$375 |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/03/20 | | | | | | 01/14/21 | 1 | \$85 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/03/20 | 1 | | | | | 12/17/20 | 1 | \$85 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/07/20 | 1 | | | | | | | \$0 | \$85 |
| 1 | | | | | | 1 | | | \$125 | \$0 | \$125 | 12/10/20 | | | | | | 01/07/21 | 1 | \$125 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/11/20 | | | | | | 12/31/20 | 1 | \$85 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$0 | \$85 | 12/11/20 | | | | | | 01/10/21 | 1 | \$85 | \$0 |
| 1 | | | | | | 1 | | | \$500 | \$0 | \$500 | 12/15/20 | | | | | | 01/06/21 | 1 | \$500 | \$0 |
| 1 | | | | | | 1 | | | \$685 | \$0 | \$685 | 12/16/20 | | | | | | | | \$0 | \$685 |
| 1 | | | | | | 1 | | | \$256 | \$0 | \$256 | 12/18/20 | 1 | | | | | 01/19/21 | 1 | \$256 | \$0 |
| 1 | | | | | | | 1 | | \$85 | \$85 | \$0 | 12/24/20 | 1 | | | 1 | | | | \$0 | \$0 |
| 14 | 0 | 0 | 0 | 0 | 0 | 8 | 7 | 0 | \$3,486 | \$85 | \$3,401 | | 6 | 0 | 0 | 1 | 0 | | 9 | \$1,321 | \$2,080 |

Violation Key:

| | |
|--|---|
| FDC - Failure to Disclose Criminal Conv. | ULP - Unlicensed Practice |
| FTC - Failure to Cooperate | PDU - Continuing Education |
| UPC - Unprofessional Conduct | ADC - Failure to Notify of Address Change |
| CC - Criminal Conviction | OTHER (Negligence, ect.) |

CBOT ENFORCEMENT STATISTICAL REPORT:

October 1, 2020 – December 31, 2020

| | | | |
|--------------------------------------|------------|---|-----------|
| Total Complaints Received: | 251 | DOI Investigations Initiated: | 4 |
| Conviction/Arrest Investigations: | 29 | DOI Investigation Reports Received: | 7 |
| Complaints: | 222 | Formal DOI Investigations Pending: | 13 |
| Complaints-Closed: | 266 | | |
| Applications Denied per BPC 480/485: | 0 | Cases Pending with Attorney General (AG): | 21 |
| Total Complaints-Pending: | 383 | | |

| <u>Date of Transmit</u> | <u>Complaint Number</u> | <u>Case Type</u> | <u>Current Status</u> |
|-------------------------|-------------------------|------------------|---|
| 02/24/17 | 17-000253 | ACC | Accusation 10/19/18; Board voting on Prop Remand 2/5/21 |
| 07/26/17 | 16-000002 | ACC | AGO to draft Accusation; holding for federal sentencing |
| 10/18/19 | 19-000484 | ACC | Accusation 6/11/20; Board to vote on Default 2/26/21 |
| 12/19/19 | 19-000454 | PTC | Pet to Compel 3/5/20; Eval Report rec'd; Case closed 2/8/21 |
| 01/08/20 | 19-000439 | ACC | Accusation 3/5/20; Board to vote on Prop Dec 2/26/21 |
| 01/13/20 | 1002158277 | ACC | Accusation 6/8/20; NOD rec'd 6/26/20; possible Settlement |
| 01/13/20 | 1002163983 | ACC | Accusation 6/8/20; NOD rec'd 6/23/20; Rev Mitigation |
| 01/28/20 | 20-000451 | PTR | Pet to Revoke 4/30/20; Board to vote on Settlement 2/26/21 |
| 03/09/20 | 19-000133 | ACC | Accusation 4/7/20; Hearing 11/9/20; Revocation eff 3/10/21 |
| 05/20/20 | 20-000531 | ACC | Accusation 7/30/20; NOD rec'd 8/7/20; Hearing 5/24/21 |
| 06/19/20 | 19-000069 | ACC | Accusation 2/10/21 |
| 06/24/20 | 20-000592 | ACC | Accusation 9/14/20; Surrender eff 2/19/21 |
| 06/25/20 | 20-000549 | ACC | Accusation 12/9/20; NOD Rec'd; Surrender eff 1/18/21 |
| 07/14/20 | 20-000575 | ACC | Accusation 9/11/20; NOD rec'd 9/18/20. possible Settlement |

| | | | |
|----------|-----------|-----|---|
| 07/14/20 | 20-000576 | ACC | Accusation returned to AGO for revisions 12/8/20 |
| 07/15/20 | 20-000594 | ACC | Accusation returned to AGO for revisions 11/20/20 |
| 07/15/20 | 20-000599 | ACC | Accusation 10/19/20; NOD rec'd 11/2/20; possible Settlement |
| 07/28/20 | 21-000067 | PTR | PTR 11/19/20; Board to vote on Default 2/26/21 |
| 12/05/20 | 20-000629 | ACC | Accusation returned to AGO for revisions 2/12/21 |
| 12/16/20 | 20-000251 | ACC | Accusation 2/11/21 |
| 12/17/20 | 21-000434 | ACC | AGO to draft Accusation |

| | | | |
|--|----------|--------------------------------------|----------|
| Accusations filed: | 2 | Statement of Issues filed: | 0 |
| Case(s) Withdrawn: | 1 | Case(s) Dismissed | 0 |
| PC23 Issued: | 0 | ISO Issued: | 0 |
| Petition to Revoke Probation (PTR) filed: | 1 | Accusation & PTR filed: | 0 |
| Cease Practice Orders Issued: | 0 | Cease Practice Orders Lifted: | 0 |

Final Decisions: 5

| <u>Effective</u> | <u>Name</u> | <u>Decision</u> | <u>Complaint Type</u> |
|------------------|----------------------|--------------------------------------|---|
| 10/05/20 | Peterson, Taylor | Revocation (D) | <i>Conviction of Crime - DUI</i> |
| 10/05/20 | Dunton, Ashleigh | Revocation (D) | <i>Failed to cooperate with a Board investigation (Citation)</i> |
| 10/29/20 | Chitwood, Kerry | Surrender | <i>Failed to comply with Probation Order (Abstain from Alcohol)</i> |
| 11/14/20 | Kaufman, Jeff | Surrender | <i>Failed to Provide 24 PDUs (Citation)</i> |
| 11/20/20 | Cirigliano, Michelle | Grant Early Termination of Probation | <i>(Effective 4/30/21)</i> |

Practitioners Currently on Probation or Other Court Orders

| NAME | LICENSE # | LENGTH OF PROBATION | EFFECTIVE DATE |
|----------------------|-----------|---------------------|----------------|
| Alvarado, Francisco | OT 4563 | 3 years | 09/19/19 |
| Campbell, Steven | OTA 183 | 3 years | 07/26/19* |
| Cirigliano, Michelle | OT 12033 | 3 years | 05/14/19* |
| Deras, Carlos | OTA 3975 | 3 years | 12/17/18* |
| Dowd, Joshua | OT 18574 | 3 years | 03/27/18* |
| Edwards, Anna | OTA 2453 | 3 years | 04/26/19* |
| Egler, Daniel | OT 6601 | 3 years | 03/28/19* |
| Ganoza, Daniel | OT 6921 | 3 years | 03/28/19 |
| Gerking, Lisa | OT 8787 | 2 years | 05/18/20 |
| Jennings, Michael | OT 16913 | 3 years | 09/06/18* |
| Kelley, Anjuli | OT 11186 | 3 years | 01/16/14* |
| McKee, Rondelle | OT 10512 | 5 years | 06/22/20* |
| Oliver, Patricia | OT 6986 | 3 years | 10/28/16* |
| Powell, Diana C. | OT 6367 | 3 years | 06/03/16* |
| Schmidt, Rebecca | OT 8291 | 3 years | 11/27/09 * |
| Shin, Judy | OT 5682 | 4 years | 12/02/19 |
| Suggs, Monica | OTA 1101 | 2.5 years | 03/30/19 |
| Tolbert, Kristine | OT 4410 | 4 years | 03/29/19* |
| Wilson, Candice | OTA 1436 | 3 years | 07/16/20 |

* Probation "tolled" or extended beyond original expiration date.

Report prepared 02/04/21

AGENDA ITEM 18

REVIEW AND DISCUSSION OF THE *DEFINITION OF OCCUPATIONAL THERAPY PRACTICE* FOR THE AMERICAN OCCUPATIONAL THERAPY ASSOCIATION'S MODEL PRACTICE ACT.

The Definition of Occupational Therapy Practice is attached.

Definition of Occupational Therapy Practice for the AOTA Model Practice Act

Below is the current version (2011) of the definition of occupational therapy for use in state regulation followed by the proposed revised version with changes noted and a clean version of the proposed revised version.

Current version (2011):

The practice of occupational therapy means the therapeutic use of occupations, including everyday life activities with individuals, groups, populations, or organizations to support participation, performance, and function in roles and situations in home, school, workplace, community, and other settings. Occupational therapy services are provided for habilitation, rehabilitation, and the promotion of health and wellness to those who have or are at risk for developing an illness, injury, disease, disorder, condition, impairment, disability, activity limitation, or participation restriction. Occupational therapy addresses the physical, cognitive, psychosocial, sensory-perceptual, and other aspects of performance in a variety of contexts and environments to support engagement in occupations that affect physical and mental health, well-being, and quality of life.

The practice of occupational therapy includes:

- A. Evaluation of factors affecting activities of daily living (ADL), instrumental activities of daily living (IADL), rest and sleep, education, work, play, leisure, and social participation, including:
 1. Client factors, including body functions (such as neuromusculoskeletal, sensory-perceptual, visual, mental, cognitive, and pain factors) and body structures (such as cardiovascular, digestive, nervous, integumentary, genitourinary systems, and structures related to movement), values, beliefs, and spirituality.
 2. Habits, routines, roles, rituals, and behavior patterns.
 3. Physical and social environments, cultural, personal, temporal, and virtual contexts and activity demands that affect performance.
 4. Performance skills, including motor and praxis, sensory-perceptual, emotional regulation, cognitive, communication, and social skills.
- B. Methods or approaches selected to direct the process of interventions such as:
 1. Establishment, remediation, or restoration of a skill or ability that has not yet developed, is impaired, or is in decline.
 2. Compensation, modification, or adaptation of activity or environment to enhance performance, or to prevent injuries, disorders, or other conditions.
 3. Retention and enhancement of skills or abilities without which performance in everyday life activities would decline.
 4. Promotion of health and wellness, including the use of self-management

- strategies, to enable or enhance performance in everyday life activities.
5. Prevention of barriers to performance and participation, including injury and disability prevention.
- C. Interventions and procedures to promote or enhance safety and performance in activities of daily living (ADL), instrumental activities of daily living (IADL), rest and sleep, education, work, play, leisure, and social participation, including:
1. Therapeutic use of occupations, exercises, and activities.
 2. Training in self-care, self-management, health management and maintenance, home management, community/work reintegration, and school activities and work performance.
 3. Development, remediation, or compensation of neuromusculoskeletal, sensory-perceptual, visual, mental, and cognitive functions, pain tolerance and management, and behavioral skills.
 4. Therapeutic use of self, including one's personality, insights, perceptions, and judgments, as part of the therapeutic process.
 5. Education and training of individuals, including family members, caregivers, groups, populations, and others.
 6. Care coordination, case management, and transition services.
 7. Consultative services to groups, programs, organizations, or communities.
 8. Modification of environments (home, work, school, or community) and adaptation of processes, including the application of ergonomic principles.
 9. Assessment, design, fabrication, application, fitting, and training in seating and positioning, assistive technology, adaptive devices, and orthotic devices, and training in the use of prosthetic devices.
 10. Assessment, recommendation, and training in techniques to enhance functional mobility, including management of wheelchairs, and other mobility devices.
 11. Low vision rehabilitation.
 12. Driver rehabilitation and community mobility.
 13. Management of feeding, eating, and swallowing to enable eating and feeding performance.
 14. Application of physical agent modalities, and use of a range of specific therapeutic procedures (such as wound care management; interventions to enhance sensory-perceptual, and cognitive processing; and manual therapy) to enhance performance skills.
 15. Facilitating the occupational performance of groups, populations, or organizations through the modification of environments and the adaptation of processes.

Proposed revised version (with changes noted):

The practice of occupational therapy means the therapeutic use of everyday life occupations with individuals, persons, groups, or populations or organizations to support participation, performance, and function in roles and situations in home, school, workplace, community, and other settings. Occupational therapy services are provided for habilitation, rehabilitation, and the promotion of health and wellness for clients with disability and non-disability-related needs. These services include acquisition and preservation of occupational identity for clients to those who have or are at risk for developing an illness, injury, disease, disorder, condition, impairment, disability, activity limitation, or participation restriction. Occupational therapy addresses the physical, cognitive, psychosocial, sensory-perceptual, and other aspects of performance in a variety of contexts and environments to support engagement in occupations that affect physical and mental health, well-being, and quality of life. Through engagement in everyday activities, occupational therapy promotes mental health by supporting occupational performance in people with, or at risk of experiencing, a range of developmental, physical and mental health disorders.

The practice of occupational therapy includes the following components:

- A. Evaluation of factors affecting activities of daily living (ADLs), instrumental activities of daily living (IADLs), health management, rest and sleep, education, work, play, leisure, and social participation, including
 1. Context (environmental and personal factors) Physical and social environments, cultural, personal, temporal, and virtual contexts and occupational and activity demands that affect performance occupational and activity demands that affect performance
 2. Performance patterns including habits, routines, roles, and rituals and behavior patterns
 3. Performance patterns and skills, including motor and praxis, sensory-perceptual, emotional regulation, cognitive, communication skills (e.g., moving oneself or moving and interacting with objects), process skills (e.g., actions related to selecting, interacting with, and using tangible task objects), and social interaction skills (e.g., using verbal and non-verbal skills to communicate)
 4. Client factors, including body functions (e.g., neuromuscular, sensory-perceptual, visual, mental, psychosocial, cognitive, pain factors) and body structures (e.g., cardiovascular, digestive, nervous, integumentary, genitourinary systems, structures related to movement), values, beliefs, and spirituality.
- B. Methods or approaches selected to direct the process of to identify and select interventions, such as
 1. Establishment, remediation, or restoration of a skill or ability that has not yet developed, is impaired, or is in decline
 2. Compensation, modification, or adaptation of activity or environment to contexts to improve or enhance performance or to prevent injuries, disorders, or other conditions.
 3. Retention and enhancement of skills or abilities Maintenance of

capabilities without which performance in everyday life occupations would decline

4. ~~Promotion of Health~~ promotion and wellness ~~including the use of self-management strategies to enable or enhance performance in everyday life activities and quality of life~~
5. Prevention of occurrence or emergence of barriers to performance and participation, including injury and disability prevention and occupational deprivation.

C. Interventions and procedures to promote or enhance safety and performance in ADLs, IADLs, health management, rest and sleep, education, work, play, leisure, and social participation; for example:

1. Therapeutic use of occupations ~~exercises, and activities~~
2. Training in self-care, self-management, health management and ~~maintenance~~ (e.g., medication management, maintaining health routines), home management, community/work integration, and school activities and work performance
3. Identification, development, remediation, or compensation of physical, neuromusculoskeletal, sensory-perceptual, emotional regulation, visual, mental, and cognitive functions; pain tolerance and management; praxis; developmental skills; and behavioral skills
4. ~~Therapeutic use of self, including one's personality, insights, perceptions, and judgements as part of the therapeutic process~~
5. Education and training of persons ~~individuals~~, including family members, caregivers, groups, populations, and others
6. Care coordination, case management, and transition services
7. Consultative services to persons, groups, populations, programs, and organizations or communities
8. Virtual interventions (e.g., simulated, real-time, and near-time technologies, including telehealth and mobile technology)
9. Modification of ~~environments contexts~~ physical (environmental and personal factors in settings such as home, work, school, and community) and adaptation of processes, including the application of ergonomic principles
10. Assessment, design, fabrication, application, fitting, and training in seating and positioning, assistive technology, adaptive devices, and orthotic devices, and training in the use of prosthetic devices
11. Assessment, recommendation, ~~design, fabrication, application, fitting and training in techniques to enhance functional mobility, including management of wheelchairs and other mobility devices~~
12. Exercises, including tasks and methods to increase motion, strength, and endurance for occupational participation
13. Remediation and compensation of visual deficits including low vision rehabilitation
14. Driver rehabilitation and community mobility
15. Management of feeding, eating, and swallowing to enable eating and feeding performance

16. Application of physical agent and mechanical modalities and use of a range of specific therapeutic procedures (e.g., wound care management; ~~interventions-techniques~~ to enhance ~~sensory-perceptual~~ sensory, perceptual, and cognitive processing; manual therapy techniques) to enhance performance skills
17. Facilitating the occupational performance of persons, groups, or populations ~~or organizations~~ through modification of ~~environments~~ contexts (environmental and personal) and adaptation of processes
18. Efforts directed toward promoting occupational justice and empowering clients to seek and obtain resources to fully participate in their everyday life occupations
19. Group interventions (e.g., use of dynamics of group and social interaction to facilitate learning and skill acquisition across the life course).

CONFIDENTIAL

Proposed revised version (clean):

The practice of occupational therapy means the therapeutic use of everyday life occupations with persons, groups, or populations to support participation. Occupational therapy services are provided for habilitation, rehabilitation, and the promotion of health and wellness for clients with disability and non–disability-related needs. These services include acquisition and preservation of occupational identity for clients who have or are at risk for developing an illness, injury, disease, disorder, condition, impairment, disability, activity limitation, or participation restriction. Through engagement in everyday activities, occupational therapy promotes mental health by supporting occupational performance in people with, or at risk of experiencing, a range of developmental, physical and mental health disorders.

The practice of occupational therapy includes the following components:

- A. Evaluation of factors affecting activities of daily living (ADLs), instrumental activities of daily living (IADLs), health management, rest and sleep, education, work, play, leisure, and social participation, including
 1. Context (environmental and personal factors) and occupational and activity demands that affect performance
 2. Performance patterns including habits, routines, roles, and rituals
 3. Performance skills, including motor skills (e.g., moving oneself or moving and interacting with objects), process skills (e.g., actions related to selecting, interacting with, and using tangible task objects), and social interaction skills (e.g., using verbal and non-verbal skills to communicate)
 4. Client factors, including body functions (e.g., neuromuscular, sensory, visual, mental, psychosocial, cognitive, pain factors) body structures (e.g., cardiovascular, digestive, nervous, integumentary, genitourinary systems, structures related to movement), values, beliefs, and spirituality.
- B. Methods or approaches to identify and select interventions, such as
 1. Establishment, remediation, or restoration of a skill or ability that has not yet developed, is impaired, or is in decline
 2. Compensation, modification, or adaptation of activity contexts to improve or enhance performance
 3. Maintenance of capabilities without which performance in everyday life occupations would decline
 4. Health promotion and wellness to enable or enhance performance in everyday life activities and quality of life
 5. Prevention of occurrence or emergence of barriers to performance and participation, including injury and disability prevention and occupational deprivation.
- C. Interventions and procedures to promote or enhance safety and performance in ADLs, IADLs, health management, rest and sleep, education, work, play, leisure, and social participation; for example:
 1. Therapeutic use of occupations and activities
 2. Training in self-care, self-management, health management (e.g., medication management, maintaining health routines), home management, community/work integration, and school activities and work performance
 3. Identification, development, remediation, or compensation of physical, neuromusculoskeletal, sensory-perceptual, emotional regulation, visual, mental, and cognitive functions; pain tolerance and management; praxis; developmental skills; and behavioral skills
 4. Education and training of persons, including family members, caregivers, groups, populations, and others
 5. Care coordination, case management, and transition services
 6. Consultative services to persons, groups, populations, programs, and organizations

7. Virtual interventions (e.g., simulated, real-time, and near-time technologies, including telehealth and mobile technology)
8. Modification of contexts (environmental and personal factors in settings such as home, work, school, and community) and adaptation of processes, including the application of ergonomic principles
9. Assessment, design, fabrication, application, fitting, and training in seating and positioning, assistive technology, adaptive devices, and orthotic devices, and training in the use of prosthetic devices
10. Assessment, recommendation, and training in techniques to enhance functional mobility, including management of wheelchairs and other mobility devices
11. Exercises, including tasks and methods to increase motion, strength, and endurance for occupational participation
12. Remediation and compensation of visual deficits including low vision rehabilitation
13. Driver rehabilitation and community mobility
14. Management of feeding, eating, and swallowing to enable eating and feeding performance
15. Application of physical agent and mechanical modalities and use of a range of specific therapeutic procedures (e.g., wound care management; techniques to enhance sensory, perceptual, and cognitive processing; manual therapy techniques) to enhance performance skills
16. Facilitating the occupational performance of persons, groups, or populations through modification of contexts (environmental and personal) and adaptation of processes
17. Efforts directed toward promoting occupational justice and empowering clients to seek and obtain resources to fully participate in their everyday life occupations
18. Group interventions (e.g., use of dynamics of group and social interaction to facilitate learning and skill acquisition across the life course).