AGENDA ITEM 11

ENFORCEMENT DATA AND REPORTS FOR THE PERIOD JULY 1 – SEPTEMBER 30, 2013.

BOT ENFORCEMENT STATISTICAL REPORT

July 1, 2013 – September 30, 2013

Total Complaints-Received: 242 DOI Investigations Initiated: 11

Record of Arrests and Prosecutions [RAP] Received: 13 Subsequent Arrest Reports Received: 12

Complaints-Closed: 222 DOI Investigation Reports Received: 4

Total Complaints-Pending: 220 (Oldest: 4/7/11) Formal DOI Investigations Pending: 18 (Oldest: 1/31/11)

Applications Denied pursuant to Business and Professions Code 480/485: 0

0

Cases Pending with the Attorney General (AG): 19

Transmitted	Complaint No	Type	Current Status	
05/24/12	OA 2010-385	Accusation	Accusation Filed 11/20/12; NOD recd; Hearing scheduled 3/18/2014	
09/24/12	AR 2011-309	SOI	Statement of Issues Filed 2/8/13; Hearing scheduled 11/8/2013	
09/24/12	AR 2011-376	SOI	Hearing held 8/15/13; Proposed Decision to be voted on at Nov meeti	ng
09/24/12	OT 2010-621	Accusation	Accusation drafted not served; supplemental investigation requested	
11/21/12	OT 2010-333	Accusation	Accusation Filed 5/6/13, NOD recd; Hearing scheduled 1/23/2014	
11/27/12	OT 2011-117	Accusation	Accusation Filed 5/7/13; NOD recd; Hearing scheduled 2/25/2014	
12/11/12	OT 2011-49	Citation Hearing	Hearing scheduled 2/5/2014	
12/11/12	OT 2011-416	Accusation	Hearing held 10/7/13; waiting ALJ Proposed Decision	
12/13/12	OT 2010-616	Accusation	Accusation Filed 8/27/13; NOD recd; Hearing to be scheduled	
12/17/12	OT 2011-468	Accusation	Accusation Filed 1/17/13; Stipulated Settlement Pending	
03/29/13	OT 2011-373	Accusation	Accusation Filed 7/5/13; NOD recd; Hearing to be scheduled	
04/04/13	UL 2011-423	SOI	SOI Filed 7/23/13; Stipulated Settlement Adopted; Probation eff upon	licensure
06/04/13	PT 2012-500	Pet for Reinstate	Petition for Reinstatement filed 6/4/13; Board to hear at Nov 2013 me	eting
07/11/13	AR2012-300	SOI	Statement of Issues Filed 8/29/13; Hearing to be scheduled	Ü
07/23/13	AR 2012-388	SOI	DAG to prepare Statement of Issues	
08/08/13	OT 2012-100	Accusation	DAG to prepare Accusation	
08/09/13	AL 2012-336	SOI	DAG to prepare Statement of Issues	
08/29/13	OT 2012-91	Accusation	DAG to prepare Accusation	
08/29/13	OT 2012-230	Accusation	DAG to prepare Accusation	
Statement of]	(ssues filed:	2	Accusations filed:	2
Petition to Re	voke Probation filed:	0	Accusation & Petition to Revoke Probation filed:	0

ISO Issued:

Final Decisions:

4

<u>Effective</u>	<u>Name</u>	<u>Type</u>	Complaint Received
July 25, 2013	Michael Jones	Voluntary Surrender	May 23, 2011
August 30, 2013	Dennis Necesito	4 Years Probation	April 8, 2011
August 30, 2013	Megan Hanvey	3 Years Probation	June 13, 2011
September 27, 2013	Lynette Sweeney	2 Years Probation	September 1, 2011

PC23 Issued:

1

July 10, 2013

Cornell Allen Jr.

June 7, 2013

Other Decisions:

August 28, 2013

Andrew Whalley

Stipulated to Citation

February 8, 2011

Cease Practice Orders Issued:

0

Cease Practice Orders Lifted:

0



Disciplinary Action

Revocations, Surrenders, and Other Disciplinary Orders

Last names ending A - L Last names ending M - Z

Practitioners Currently on Probation

NAME	LICENSE#			LENGTH OF PROBATION	EFFECTIVE DATE
Alvarado, Robert	OTA 603	Charging Document	Probation Order	3 Years	04/06/12
Comingore, Rachel	OT 12585	Charging Document	Probation Order	3 Years	04/10/12
Freeman, Kathryn E.	OT 2762	Charging Document	Probation Order	3 years	03/16/12
Galaviz, Jaime H.	OTA 1799	Charging Document	Probation Order	3 Years	12/09/10
Giddings, Eric C.	OT 7143	Charging Document	Probation Order	2 years	03/16/12
Harris, Donald	OTA 1772	Charging Document Charging Document		i e	04/23/10 10/23/15
Hanvey, Megan P.	OT 2222	Charging Document	Probation Order	3 Years	08/30/13
Ingram-Watson, Sandra	OT 12312	Charging Document	Probation Order	3 Years	11/07/11
McGowin, Terry D.	OTA 757	Charging Document	Probation Order	2 Years	10/25/11
Necesito, Dennis B.	OT 7360	Charging Document	Probation Order	4 Years	08/30/13
Ngo, Lisa B.	OT 11572	Charging Document	Probation Order	3 Years	11/19/10
Novegrod, Shana E.	OT 4624	Charging Document	Probation Order	3 years	07/21/11
Perez, Thomas J.	OTA 2470	Charging Document	Probation Order	5 years	01/09/13
Proctor, Mark	OTA 1739	Charging Document	Probation Order	3 years	06/06/12
Schmidt, Rebecca	OT 8291	Charging Document	Probation Order	3 Years	11/27/09
Searcy, Mary	OT 6209	Charging Document	Probation Order	2 Years	09/01/10
Sweeney, Lynette	OT 10550	Charging Document	Probation Order	2 Years	09/27/13

If you are unable to access or print the linked documents on this page, these items can be sent to you via email, fax or regular postal mail. If you are requesting it be faxed to you, please be sure to provide your fax number. Otherwise please call us at 916/263-2294 and press '2' or send an email to: Enterg@dca.ca.gov.

CITATIONS ISSUED 7/1/13 - 9/30/13

	IJ	CEN.	SE		ŦŦ	37 2	ITIC	¥A.7		CIT.#	FINE	QT Fine	GRAND TOTAL	FINE	OTA Fine	GRAND TØTAL	DATE	DUE 1	DATES	Арр			I	'AYWEN	r	
7	C	ZAS.	5								(OT)	Medified	PINEDILE OTI	(OTA)	Modified	FINE BUE (OTA)	ISSUED			Ac	u					
	от	OTA	No Lic	FDC	UPC	cc	ULP.	PĐU	ıD									Reg For Conf.	Fine	us.	ADMIN	Pymnt Date	Pd in Full	Pymnt Amnt	Bal (OT)	Bal (OTA)
1	1	(1010) (18)	96,035	CORRE	10000		1	1919154918		OT 2012-422	\$350		\$350				07/10/13	08/09/13	08/09/13	Sections:	14145181	08/08/13	1	\$350	\$0	en antivisi i i i i i i i i i i i i i i i i i
1	1					7	1		╁╴	OT 2012-419	\$700		\$700					08/09/13		$\overline{}$		10/09/13		\$200	\$500	
1	1			\neg			1		\vdash	OT 2012-291	\$501		\$501				07/17/13	08/16/13				08/22/13		\$25	\$476	
1	_	1					1		一	OA 2012-305	4501		1	\$5,000		\$5,000	07/17/13			\rightarrow		07/24/13	1	\$5,000		\$0
1		1					1		1-	OA 2012-371				\$400	_	\$400		08/16/13		\rightarrow						\$400
1	1				1		-		H	OT 2013-20	\$1,000		\$1,000				07/18/13	08/17/13		_		08/09/13	1	\$1,000	\$0	
1	Ī	1							1	OA 2012-306	7 ,		1	\$50		\$50	07/22/13	08/21/13	08/21/13	3						\$50
1	1			\Box		\neg		_	1	OT 2012-321	\$50		\$50	\vdash				08/21/13		$\overline{}$		08/08/13	1	\$50	\$0	
1	$\overline{1}$					┪	T		1	OT 2012-319	\$50		\$50	\vdash			07/22/13	08/21/13	08/21/13	3		08/15/13	1	\$50	\$0	
1	1						T		1	OT 2012-318	\$50		\$50				07/22/13	08/21/13	08/21/13	3		09/30/13	1	\$50	\$0	
1		1						1	1	OA 2012-409				\$300		\$300	07/26/13	08/25/13	08/25/13	3		08/14/13	1	\$300		\$0
1	1						1			OT 2012-446	\$900		\$900				07/31/13	08/30/13	08/30/13	3 1					\$900	
1	1					T			1	OT 2012-290	\$50		\$50				08/02/13	09/01/13	09/01/13	3		08/19/13	1	\$50	\$0	
1		1	T			Ī			1	OA 2012-295		_		\$50		\$50	08/02/13	09/01/13	09/01/13	3		08/09/13	1	\$50		\$0
1	1						T		1	OT 2012-296	\$50		\$50				08/02/13	09/01/13	09/01/13	3		08/26/13	1	\$50	\$0	
1	1								1	OT 2012-286	\$50		\$50				08/02/13	09/01/13	09/01/13	3		09/16/13	1	\$50	\$0	
1		1						1		OA 2012-476				\$250		\$250	08/02/13	09/01/13	09/01/13	3 1						\$250
1	1					١		1		OT 2012-486	\$250		\$250				08/02/13			3					\$250	
1	1							1		OT 2012-487	\$250	\$50	\$200			-	08/02/13	09/01/13	09/01/13	3 1					\$200	
1	I							1		OT 2012-526	\$500	\$250	\$250				08/02/13	09/01/13	09/01/13	3 1	1	10/09/13		\$150	\$100	
1	1		L					ĩ		OT 2012-543	\$250		\$250				08/02/13	09/01/13	09/01/13	3 1					\$250	1
1	1				1					OT 2011-533	\$250		\$250				08/05/13	09/04/13	09/04/13	3					\$250	
1		1							1	OA 2012-297				\$100		\$100	08/09/13	09/09/13	09/09/13	3		09/09/13	1	\$100		\$0
1	1								1	OT 2012-312	\$50		\$50				08/09/13	09/09/13	09/09/13	3					\$50	
1	1								1	OT 2012-314	\$50		\$50				08/09/13			3		09/11/13	1	\$50	\$0	
1	1						1			OT 2012-528	\$325		\$325				08/09/13			3		09/06/13	1	\$325	S0	
1		1							1	OA 2012-396				\$50		\$50	08/14/13	09/13/13	09/13/1	3		09/03/13	1	\$50		\$0
1	1								1	OT 2012-399	\$50		\$50				08/14/13	09/13/13	09/13/1	3		09/11/13	1	\$50	\$0	
1		1							1	OA 2012-431				\$50		\$50	08/14/13	09/13/13	09/13/1:	3						\$50
1	1								1	OT 2012-437	\$50		\$50				08/14/13	09/13/13				08/21/13	1	\$50	\$0	
1	1								1	OT 2012-443	\$50		\$50				08/14/13	09/13/13	09/13/1	3					\$50	
1	1					\Box			1	OT 2012-444	\$50		\$50				08/14/13	09/13/13				09/03/13	1	\$50	\$0	
1	1								I	OT 2012-449	\$50		\$50				08/14/13	09/13/13	09/13/13	3		08/23/13	1	S50	\$0	
1		1							1	OA 2012-450				\$50		\$50	08/14/13	09/13/13	09/13/13	3						\$50
1	1								1	OT 2012-461	\$50		\$50			1	08/14/13	09/13/13	09/13/1	3 1		10/04/13	1	\$50	S0	
1	1								1	OT 2012-472	\$50		\$50					09/13/13							\$50	
1		1		1						AR 2012-250				\$250		\$250	08/15/13	09/14/13	09/14/1	3					L	\$250

#	11765344	CFN. LAS	COLUMN COLUMN		M	θL	(TIC)N		CIT. #	FENE FOT)	OT Fine Modified	GRAND FOTAL BUVE DEFE (OT)		OTA Fae Modified	GRAND TOTAL FINE DEE (GEA)	DATE ISSUED	DUEL)ATES	R	oeai Sui			AYMEN	7	
	97	OTA	No	FDC	GPC	сc	ULP	PDU	ΔĐ									Req For Conf.	Fine	X)	ADMAN	Pymnt Date	Pd in	Pymnt Amnt	Bal (OT)	Bal (OTA)
			Lic			. 60												conj.	di san di		₹	Pur	Full		102	1014)
1	1	-17001612181		2.181.2521.2				(21212124124	I	OT 2012-490	\$50		\$50				08/22/13	09/21/13	09/21/13			09/19/13	1	\$50_	\$0	
1	1								1	OT 2012-491	\$50		\$50				08/22/13	09/21/13	09/21/13		L				\$50	
1	1								1	OT 2012-492	\$100		\$100					09/21/13							\$100	
1	1								1	OT 2012-494	\$50		\$50				08/22/13	09/21/13	09/21/13			09/03/13	1	\$50	\$0	
1	1								1	OT 2012-495	\$50		\$50				08/22/13	09/21/13	09/21/13			09/09/13	1	\$50	\$0	
1		1							1	OA 2012-497				\$50		\$50	08/22/13	09/21/13	09/21/13	1	1					\$50
1	1								1	OT 2012-501	\$50		\$50				08/22/13	09/21/13	09/21/13						\$50	
1	1								1	OT 2012-503	\$50		\$50					09/21/13		1					\$50	
1	1		. "						1	OT 2012-507	\$50		\$50				08/22/13	09/21/13						ļ	\$50	
1	1				1					OT 2010-318	\$4,000		\$4,000		_		08/28/13	` -	09/28/13			09/11/13	1	\$4,000	\$0	
1	1								1	OT 2012-548	\$50		\$50				09/05/13	10/05/13	10/05/13						\$50	
1	1								1	OT 2012-552	\$50		\$50				09/05/13	10/05/13	10/05/13			09/16/13	_ 1	\$50	\$0	
1	1								1	OT 2012-553	\$50		\$50				09/05/13		10/05/13						\$50	
1	1								1	OT 2012-554	\$50		\$50				09/05/13	10/05/13	10/05/13	1					\$50	
1	1								1	OT 2012-555	\$50		\$50				09/05/13	10/05/13	10/05/13	\		09/30/13	1	\$50	\$0	
1		1	1	1						AR 2012-504				\$400		\$400	09/12/13	10/12/13	10/12/13		L					\$400
1		1							1	OA 2013-43				\$50		\$50	09/12/13	10/12/13	10/12/13			09/25/13	1	\$50		\$0
1	1								1	OT 2013-55	\$50		\$50				09/12/13		10/12/13			09/26/13	1	\$50	S0	
1	1								1	OT 2012-478	\$50		\$50				09/12/13	10/12/13	10/12/13			10/03/13	1	\$50	S0	
1	1						1			OT 2012-349	\$575		\$575				09/12/13	10/12/13	10/12/13						\$575	
1	1				1					OT 2013-86	\$500		\$500				09/12/13	10/12/13	10/12/13	1		<u> </u>			\$500	
1		1					1			OA 2012-514				\$275		\$275	09/12/13	10/12/13	10/12/13			10/07/13	1	\$275		\$0
	1								1	OT 2013-148	\$50		\$50					10/23/13							\$50	
1	1								1	OT 2013-149	\$50		\$50				09/23/13	10/23/13	10/23/13			09/26/13	1	\$50	\$0	
1	1								1	OT 2013-151	\$50		\$50				09/23/13	10/23/13	10/23/13						\$50	
1	\Box	_ 1							1	OA 2013-56				\$50		\$50	09/26/13	10/26/13	10/26/13							\$50
63	47	16	1	2	4	0	9	6	43		\$12,051	\$300	\$11,751	\$7,375	\$0	\$7,375		ALCOHOL:		9	2		31	\$12,875	\$4,701	\$1,550
Vîo	atio	n Ke	v.									<u> </u>				<u> </u>			**************************************		1			<u> </u>		\ <u></u>
Apr	lica	int	<u></u>							<u> </u>	·	Licensee	<u> </u>	 		N	on-Licens	ed	 	T	\vdash					_
		ailur	e to	Disc	lose (Crir	nina	L Cor	vict	ions			Unprofess	ional Co	nduct	1	UL P- Un		ractice			 		ļ <u> </u>	 	-
									_	nt credentials			Criminal Co			<u> </u>	ODI OI	Tronsod X	Tablico	-	 -				 	-
		прис	12003	Juan			. 111	pi	330	TOTOTICITE S	-		Unlicense					J	 	+-	-	 		 		
\vdash	-					<u> </u>							Continuir				**Citation	n navment	s received	in f	his o	uarter for	citatio	ns issued	in	
Ass	ione	d to	FTP	Inte	rcent	nro	Oran	n· ベ	-				Failure to 1			 Thange						in this tab		133400		
7.88	Suc	AL IU .	1 1 123	anc.	l	pro	grall	u.)		-		AD - 1	Lange WT	voirry or	1 7001099 /	Onange	a pievi	ous quarte	T ALC HOLL	1110	CICI	m mis rat		-		
\vdash					_	-					_		-			 	*** A nn o	le roomest	ed in this	1110*	<u>í</u> ter	Conference	ee ond	l hearings		
\vdash				 	-				<u> </u>	-		<u> </u>	-	 		 -										
												<u></u>	[<u> L</u>	may l	nave been/	will be he	w Di	/1 1.11 1	n a differe	nt qua	rter_		