

AGENDA ITEM 11

**ENFORCEMENT DATA AND REPORTS FOR THE PERIOD
JULY 1 – SEPTEMBER 30, 2013.**

BOT ENFORCEMENT STATISTICAL REPORT

July 1, 2013 – September 30, 2013

Total Complaints-Received:	242	DOI Investigations Initiated:	11
Record of Arrests and Prosecutions [RAP] Received:	13	Subsequent Arrest Reports Received:	12
Complaints-Closed:	222	DOI Investigation Reports Received:	4
Total Complaints-Pending:	220 (Oldest: 4/7/11)	Formal DOI Investigations Pending:	18 (Oldest: 1/31/11)

Applications Denied pursuant to Business and Professions Code 480/485: 0

Cases Pending with the Attorney General (AG): 19

<u>Transmitted</u>	<u>Complaint No</u>	<u>Type</u>	<u>Current Status</u>
05/24/12	OA 2010-385	Accusation	Accusation Filed 11/20/12; NOD recd; Hearing scheduled 3/18/2014
09/24/12	AR 2011-309	SOI	Statement of Issues Filed 2/8/13; Hearing scheduled 11/8/2013
09/24/12	AR 2011-376	SOI	Hearing held 8/15/13; Proposed Decision to be voted on at Nov meeting
09/24/12	OT 2010-621	Accusation	Accusation drafted not served; supplemental investigation requested
11/21/12	OT 2010-333	Accusation	Accusation Filed 5/6/13, NOD recd; Hearing scheduled 1/23/2014
11/27/12	OT 2011-117	Accusation	Accusation Filed 5/7/13; NOD recd; Hearing scheduled 2/25/2014
12/11/12	OT 2011-49	Citation Hearing	Hearing scheduled 2/5/2014
12/11/12	OT 2011-416	Accusation	Hearing held 10/7/13; waiting ALJ Proposed Decision
12/13/12	OT 2010-616	Accusation	Accusation Filed 8/27/13; NOD recd; Hearing to be scheduled
12/17/12	OT 2011-468	Accusation	Accusation Filed 1/17/13; Stipulated Settlement Pending
03/29/13	OT 2011-373	Accusation	Accusation Filed 7/5/13; NOD recd; Hearing to be scheduled
04/04/13	UL 2011-423	SOI	SOI Filed 7/23/13; Stipulated Settlement Adopted; Probation eff upon licensure
06/04/13	PT 2012-500	Pet for Reinstatement	Petition for Reinstatement filed 6/4/13; Board to hear at Nov 2013 meeting
07/11/13	AR2012-300	SOI	Statement of Issues Filed 8/29/13; Hearing to be scheduled
07/23/13	AR 2012-388	SOI	DAG to prepare Statement of Issues
08/08/13	OT 2012-100	Accusation	DAG to prepare Accusation
08/09/13	AL 2012-336	SOI	DAG to prepare Statement of Issues
08/29/13	OT 2012-91	Accusation	DAG to prepare Accusation
08/29/13	OT 2012-230	Accusation	DAG to prepare Accusation

Statement of Issues filed:	2	Accusations filed:	2
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Petition to Revoke Probation filed:	0	Accusation & Petition to Revoke Probation filed:	0
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ISO Issued: 0

Final Decisions: 4

<u>Effective</u>	<u>Name</u>	<u>Type</u>	<u>Complaint Received</u>
July 25, 2013	Michael Jones	Voluntary Surrender	May 23, 2011
August 30, 2013	Dennis Necesito	4 Years Probation	April 8, 2011
August 30, 2013	Megan Hanvey	3 Years Probation	June 13, 2011
September 27, 2013	Lynette Sweeney	2 Years Probation	September 1, 2011

PC23 Issued: 1

July 10, 2013	Cornell Allen Jr.		June 7, 2013
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Other Decisions:

August 28, 2013	Andrew Whalley	Stipulated to Citation	February 8, 2011
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Cease Practice Orders Issued: 0

Cease Practice Orders Lifted: 0



DEPARTMENT OF CONSUMER AFFAIRS
BOARD OF OCCUPATIONAL THERAPY

Disciplinary Action

Revocations, Surrenders, and Other Disciplinary Orders

Last names ending A - L Last names ending M - Z

Practitioners Currently on Probation

NAME	LICENSE #			LENGTH OF PROBATION	EFFECTIVE DATE
Alvarado, Robert	OTA 603	Charging Document	Probation Order	3 Years	04/06/12
Comingore, Rachel	OT 12585	Charging Document	Probation Order	3 Years	04/10/12
Freeman, Kathryn E.	OT 2762	Charging Document	Probation Order	3 years	03/16/12
Galaviz, Jaime H.	OTA 1799	Charging Document	Probation Order	3 Years	12/09/10
Giddings, Eric C.	OT 7143	Charging Document	Probation Order	2 years	03/16/12
Harris, Donald	OTA 1772	Charging Document	Probation Order	4 Years	04/23/10
		Charging Document	Probation Order	4 Years	10/23/15
Hanvey, Megan P.	OT 2222	Charging Document	Probation Order	3 Years	08/30/13
Ingram-Watson, Sandra	OT 12312	Charging Document	Probation Order	3 Years	11/07/11
McGowin, Terry D.	OTA 757	Charging Document	Probation Order	2 Years	10/25/11
Necesito, Dennis B.	OT 7360	Charging Document	Probation Order	4 Years	08/30/13
Ngo, Lisa B.	OT 11572	Charging Document	Probation Order	3 Years	11/19/10
Novegrad, Shana E.	OT 4624	Charging Document	Probation Order	3 years	07/21/11
Perez, Thomas J.	OTA 2470	Charging Document	Probation Order	5 years	01/09/13
Proctor, Mark	OTA 1739	Charging Document	Probation Order	3 years	06/06/12
Schmidt, Rebecca	OT 8291	Charging Document	Probation Order	3 Years	11/27/09
Searcy, Mary	OT 6209	Charging Document	Probation Order	2 Years	09/01/10
Sweeney, Lynette	OT 10550	Charging Document	Probation Order	2 Years	09/27/13

If you are unable to access or print the linked documents on this page, these items can be sent to you via email, fax or regular postal mail. If you are requesting it be faxed to you, please be sure to provide your fax number. Otherwise please call us at 916/263-2294 and press '2' or send an email to: EnfPrq@dca.ca.gov.

#	LICENSE CLASS			VIOLATION						CIT #	FINE (OT)	OT Fine Modified	GRAND TOTAL FINE DUE (OT)	FINE (OTA)	OTA Fine Modified	GRAND TOTAL FINE DUE (OTA)	DATE ISSUED	DUE DATES			Appeal Rec'd	PAYMENT															
	OT	OTA	No Lic	FDC	UPC	CC	ULP	PDU	AD									Req For Conf	Fine	JGR		ADMIN	Pymt Date	Pd in Full	Pymt Amnt	Bal (OT)	Bal (OTA)										
1	1								1	OT 2012-490	\$50	\$50				08/22/13	09/21/13	09/21/13		09/19/13	1	\$50	\$0														
1	1								1	OT 2012-491	\$50	\$50				08/22/13	09/21/13	09/21/13					\$50														
1	1								1	OT 2012-492	\$100	\$100				08/22/13	09/21/13	09/21/13					\$100														
1	1								1	OT 2012-494	\$50	\$50				08/22/13	09/21/13	09/21/13		09/03/13	1	\$50	\$0														
1	1								1	OT 2012-495	\$50	\$50				08/22/13	09/21/13	09/21/13		09/09/13	1	\$50	\$0														
1		1							1	OA 2012-497			\$50	\$50		08/22/13	09/21/13	09/21/13	1	1				\$50													
1	1								1	OT 2012-501	\$50	\$50				08/22/13	09/21/13	09/21/13					\$50														
1	1								1	OT 2012-503	\$50	\$50				08/22/13	09/21/13	09/21/13	1				\$50														
1	1								1	OT 2012-507	\$50	\$50				08/22/13	09/21/13	09/21/13					\$50														
1	1			1						OT 2010-318	\$4,000	\$4,000				08/28/13	-	09/28/13		09/11/13	1	\$4,000	\$0														
1	1								1	OT 2012-548	\$50	\$50				09/05/13	10/05/13	10/05/13					\$50														
1	1								1	OT 2012-552	\$50	\$50				09/05/13	10/05/13	10/05/13		09/16/13	1	\$50	\$0														
1	1								1	OT 2012-553	\$50	\$50				09/05/13	10/05/13	10/05/13					\$50														
1	1								1	OT 2012-554	\$50	\$50				09/05/13	10/05/13	10/05/13	1				\$50														
1	1								1	OT 2012-555	\$50	\$50				09/05/13	10/05/13	10/05/13		09/30/13	1	\$50	\$0														
1		1	1	1						AR 2012-504			\$400	\$400		09/12/13	10/12/13	10/12/13						\$400													
1		1							1	OA 2013-43			\$50	\$50		09/12/13	10/12/13	10/12/13		09/25/13	1	\$50	\$0														
1	1								1	OT 2013-55	\$50	\$50				09/12/13	10/12/13	10/12/13		09/26/13	1	\$50	\$0														
1	1								1	OT 2012-478	\$50	\$50				09/12/13	10/12/13	10/12/13		10/03/13	1	\$50	\$0														
1	1						1			OT 2012-349	\$575	\$575				09/12/13	10/12/13	10/12/13					\$575														
1	1			1						OT 2013-86	\$500	\$500				09/12/13	10/12/13	10/12/13					\$500														
1		1					1			OA 2012-514			\$275	\$275		09/12/13	10/12/13	10/12/13		10/07/13	1	\$275	\$0														
1	1								1	OT 2013-148	\$50	\$50				09/23/13	10/23/13	10/23/13					\$50														
1	1								1	OT 2013-149	\$50	\$50				09/23/13	10/23/13	10/23/13		09/26/13	1	\$50	\$0														
1	1								1	OT 2013-151	\$50	\$50				09/23/13	10/23/13	10/23/13					\$50														
1		1							1	OA 2013-56			\$50	\$50		09/26/13	10/26/13	10/26/13					\$50														
63	47	16	1	2	4	0	9	6	43		\$12,051	\$300	\$11,751	\$7,375	\$0	\$7,375			9	2		31	\$12,875	\$4,701	\$1,550												
Violation Key:																																					
Applicant														Licensee														Non-Licensed									
FDC - Failure to Disclose Criminal Convictions														UPC - Unprofessional Conduct														UL P- Unlicensed Practice									
UPC - Unprofessional Conduct-Misrepresent credentials														CC - Criminal Convictions																							
														ULP - Unlicensed Practice																							
Assigned to FTB Intercept program: 5														PDU - Continuing Education														**Citation payments received in this quarter for citations issued in a previous quarter are not reflected in this table.									
														AD - Failure to Notify of Address Change																							
*** Appeals requested in this quarter. Conferences and hearings may have been/will be held within a different quarter																																					