

ENFORCEMENT DATA AND REPORTS.

BOT ENFORCEMENT STATISTICAL REPORT
April 1, 2013 – June 30, 2013

Total Complaints-Received: 118 **DOI Investigations Initiated:** 6

Complaints-Closed: 102 **DOI Investigation Reports Received:** 4

Total Complaints-Pending: 191 (Oldest: 1/31/11) **Formal DOI Investigations Pending:** 9 (Oldest: 9/7/12)

Record of Arrests and Prosecutions [RAP] Received: 16 **Subsequent Arrest Reports Received:** 16

Applications Denied pursuant to Business and Professions Code 480/485: 3

Cases Pending with the Attorney General (AG): 20

<u>Transmitted</u>	<u>Complaint No</u>	<u>Type</u>	<u>Current Status</u>
10/12/11	OT 2009-246	Accusation	Stipulated Settlement Adopted, 4 years Probation Effective 8/30/2013
04/19/12	OT 2010-644	Accusation	Stipulated Settlement Adopted, 3 years Probation Effective 8/30/2013
05/24/12	OA 2010-385	Accusation	Accusation Filed 11/20/12; NOD recd; Hearing scheduled 3/18/2014
05/24/12	OT 2010-318	Accusation	Accusation Withdrawn, Citation Issued Per Stipulated Settlement
08/03/12	OT 2011-96	Accusation	Stipulated Settlement Pending
09/24/12	AR 2011-309	SOI	Statement of Issues Filed 2/8/13; Hearing scheduled 11/8/2013
09/24/12	AR 2011-376	SOI	Hearing held 8/15/13; waiting for ALJ Proposed Decision
09/24/12	OT 2010-621	Accusation	Draft Accusation recd, File under additional review
11/06/12	OA 2010-604	Accusation	Stipulated Surrender effective 7/25/2013
11/21/12	OT 2010-333	Accusation	Accusation Filed 5/6/13, NOD recd; Hearing scheduled 1/23/2014
11/27/12	OT 2011-117	Accusation	Accusation Filed 5/7/13; NOD recd; Hearing scheduled 2/25/2014
12/11/12	OT 2011-49	Citation Hearing	Hearing scheduled 10/9/2013
12/11/12	OT 2011-416	Accusation	Accusation Filed 2/7/13; Hearing continued until 10/7/2013
12/13/12	OT 2010-616	Accusation	Draft Accusation recd, to be reviewed
12/17/12	OT 2011-468	Accusation	Accusation Filed 1/17/13; NOD recd; Hearing scheduled 10/9/2013
12/20/12	PA 2012-232	Pet for Early Term	Accusation Filed 1/17/13; NOD recd; Hearing scheduled 10/9/2013
03/29/13	OT 2011-373	Accusation	Accusation Filed; NOD recd; Hearing to be scheduled
04/04/13	UL 2011-423	SOI	Statement of Issues Filed 7/23/13; Stipulated Settlement Pending
06/04/13	PT 2012-500	Pet for Reinstate	Petition for Reinstatement filed 6/4/13; Board to hear at Nov 2013 meeting
06/11/13	OT 2012-512	PC23	PC23 Order Issued 7/10/13

Statement of Issues filed: 0 **Accusations filed:** 2

Petition to Revoke Probation filed: 0 **Accusation & Petition to Revoke Probation filed:** 0

ISO Issued: 0 **PC23 Issued:** 0

Final Decisions: 0

Cease Practice Orders Issued: 0 **Cease Practice Orders Lifted:** 0

BOT ENFORCEMENT STATISTICAL REPORT
July 1, 2012 – June 30, 2013

Total Complaints-Received:	555	DOI Investigations Initiated:	31
Complaints-Closed:	499	DOI Investigation Reports Received:	31
Total Complaints-Pending:	191 (Oldest: 1/31/11)	Formal DOI Investigations Pending:	9 (Oldest: 9/7/12)
Record of Arrests and Prosecutions [RAP] Received:	56	Subsequent Arrest Reports Received:	41
Applications Denied pursuant to Business and Professions Code 480/485:	6		
Cases Pending with the Attorney General (AG):	20		

<u>Transmitted</u>	<u>Complaint No</u>	<u>Type</u>	<u>Current Status</u>
10/12/11	OT 2009-246	Accusation	Stipulated Settlement Adopted, 4 years Probation Effective 8/30/2013
04/19/12	OT 2010-644	Accusation	Stipulated Settlement Adopted, 3 years Probation Effective 8/30/2013
05/24/12	OA 2010-385	Accusation	Accusation Filed 11/20/12; NOD recd; Hearing scheduled 3/18/2014
05/24/12	OT 2010-318	Accusation	Accusation Withdrawn, Citation Issued Per Stipulated Settlement
08/03/12	OT 2011-96	Accusation	Stipulated Settlement Pending
09/24/12	AR 2011-309	SOI	Statement of Issues Filed 2/8/13; Hearing scheduled 11/8/2013
09/24/12	AR 2011-376	SOI	Hearing held 8/15/13; waiting for ALJ Proposed Decision
09/24/12	OT 2010-621	Accusation	Draft Accusation recd, File under additional review
11/06/12	OA 2010-604	Accusation	Stipulated Surrender effective 7/25/2013
11/21/12	OT 2010-333	Accusation	Accusation Filed 5/6/13, NOD recd; Hearing scheduled 1/23/2014
11/27/12	OT 2011-117	Accusation	Accusation Filed 5/7/13; NOD recd; Hearing scheduled 2/25/2014
12/11/12	OT 2011-49	Citation Hearing	Hearing scheduled 10/9/2013
12/11/12	OT 2011-416	Accusation	Accusation Filed 2/7/13; Hearing continued until 10/7/2013
12/13/12	OT 2010-616	Accusation	Draft Accusation recd, to be reviewed
12/17/12	OT 2011-468	Accusation	Accusation Filed 1/17/13; NOD recd; Hearing scheduled 10/9/2013
12/20/12	PA 2012-232	Pet for Early Term	Petition for Early Term Denied, Board's Decision effective 7/1/2013
03/29/13	OT 2011-373	Accusation	Accusation Filed; NOD recd; Hearing to be scheduled
04/04/13	UL 2011-423	SOI	Statement of Issues Filed 7/23/13; Stipulated Settlement Pending
06/04/13	PT 2012-500	Pet for Reinstatement	Petition for Reinstatement filed 6/4/13; Board to hear at Nov 2013 meeting
06/11/13	OT 2012-512	PC23	PC23 Order Issued 7/10/13

Statement of Issues filed: 3
Petition to Revoke Probation filed: 2
ISO Issued: 0
Accusations filed: 10
Accusation & Petition to Revoke Probation filed: 0
PC23 Issued: 0

Final Decisions: 7
 July 12, 2012 Ashley Luaders
 August 1, 2012 Stephanie Frost
 November 17, 2012 Kevin Yoshino
 January 9, 2013 Thomas Perez
 January 9, 2013 Thomas Perez
 February 25, 2013 Mary-Kaye Quinn
 March 29, 2013 Gurpreet Sandhu
 License Denied – Default Decision
 Voluntary Surrender
 Voluntary Surrender
 Revocation of OT license
 5 Year Probation for OTA license
 Voluntary Surrender
 Voluntary Surrender

Cease Practice Orders Issued: 1
 December 5, 2012 Terry Mc Gowin
Cease Practice Orders Lifted: 1
 December 6, 2012 Terry Mc Gowin